



A P P L I C A N T ' S   D E C L A R A T I O N

I hereby authorise Racing and Wagering Western Australia (RWWA) to check any details of information given in this statement, including but not limited to, criminal convictions, financial commitments, etc. as the Authority in its absolute discretion deems necessary. 2. I acknowledge, if I am applying for a trainer's licence/permit that (a) I will take out Workers Compensation insurance when I employ workers ("Workers" as defined in the Act) to assist me in my training activities (b) The training of all horses in my care will be under my personal supervision (c) I will notify RWWA immediately whenever a horse leaves my control or comes under my control where it is my intention to prepare the horse to participate in trials or races and (d) I will permit the Stewards or Officials of RWWA to enter at any time and inspect my property and to exercise their powers under the Rules. 3. If I am applying for a trainer's licence/permit, I acknowledge my application will be rejected if I do not have public liability insurance. 4. If I am charged with an offence punishable by fine or imprisonment I undertake to contact the Stewards immediately upon being charged. 5. If I have been convicted of an offence punishable by fine or imprisonment in the previous 12-months, I undertake to contact the Stewards (08 9277 0777) immediately and attach to this application a copy of my current Police Clearance Certificate and details of the Court ruling in relation to the offence. 6. I shall at all times conduct and present myself in a professional and proper manner and comply with the RWWA Rules of Thoroughbred Racing, Official's instructions and the powers afforded to them and that failure to do so can place my current and future involvement in the industry in jeopardy. 7. I acknowledge having read and understood the said Rules and further undertake to read the Official RWWA publications so as to keep myself informed of any amendments to the said Rules or any other Regulations, Conditions and Directions made by RWWA. 8. I agree to be bound at all times by the said Rules in force from time to time and any other lawful Rules, Regulations, Conditions and Directions as may from time to time be formed, made or given by Stewards or Officials of RWWA. 9. I acknowledge that in the event of becoming disqualified, my current licence is forfeited and upon expiration of the disqualification I must re-apply for a Licence. I recognize in these circumstances such application may be refused or made subject to special conditions. 10. I will provide the Licensing & Registrations Department with written advice of any changes to the details I have advised in this application within 48-hours of the change. 11. I hereby declare that all particulars stated on this application are true and correct, that I have read all the conditions appearing in this Application and acknowledge and agree to abide by all such conditions. 12. I acknowledge that the Stewards are empowered to punish any person who makes any false or misleading statement or declaration in respect of any matter in connection with the administration or control of Thoroughbred Racing. 13. I agree that RWWA may record telephone conversations with you when you contact RWWA or are contacted by RWWA by telephone line in relation to any licence or racing related matter.

I \_\_\_\_\_ (FULL NAME) make this solemn declaration conscientiously believing the same to be true and by virtue of the provisions of the Oaths, Affidavits and Statutory Declarations Act 2005

Made and subscribed by the above named and declared

on \_\_\_\_\_ (Day) \_\_\_\_\_ (month) \_\_\_\_\_ (Year) \_\_\_\_\_ Signature of Applicant

At \_\_\_\_\_ place

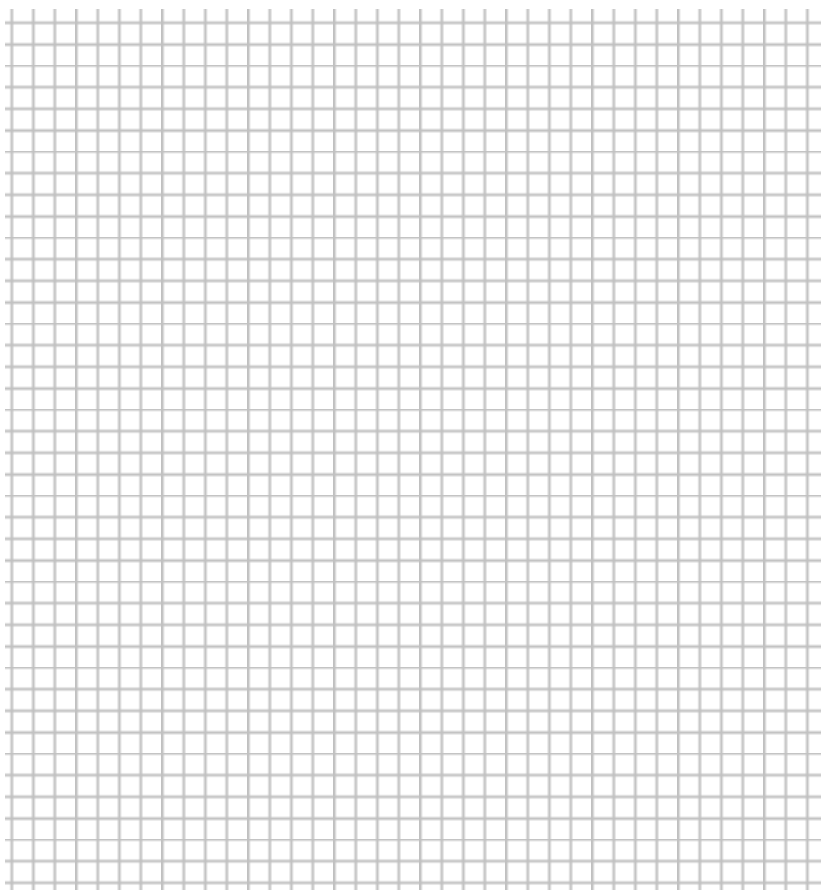
Before me \_\_\_\_\_ Signature of Witness\* \_\_\_\_\_ Full Name of Witness\*

\* Witness must be the list of professions from the Oaths, Affidavits and Statutory Declarations Act 2005

**APPLICANT FOR ANY CLASS OF TRAINER LICENCE/PERMIT MUST COMPLETE THIS SECTION**

**DETAILS OF STABLE CONSTRUCTION & SECURITY**

Stable Type:	Barn	WIWO	Open Yards	Yard/Stall
Number of	Boxes	Yards	Paddocks	
Construction of	Roof	Walls	Floor	
Security – Locks Applied to	Barn	Tack Room	Feed Room	Property
Security - Other	Dog/s	Lights	Alarms	Other (specify below)
Other Security Measures				

<p><b>PLAN OF STABLES</b> (If insufficient space attach separate plan)</p> 	<p align="center"><b>STABLE OWNER / USERS DETAILS</b></p> <p><b>Name of Stable Owner:</b></p> <p>_____</p> <p>_____</p> <p><b>Address of Stable Owner:</b></p> <p>_____</p> <p>_____</p> <p>_____</p> <p>Signature of Stable Owner authorizing use of the STABLES and PROPERTY (Delete PROPERTY if not applicable)</p> <p>_____</p> <p>Date: _____</p> <p><b>Names of other stable and property users:</b></p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>
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Applicants for a Trainer licence, Permit to Train or Owner/Trainer permit must list details of the horses they train or intend to train (Attach a separate list if there is insufficient space here).

Stewards may determine suitability to be licensed given the number of horses.

Horse Names	Names of Owners or Lessees

## CONDITIONS APPLICABLE TO A TRAINER LICENCE/PERMIT

The following are preconditions to the granting of particular categories of licence or permit to train to a person;

- has practical experience reasonably required to carry on the vocation of a trainer, including (but not limited to) experience in attendance to and performance of duties involving the handling of thoroughbred racehorses at stables, track work, trials and race meetings
- has proper stable facilities providing security and safety for staff and horses
- has adequate financial resources to carry on training activities without material risk of being unable to pay his/her debts as and when they fall due
- is of good reputation and character and is a fit and proper person to hold a licence or permit to train racehorses
- passes the written examination and interview conducted by the Stewards
- Demonstrates to the Stewards his/her competency in horse handling and saddling.

Without in any way limiting the power of RWWA to prescribe terms and conditions in respect of a licence or permit to train, the following categories of licence or permit to train are, unless otherwise determined by RWWA, subject to the conditions specified:

### **Open Class Trainer:**

- Has had at least 5-years experience as a trainer under a current B Class or its equivalent in another racing jurisdiction
- Has demonstrated considerable success in training horses to criteria set by RWWA.

### **B Class Trainer:**

- Has had at least 5-years experience as a trainer under a permit to train or its equivalent in another racing jurisdiction.

### **Permit to Train:**

- Has experience in the form of at least 5-years experience as a jockey, apprentice, stable foreman, assistant trainer, stable employee or any combination thereof and hold a current licence
- Provide at least three references from persons carrying on the profession of a trainer of thoroughbred racehorses attesting to the applicant's skill, competency and general suitability to hold a Permit to Train.

### **Owner/Trainer:**

- A person holding an Owner/Trainer permit may train only horses owned or leased solely or in partnership with members of the person's own family. For the purpose of this rule family shall mean: parents, spouse, children and siblings over 18 years. For the purpose of this rule the term "spouse" includes a spouse whether married or de facto. For the purpose of this rule the term "de facto" means where two persons are living together as a couple on a genuine domestic basis and have done so for at least 2-years. Couple does not include co-tenants.

A Trainer licence, Permit to Train or Owner/Trainer permit may be limited as to duration or locality or both. Subject to the previous clause every Trainer licence, Permit to Train and Owner/Trainer permit shall expire on the thirty-first day of July in each year. Should a trainer be unable to attend a race meeting where any horse trained by him is engaged he shall nominate his registered stable foreperson or another licensed trainer to be responsible for such horse at the meeting. A trainer or holder of a permit to train or owner/trainer permit shall not, without having made written application and obtained the consent of the Stewards, stable any horse trained by him or her in any location other than his registered stable address as notified on this application or subsequent renewal.

Where an initial application for a Trainer's licence, Permit to Train or Owner/Trainer's permit is rejected, no further application shall be considered for a period of 6-months from the date of rejection of the first application, and a person who has had a second application for a Trainer's licence, Permit to Train or Owner/Trainer's permit rejected within 12-months of the rejection of the initial application shall have no further application considered for a period of 12-months from the date of rejection of the second application, and should that or any subsequent application be rejected any further application shall not be considered for 12-months thereafter unless, the person is specifically invited to make application.

RWWA may refuse to consider any application for a Trainer's licence, Permit to Train or Owner/Trainer's permit until it is satisfied that any direction or condition that it may require as a prerequisite to the granting of such licence or permit has been satisfied.

Every person holding a Trainer Licence, Permit to Train or Owner/Trainer Permit from RWWA shall apply to RWWA to register every person employed by or assisting him/her in connection with the training or care of racehorses. No employee unless registered shall be retained in employment.

A Trainer or any person holding a Permit to Train or Owner/Trainer permit shall not permit a person who is not the holder of a current Track Work Rider permit to ride track work on a racecourse or training track.



RACING AND WAGERING WESTERN AUSTRALIA  
ABN 21 347 055 603

**Licensing & Registrations**  
14 Hasler Road OSBORNE PARK WA  
Telephone (08) 9445 5558  
Facsimile (08) 9445 5586

## THOROUGHBRED LICENCE APPLICATION CHECKLIST

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### All Licence Applications

- Complete the application form in full
- Payment of fees must accompany all applications
- Provide a current National Police Clearance if 18 or over
- Provide 2 recent Passport sized photos
- Ensure that application is signed and witnessed
- Complete the GST Declaration (not for Stablehands or Track Work Riders)

### Trainers – Permit to Train or Owner/Trainer

- If you wish to ride track work on registered tracks a RWAA Confidential Medical Examination Report
- Provision of urine sample\*
- RWAA Statement of Assets and Liabilities – copy of 3 months of Bank Statements, with a minimum of \$7,500 in savings
- Three references from industry participants
- Current lease agreement if stables not owned
- Interview by Stewards including a theoretical test of horse knowledge
- Take out Worker's Compensation Insurance for any employees - refer to [www.workcover.wa.gov.au](http://www.workcover.wa.gov.au)

### Track Work Riders

- RWAA Confidential Medical Examination Report
- Parental/Guardian consent (if applicant is under 18 years of age)
- Provision of urine sample\*
- Interview by Stewards

Please forward your completed documentation and fees to Licensing and Registrations.

\* Please contact RWAA to arrange a time to be interviewed and to provide a urine sample.

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## THOROUGHBRED NEW LICENCE FEES AND INSURANCE PREMIUMS 2011/2012

### 1 YEAR LICENCE

1 YEAR LICENCE	01 Aug 2011 to 31 Jul 2012	01 Feb 2012 to 31 July 2012
<b>TRAINER - All Classes (Not including Track Work)</b>	<b>\$480.00</b>	<b>\$240.00</b>
Licence Fee	\$95.00	\$47.50
Racing Ahead Subscription (Compulsory)	\$88.00	\$44.00
Public Liability Insurance (Compulsory)	\$297.00	\$148.50
<b>TRAINER - All Classes (Including Track Work)</b>	<b>\$504.75</b>	<b>\$264.75</b>
Licence Fee	\$95.00	\$47.50
Racing Ahead Subscription (Compulsory)	\$88.00	\$44.00
Public Liability Insurance (Compulsory)	\$297.00	\$148.50
Urine Sample	\$24.75	\$24.75
<b>JOCKEY</b>	<b>\$183.00</b>	<b>\$91.50</b>
Licence Fee	\$95.00	\$47.50
Racing Ahead Subscription (Compulsory)	\$88.00	\$44.00



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## THOROUGHBRED NEW LICENCE FEES AND INSURANCE PREMIUMS 2010/2011

### 2 YEAR LICENCE

2 YEAR LICENCE	01 Aug 2010 to 31 Mar 2012	01 June 2011 to 31 March 2012
<b>RIDERS AGENT</b>	<b>\$225.00</b>	<b>\$112.00</b>
Licence Fee	\$225.00	\$112.00
<b>TRACK WORK RIDER</b>	<b>\$90.75</b>	<b>\$57.75</b>
Licence Fee	\$66.00	\$33.00
Urine sample	\$24.75	\$24.75
<b>STABLEHAND/FARRIER</b>	<b>\$66.00</b>	<b>\$33.00</b>
Licence Fee	\$66.00	\$33.00
<b>1 YEAR SUBSCRIPTION</b>		
<b>RACING AHEAD SUBSCRIPTION</b>		
Racing Ahead Subscription	\$88.00	



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**GST DECLARATION FORM - TRAINER / DRIVER / JOCKEY / APPRENTICE  
 (HOBBY OR BUSINESS)**

Section 1: Code and Status <span style="float: right; font-weight: normal; font-size: small;">Please complete or tick ✓ where applicable</span>					
Harness <input type="checkbox"/>			Thoroughbred <input type="checkbox"/>		
<input type="checkbox"/> Trainer	<input type="checkbox"/> Driver	<input type="checkbox"/> Trainer & Driver	<input type="checkbox"/> Trainer	<input type="checkbox"/> Jockey	<input type="checkbox"/> Apprentice
<input type="checkbox"/> Resident	<input type="checkbox"/> Non Resident		<input type="checkbox"/> Resident	<input type="checkbox"/> Non Resident	

Section 2: Names and Contact Details <span style="float: right; font-weight: normal; font-size: small;">Please complete or tick ✓ where applicable</span>			
<b>TRAINER / DRIVER / JOCKEY or APPRENTICE NAME:</b> _____ <div style="display: flex; justify-content: space-between; font-size: small;"> <span>Surname</span> <span>Given Names</span> </div>			
Trading As: _____			
<b>Address:</b>	Street No. & Street Name: _____		
	Suburb: _____	State: _____	Postcode: _____
<b>Contact Numbers:</b>	Residential: _____	Business: _____	Mobile: _____
	* Email: _____		

*\*A monthly fee will be applicable if an email address is not provided*

Section 3: Residency Status <span style="float: right; font-weight: normal; font-size: small;">Please complete or tick ✓ where applicable</span>				
<b>Are you a resident of Australia for income taxation purposes?</b> <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span>				
<i>If the answer is No, then we are obligated to withhold amounts under the Foreign Resident Withholding provisions.          Where the licensee (trainer, driver, jockey or apprentice) is GST registered the following agreement is given:</i>				
<b><u>AGREEMENT FOR THE ISSUE OF RECIPIENT CREATED TAX INVOICES</u></b>				
<b>Between:</b> Racing and Wagering Western Australia ("Recipient") ABN: 2134 7055 603 14 Hasler Road, OSBORNE PARK WA 6017				
<b>and</b> The Signatory (referred to as the "Supplier")				
<b><u>Terms and Conditions</u></b>				
The Supplier and Recipient agree that:				
1. The Recipient may issue tax invoices in respect of supplies made by the Supplier; 2. The Supplier may not issue tax invoices in respect of supplies made by the Supplier; 3. The Supplier acknowledges that it is registered for GST when it enters into this Agreement and it will notify the Recipient if it ceases to be registered; and 4. The Recipient acknowledges that it is registered for GST when it enters into this Agreement and it will notify the Supplier if it ceases to be registered; and 5. The Recipient will indemnify the Supplier for any liability for GST and penalty that may arise from an understatement of the GST payable on any supply for which it issues a recipient created tax invoice.				
<b>When you sign this GST Declaration, you are taken to have read this Agreement and agreed to the terms and conditions outlined above.</b>				
<b>TRAINER / DRIVER / JOCKEY / APPRENTICE NAME</b>	<b>HOBBY</b> Y / N	<b>ABN – related to the horse industry (Applicable for Businesses)</b>  _____ Start Date _____	<b>GST Reg</b> Y / N	<b>SIGNATURE</b>  _____
<i>Should your Hobby/ABN and/or GST registration status change at any time, you must notify us of your new status immediately to enable us to make the correct amount of payments.</i>				
<i>Should you require clarification on these entity types or taxation rulings, please refer to the ATO website.</i>				

**Compulsory section - must be completed**

<b>Section 4: Australian Bank Account Details</b>			
<b>For Residents</b>			
Account Name:			
Name of Bank:		Branch:	
BSB:	_____ - _____ (max 6 digits)	Account Number:	_____ (max 10 digits)
Name:		Signature:	
<b>For Non-Residents</b>			
Account Name:			
Name of Bank:		Branch:	
Account Number:			
Name:		Signature:	

**RWWA Privacy Policy**

Please refer to RWWA's Privacy Policy on [www.rwwa.com.au](http://www.rwwa.com.au)



RACING AND WAGERING WESTERN AUSTRALIA

## **THOROUGHBRED TRAINERS PUBLIC LIABILITY INSURANCE NOTICE**

**It is a Racing and Wagering Western Australia (RWWA) requirement that all licenced trainers in Western Australia have public liability insurance.**

The general purpose of public liability insurance is to provide protection for trainers against liability arising from injury to a third party (or damage to a third party's property) which was caused by the negligence of the trainer.

The premium allocation consists of a base flat fee charged to all WA licenced trainers and included with the licence, and a fee per start allocated to all official races and trials and invoiced to trainers.

RWWA currently participates in a national scheme arranged by the Australian Trainers' Association (ATA) and Jardine Lloyd Thompson Pty Ltd in Melbourne. Details of the policy are:

- The limit of indemnity is \$20 million for Public and Products Liability. A majority of Government bodies and local Councils are now requiring this level of indemnity in cases where trainers lease or have access to land or other property.
- Care, custody and control exposure such as claims made by owners for horses in the trainers' care, custody and control is limited to \$5 million.
- In addition, Professional Indemnity cover is provided for liability arising from errors or omissions resulting from the carrying out by the trainer of his/her professional duties.
- Cover also extends to include some activities incidental to training such as agistment and breeding (excluding service) in some circumstances.
- There is no excess applicable to this policy.
- This policy requires you to inform the ATA immediately upon notice of a claim being made against you and under no circumstances should any admission of liability be made at any time.
- The ATA scheme, as with most public liability schemes, does not provide you with cover for claims made against you by any of your relatives who reside with you.
- The ATA has a web site detailing cover available under the policy, information on how to make a claim and policy documents. Go to ATA website: [www.austrainers.com.au](http://www.austrainers.com.au) and click on Racing Information and Insurance.

**No other action is necessary and cover is automatically affected once your licence application has been approved by RWWA for the period covered by your licence.**



14 Hasler Road Osborne Park, WA, 6017  
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RACING AND WAGERING WESTERN AUSTRALIA

## STATEMENT OF ASSETS AND LIABILITIES CONFIDENTIAL DECLARATION

### ASSETS

Property/s in own name situated at:	
1.	\$
2.	\$
3.	\$
4.	\$
5.	\$
Total Cash in Hand:	\$
Total Cash in Bank:	\$
<i>Attach copy of bank statement/s.</i>	
Savings Account:	\$
<i>Attach copy of bank statement/s</i>	
Other Assets Details	
1.	\$
2.	\$
3.	\$
4.	\$
<b>(A) TOTAL ASSETS</b>	
	<b>\$</b>

### LIABILITIES

Total Owing on Property/s:		\$
Total Bank Overdraft:		\$
Total Personal Loans:		\$
Total Credit Card Debt:		
Rent Payments: (Monthly payment = \$ )	12-Months:	\$
Hire Purchase Commitments Details:		
1.		\$
2.		\$
Other Liabilities Details: (e.g. veterinarians, feed merchants etc.)		
1.		\$
2.		\$
3.		\$
<b>(B) TOTAL LIABILITIES:</b>		<b>\$</b>
<b>(C) EXCESS OF ASSETS OVER LIABILITIES (A minus B)</b>		<b>\$</b>

### A P P L I C A N T ' S D E C L A R A T I O N

I \_\_\_\_\_ of \_\_\_\_\_  
 Do solemnly make this declaration conscientiously believing the same to be true and by virtue of the provisions of the Oaths, Affidavits and Statutory Declarations Act 2005. Made and subscribed by the above named and declared

This \_\_\_\_\_ day of \_\_\_\_\_ 201\_\_\_\_\_

\_\_\_\_\_ Signature of Applicant

At \_\_\_\_\_

Before me \_\_\_\_\_ Signature of Witness

Name and Qualification \_\_\_\_\_

- Witness must be the list of professions from the Oaths, Affidavits and Statutory Declarations Act 2005.

On 1 January 2006 the Parliament of Western Australia proclaimed the ***Oaths, Affidavits and Statutory Declarations Act 2005*** which makes changes to the manner in which oaths, affidavits and statutory declarations are administered and witnessed

Professions that can witness statutory declarations include:

- Academic {post-secondary institution}
- Accountant
- Architect
- Australian Consular Officer
- Australian Diplomatic Officer
- Bailiff
- Bank Manager
- Chartered secretary
- Chemist
- Chiropractor
- Company auditor or liquidator
- Court officer {Judge, magistrate, registrar or clerk}
- Defence Force officer
- Dentist
- Doctor
- Electorate Officer {State – WA only}
- Engineer
- Industrial organisation secretary
- Insurance broker
- Justice of the Peace {any State}
- Lawyer
- Local government CEO or deputy CEO
- Local government councillor
- Loss adjuster
- Marriage Celebrant
- Member of Parliament {State or Commonwealth}
- Minister of religion
- Nurse
- Optometrist
- Patent Attorney
- Physiotherapist
- Podiatrist
- Police officer
- Post Officer manager
- Psychologist
- Public Notary,
- Public Servant {State or Commonwealth}
- Real Estate agent
- Settlement agent
- Sheriff or deputy Sheriff
- Surveyor
- Teacher
- Tribunal officer
- Veterinary surgeon



RACING AND WAGERING WESTERN AUSTRALIA

ABN 21347055603

### Licensing & Registrations

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## LICENCE/PERMIT - MEDICAL EXAMINATION REPORT

### Part A & B

**APPLICANTS NOTE:**

- (1) Only return part A & B completed (This page) to Licensing & Registrations if you are passed "Fit".
- (2) Your Doctor must retain the completed parts C & D if you are passed "Fit".
- (3) If you are not passed "Fit" and wish to continue with your application you must return all parts completed, to Licensing & Registrations.

#### APPLICANT MUST COMPLETE PART "A"

PLEASE TICK LICENCE/PERMIT APPLIED FOR

**A**

THOROUGHBRED	Jockey		Apprentice Jockey		Track Work Rider		Trainer Riding Track Work	
HARNESS	Driver		Trainer & Driver		Trainer		Stable Hand	

Have you at any time received, applied for or do you currently receive any form of accident or disability benefit or pension? eg Worker's Compensation/Personal Injury Claim/Disability Pension etc. If so provide details \_\_\_\_\_

Are you currently or regularly taking any prescription medicine that would be categorised as a banned or prohibited substance under the Rules of Racing for any condition? If so provide details. (Should you have any doubts, please refer to page 3 and discuss with your Examining Doctor before responding.) \_\_\_\_\_

Mr/Mrs/Ms/Miss

SURNAME: \_\_\_\_\_ GIVEN NAMES: \_\_\_\_\_

#### A P P L I C A N T S D E C L A R A T I O N

I declare that all the particulars stated on this Report and attachments are complete and correct and that I have not withheld any relevant information or provided any false or misleading information, statement or declaration. I acknowledge that if I provide any false or misleading information, statement or declaration in this Report or attachments I am liable to refusal, suspension or cancellation of my licence or permit. I also declare should any of the Conditions contained herein become evident during the currency of my licence or permit I agree to abstain from carrying on any activity regulated by or associated with the licence or permit and immediately advise the Stewards, and if required submit myself to examination by a Medical Practitioner approved by Racing & Wagering WA (RWAA). I also authorise a Medical Practitioner approved by RWAA to obtain information from relevant Clinical reports and X-ray and Pathology reports from any Medical Practitioner I have attended. I also authorise RWAA to refer relevant information to other medical personnel to use the information for the purposes of assessing my suitability to be granted or retain a licence or permit. I acknowledge that I am required to provide immediate written notification to Licensing & Registrations of any changes which may affect my fitness to carry out the activities associated with my licence/permit.

SIGNATURE OF APPLICANT

DATE

**EXAMINING DOCTOR MUST COMPLETE PART "B"**  
**FITNESS FOR LICENCE/PERMIT APPLIED FOR**

**B**

I have today personally examined the applicant in accordance with parts C & D of this Report, which included taking into account his/her answer to the questions in Part A "Have you at any time received, applied for or do you currently receive any form of accident or disability benefit or pension? eg Worker's Compensation/ Personal Injury Claim/Disability Pension etc. the , and hereby declare that (please check appropriate box):

EXAMINING DOCTOR MUST CHECK RELEVANT BOX

In my opinion the applicant **HAS NO SYMPTOMS OR CONDITIONS** that **MIGHT** render him/her unfit for the issue of the licence/permit applied for and therefore **IS FIT** without restriction for the issue of the licence/permit applied for.  
Further, I do not consider any further reports or tests are required of this applicant. I found nothing unfavourable in the applicant's personality as revealed by history, appearance and behaviour.

In my opinion the applicant has the following symptoms or conditions\*\*\* that may render him/her **UNFIT** for the issue of the licence/permit applied for and I recommend that the applicant be referred to the RWWA Medical Officer for further examination. **(NB: Applicant must return entire document if this option is applicable)**

**Symptom/Condition:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**NB: \*\*\*** Where an applicant requires on-going treatment with medication, or is otherwise taking such medications that are prohibited under the Rules of Racing (see details over) this may render them unfit for the issue of a licence and it is therefore appropriate to complete the above section.

\_\_\_\_\_  
*NAME & ADDRESS OF EXAMINING MEDICAL PRACTITIONER*

\_\_\_\_\_  
*SIGNATURE OF EXAMINING DOCTOR*

\_\_\_\_\_  
*DATE*

**MEDICAL EXAMINER NOTE:**

- (1) If the examining Doctor cannot declare the applicant's fitness to hold the licence/permit applied for, **all parts must be completed and returned to the applicant if he/she wishes to continue with the application.** The Examining Doctor may retain a copy.
- (2) If the applicant is under any treatment involving medications/substances prohibited by the RWWA Rules of Racing as outlined on page 3, this may render them unfit for licence, in which case this should be indicated accordingly above.
- (3) If the applicant is passed "Fit" parts, "C" & "D" must be retained by the Examining Doctor.
- (4) Please see EXAMINING DOCTORS NOTE regarding the licence/permit types.
- (5) Use of the words "Fit" or "Fitness" refers to the "Fitness" of the applicant to carry out the activities regulated by the licence/permit applied for.

## PROHIBITED/BANNED SUBSTANCES

The respective Rules of Racing for Thoroughbreds and Harness below, outline those substances that are prohibited or banned in riders, drivers, Jockeys, Track Work Riders and other categories specified within the Rules.

The following are a guide to banned substances which also includes "drugs of abuse" within the scope of that expression as used in the document published by Standards Australia AS4308-1995 or its equivalent.

Lysergic acid diethylamide (LSD) (0µg/L);

All barbiturates (0µg/L);

All diuretics (0µg/L):

Probenecid: (0µg/L)

Alcohol (at a concentration in excess of 0.02% on a breath analyser):

All stimulants – substances in this group include, but are not restricted to, Amphetamine (150µg/L): Methylamphetamine (150µg/L): Methylenedioxyamphetamine (MDA) (150µg/L): Methylenedioxymethylamphetamine (MDMA) (150µg/L): Methylethoxyamphetamine (MDMA) (150µg/L): Methyphenidate (0µg/L): Modafinil (0µg/L): Cocaine (100µg/L): Ephedrine (10,000µg/L).

Substances in this group excluded are: Levo-amphetamine: Levo-methylamphetamine: Phenylpropanolamine: Pseudoephedrine.

All anorectics – substances in this group include, but are not restricted to, Phentermine (500µg/L): Diethylpropion (0µg/L):

Sibutramide (0µg/L).

All opiates and opioids – substances in this group include, but are not restricted to, Morphine (0µg/L), save as specified by AR.81C):

Codeine (0µg/L), save as specified in AR.81C): Oxycodone (0µg/L): Fentanyl (0µg/L): Alfentanil (0µg/L): Pethidine (0µg/L):

Methadone (0µg/L): Heroin (0µg/L): Monoacetylmorphine (0µg/L): Hydromorphone (0µg/L): Buprenorphine (0µg/L).

Substances in this group excluded are: Dihydrocodeine: Dextromethorphan: Pholcodine: Propoxyphene: Tramadol

All dissociative anaesthetics and related substances – substances in this group include, but are not restricted to: Ketamine (0µg/L):

Phencyclidine (0µg/L): Tiletamine (0µg/L).

Gamma-hydroxybutyrate (GHB) and pro-drugs of GHB (1,4-butanediol: gammabutyrolactone) (10,000µg/L).

Benzylpiperazine (500 µg/L) and phenylpiperazine (0µg/L) and their derivatives (0µg/L).

Tryptamine derivatives (0µg/L) (e.g. dimethyltryptamine: alphamethyltryptamine: hydroxydimethyltryptamine and related substances)

All benzodiazepines – substances in this group include: but are not restricted to: Diazepam (200µg/L): Nordiazepam (200µg/L):

Oxazepam (200µg/L): Temazepam (200µg/L): Alprazolam (100µg/L, as alpha-hydroxyalprazolam): Clonazepam (100µg/L, as 7-

aminoclonazepam): Flunitrazepam (100 µg/L, as 7-aminoflunitrazepam): Nitrazepam (100µg/L, as 7-aminonitrazepam):

Bromazepam (0µg/L): Clobazam (0µg/L): Flumazenil (0µg/L): Lorazepam (0µg/L): Midazolam ((0µg/L): Triazolam (0µg/L): and

substances with similar structure or pharmacological activity – benzodiazepine receptor agonists (zalplon: zolpidem: zopiclone).

### **NOTE**

RWWA recognises that some medications which may fall into the above categories are essential for the treatment of substantial illness, condition or ailment suffered by an applicant for licence. Where such medication is prescribed by a medical practitioner who is a recognised specialist in the relevant field of medicine permission may be granted in consultation with the RWWA Medical Officer for that person to be granted a licence. In such circumstances full details of the condition and medication must be outlined to the Stewards for approval prior to the person engaging in activity governed by a licence.

## EXAMINING DOCTORS NOTE

**KEY PHYSICAL FACTORS REQUIRED OF AN APPLICANT FOR ANY LICENCE/PERMIT ARE – STRENGTH, MOBILITY, REFLEXES, EYESIGHT AND HEARING.**  
**PLEASE ALSO REFER TO PAGE 3 REGARDING PROHIBITED OR BANNED SUBSTANCES.**

## T H O R O U G H B R E D   A P P L I C A N T S



- Jockey & Apprentice Jockey: Require overall fitness to control a thoroughbred horse whilst riding it in races and trials.
- Track Work Rider: Require overall fitness to control a thoroughbred horse whilst riding it in fast or slow work in close proximity to other riders and horses in training.
- Trainer who rides Track Work: Require overall fitness to control a thoroughbred horse whilst riding it in fast or slow work in close proximity to other riders and horses in training.

## H A R N E S S   A P P L I C A N T S



- Driver & Trainer/Driver: Requires overall fitness to control a standardbred (Pacer/Trotter) horse whilst driving it from a sulky in races and trials.
- Trainer: Requires overall fitness to control a standardbred (Pacer/Trotter) horse whilst driving it from a sulky in fast or slow work in close proximity to other horses.
- Stable Hand: Requires overall fitness to control a standardbred (Pacer/Trotter) horse whilst driving it from a sulky in fast or slow work in close proximity to other horses.



RACING AND WARFARING WESTERN AUSTRALIA  
 ABN 21347055603

**Licensing & Registrations**  
 14 Hasler Road OSBORNE PARK WA  
 Telephone (08) 9445 5558  
 Facsimile (08) 9445 5586

CONFIDENTIAL

**Part C & D**

**LICENCE/PERMIT - MEDICAL EXAMINATION REPORT**

ONCE COMPLETED, AND THE APPLICANT IS DECLARED "FIT", PARTS C & D **MUST BE RETAINED BY THE EXAMINING DOCTOR**. IF THE EXAMINING DOCTOR CANNOT DECLARE THE APPLICANT "FIT" AND THE APPLICANT WISHES TO CONTINUE WITH THE APPLICATION, ALL PARTS MUST BE COMPLETED AND RETURNED TO THE APPLICANT FOR REFERRAL TO THE RWAA MEDICAL OFFICER.

- THE APPLICANT MUST COMPLETE PARTS A (PAGE 1) AND C (PAGES 4 & 5).
- THE EXAMINING DOCTOR MUST COMPLETE PARTS B (PAGE 2) AND D (PAGES 6 & 7).

(PLEASE PRINT ALL DETAILS)



PLEASE TICK LICENCE/PERMIT APPLIED FOR

THOROUGHBRED	Jockey		Apprentice Jockey		Track Work Rider		Trainer Riding Track Work	
HARNESS	Driver		Trainer & Driver		Trainer		Stable Hand	

Mn/Mrs/Ms/Miss

SURNAME: \_\_\_\_\_ GIVEN NAMES: \_\_\_\_\_

DATE OF BIRTH (Day) \_\_\_\_\_ (Month) \_\_\_\_\_ (Year) \_\_\_\_\_

**DETAILS OF PERSONAL MEDICAL HISTORY**

Have you experienced or do you suffer any of the conditions listed below? (Circle Yes or No)

CONDITION	Yes	No
1. Nervous disorders including nerves, Depression, nervous breakdown, mental or emotional instability, Anxiety state or attempted suicide.		
2. Headaches or Migraine		
3. Fits, convulsions, turns, blackouts, fainting, giddiness or Epilepsy.		
4. Lung or chest infections, Pneumonia, Bronchitis, Asthma or Tuberculosis.		
5. Heart disease, blood pressure, Rheumatic fever or Angina pectoris		
6. Indigestion, pain after eating, Gastric or Duodenal ulcers, Hiatus Hernia, Gall Bladder disease, recurrent Diarrhoea or Appendicitis.		
7. Kidney or Bladder problems, Cystitis (Inflammation of the bladder) or Stones.		
8. Diabetes, Goitre, Thyroid disease or any disease of the Lymphatic Glands.		
9. Anaemia or blood disease.		
10. Perforated eardrums, deafness, Tinnitus (Noises in the ears), earache, ear discharge or blocked ears.		
11. Sinusitis, frequent head colds, blocked nose, hay fever or allergies		
12. Back, spine or neck injuries or pain or Arthritis.		
13. Fractures or dislocations.		
14. Head injury, concussion or unconsciousness.		
15. Skin disease, Eczema or Dermatitis.		
16. Speech defect.		
17. Surgical procedures or hospital admission.		
18. Any other sickness or injury not mentioned above.		
19. Have you ever made a claim for Workers Compensation?		



## PHYSICAL EXAMINATION REPORT

THE MEDICAL PRACTITIONER WHO EXAMINED THE APPLICANT MUST COMPLETE & RETAIN PART D (PAGES 5 & 6).

APPLICANT'S SURNAME: \_\_\_\_\_ GIVEN NAMES: \_\_\_\_\_

1. Height (Metres - Barefoot)		2. Weight (Kilograms - In underclothes)		3. Body Mass Index (Weight ÷ Height <sup>2</sup> )	
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EYES	EXAMINER'S COMMENTS	
4. Lids & Cornea - Normal?	Yes	No
<b>Visual acuity for distance</b>	<b>Right</b>	<b>Left</b>
5. Uncorrected	6/	6/
6. Corrected	6/	6/
7. Movement - Normal	Yes - No	Yes - No
8. Fields (Confrontation test) - Normal	Yes - No	Yes - No
9. Are contact lenses or spectacles worn?	No	Yes

EAR NOSE & THROAT		
10. Nose - Normal?	Yes	No
<b>Ears</b>	<b>Right</b>	<b>Left</b>
11. External auditory canal - Normal?	Yes - No	Yes - No
12. Tympanic membrane - Normal?	Yes - No	Yes - No
13. Conversational voice @ 2.5 metres binaural - Normal?	Yes - No	Yes - No

MUSCULO SKELETAL SYSTEM		
14. Spinal Function - Normal?	Yes	No
15. Strength & Range of movement in upper or lower extremities - Normal?	Yes	No
16. Joints - Normal?	Yes	No
17. Limbs - Normal?	Yes	No
18. Any orthopaedic appliances worn?	No	Yes

CENTRAL NERVOUS SYSTEM		
19. Pupillary Reflexes - Normal	Yes	No
20. Tendon/Reflexes - Normal	Yes	No
21. Cranial nerves - Normal	Yes	No
22. Any sign of gross sensory disturbance?	No	Yes
23. Any sign of paresis, tremor or tics?	No	Yes

CARDIO VASCULAR SYSTEM					
24. Pulse rhythm & character - Normal	Yes	No			
25. Heart sounds - Normal	Yes	No			
26. Pulse rate: <b>BPM</b> Normal?	Yes	No			
27. Peripheral pulses - Normal?	Yes	No			
28. Blood Pressure	<b>Sitting</b>	(Systolic) / (Diastolic)	<b>Standing</b>	(Systolic) / (Diastolic)	
29. Note: If BP is greater than 140 (Systolic) or 90 (Diastolic) record BP after applicant has been lying down for 5-minutes.	<b>Lying</b>	(Systolic) / (Diastolic)			

**RESPIRATORY SYSTEM****EXAMINER'S COMMENTS**

30. Respiratory system – Normal?	Yes	No
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**DIGESTIVE SYSTEM & ABDOMEN**

31. Oropharynx – Normal	Yes	No
-------------------------	-----	----

32. Spleen – Normal	Yes	No
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33. Liver – Normal	Yes	No
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34. Other abdominal organs – Normal	Yes	No
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35. Is Hernia present?	No	Yes
------------------------	----	-----

**GENITO URINARY**

36. Urine - Glucose - Normal?	Yes	No
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Albumin - Normal?	Yes	No
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Other Abnormalities?	No	Yes
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37 Testes – Any abnormality affecting fitness?	No	Yes
--	----	-----

**SKIN**

38. Skin - Normal?	Yes	No
--------------------	-----	----

39. Any body marks or scars?	No	Yes
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**OTHER**

40. Thyroid gland – Normal?	Yes	No
-----------------------------	-----	----

41. Lymph glands – Normal?	Yes	No
----------------------------	-----	----

42. Speech - Normal?	Yes	No
----------------------	-----	----

**FEMALE APPLICANTS**

43. Dysmenorrhoea?	No	Yes
--------------------	----	-----

44. Menorrhagia?	No	Yes
------------------	----	-----

45. Is the applicant pregnant?	No	Yes
--------------------------------	----	-----

**OTHER**

46. Is there evidence of drug or alcohol abuse?	No	Yes
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47. If the applicant is over 50 years of age, please consider but <b>do not</b> perform, fasting blood lipids, glucose & stress ECG.		
--	--	--

\_\_\_\_\_  
NAME OF EXAMINING DOCTOR

\_\_\_\_\_  
SIGNATURE OF EXAMINING DOCTOR

\_\_\_\_\_  
DATE

**EXAMINING DOCTOR NOTE:**

- IF THE APPLICANT IS "Fit", PART "A" AND "B" (PAGE 1) MUST BE COMPLETED AND RETURNED TO THE APPLICANT FOR LODGEMENT WITH HIS/HER APPLICATION. PART "C" AND "D" (PAGES 3 – 6) **MUST BE RETAINED BY THE EXAMINING DOCTOR.**
- IF THE APPLICANT IS **NOT** "Fit" AND WISHES TO CONTINUE WITH THE APPLICATION ALL PARTS MUST BE COMPLETED AND RETURNED TO THE APPLICANT FOR REFERRAL TO THE RWWA MEDICAL OFFICER. THE EXAMINING DOCTOR MAY RETAIN A COPY.
- PLEASE NOTE PROVISIONS WITH RESPECT TO PRESCRIPTION MEDICINES OR SIMILAR WHICH MAY BE CONTRARY TO THE RULES OF RACING. (SEE PAGE 3).

## **PROHIBITED SUBSTANCE RACE DAY SAMPLE COLLECTION (SC) PROCEDURE**

Trainers should be aware of the following information and requirements for their nominated witness regarding race day sample collection procedures.

### **WITNESS FOR A TRAINER**

- The Trainer's witness must hold a licence or permit with RWWA. (Therefore, unless an owner of the horse holds a licence or permit with RWWA he/she cannot act as a witness for the Trainer).
- A horse selected for post-race SC may be permitted to drink water from a hose or freshly drawn water in a bucket. The horse should **not** be given any medication or be permitted to drink water from a bucket that has been left unattended and possibly adulterated.
- The witness must stay with the horse for the entire SC procedure.
- The witness must observe the sample collection, packaging and documentation.
- The witness must be available, at all stages, to answer questions from the Sample Collection Officer.
- The correct labeling, signing and packaging of all samples and paperwork is vital.
- The witness must sign the Sample Identity card where designated.
- The witness may ask questions regarding the procedure to be satisfied that the sample collection, packaging and documentation are in accordance with the standard operating procedures.
- The use of mobile telephones, drinking, smoking, and eating are not permitted in the sample collection area.

**DR. JUDITH MEDD  
RWWA RACING INDUSTRY VETERINARIAN  
NOVEMBER 2010**



RACING AND WAGERING WESTERN AUSTRALIA  
 ABN 21 347 055 603


**Licensing & Registrations**  
 14 Hasler Road OSBORNE PARK WA  
 Telephone (08) 9445 5558  
 Facsimile (08) 9445 5586

**HOW TO PAY**

You may pay by -

- Bank Cheque or Money Order payable to **“Racing & Wagering WA”**
- Credit Card
  - Using the RWWA Credit Card Authorisation Slip below. Complete the details then detach the slip and return it with your completed application
  - Over the phone by calling the number on your document

-----  
Detach and Return with your application

 RACING AND WAGERING WESTERN AUSTRALIA		<b>CREDIT CARD AUTHORISATION SLIP</b>			
<b>Credit Card Type</b> [    ] <b>VISA</b> [    ] <b>MASTERCARD</b>		<b>(AMEX &amp; DINERS not accepted)</b>			
<b>Card No.</b>	<input style="width: 100%; height: 15px;" type="text"/>	<input style="width: 100%; height: 15px;" type="text"/>	<input style="width: 100%; height: 15px;" type="text"/>	<input style="width: 100%; height: 15px;" type="text"/>	<b>Card Expiry Date</b> /
<b>Name on Card</b> _____			<b>Amount \$</b> _____		
<b>Card Holders Signature</b> _____			<b>Date</b> _____		
I agree to RWWA charging my credit card account for the amount shown above					
<b>Account No.</b>		<b>Contact Telephone Number:</b>			
<b>Invoice No/s.</b>					



RACING AND WAGERING WESTERN AUSTRALIA



## RIDER SAFETY AT TRACKWORK

Racing & Wagering Western Australia (RWAA) and The Western Australian Turf Club (Perth Racing) jointly advises all licensed personnel that **ALL** riders riding track work at Ascot or Belmont Park Racecourses or Lark Hill must comply with the following requirements:

### **Safety Lighting:**

Every person must, when mounted on a horse during darkness, have affixed to their skull cap a safety warning light or lights which is clearly visible from both the right and left hand sides.

### **High Visibility Apparel:**

Every person must, at all times when mounted on a horse, wear an orange coloured high visibility vest. The recommended vest is the orange coloured EVIZ brand – High Visibility Vest (manufactured by Elliott Australia). Other brands as designated and approved by Perth Racing may also be permitted.

### **Non Compliance:**

Any rider not appropriately equipped will **NOT** be permitted to ride track work.

### **Purchase of equipment:**

Suitable safety lighting and the high visibility apparel can be purchased from the trainers stand at the track in the mornings. Bio John will also be carrying the range.

**BRAD LEWIS**  
**CHIEF STEWARD THOROUGHBRED RACING**  
**16 September 2005**

# NOTICE FROM THE WESTERN AUSTRALIAN TURF CLUB

## REGULATIONS APPLICABLE TO THE RACECOURSES & TRAINING GROUNDS UNDER THE CONTROL OF THE WESTERN AUSTRALIAN TURF CLUB.

The Western Australian Turf Club's Ascot and Belmont Park Racecourses are recognized as Official Training Tracks by Racing and Wagering Western Australia (RWVA) and are subject to both the Rules of Racing and the By-laws of the Club. Listed below are specific regulations applicable to both Ascot and Belmont Park Racecourses. The Committee may change these guidelines from time-to-time.

### T r a i n e r s

1. No horse shall be brought onto Ascot and/or Belmont Park Racecourses unless it is under the charge of:
  - a) a trainer who holds a licence or permit issued by RWVA.
  - b) a visiting trainer who holds a clearance from another Principal Club or Association.
2. No trainer or other authorized licensed person shall permit a horse under their charge to be on the property at either Ascot or Belmont Park Racecourses unless that horse is attended by a licensed person at all times.
3. No trainer or person in charge of a horse shall occupy any scraping box for a longer time than may be necessary for the purpose of stripping and saddling such horse before working, and of dressing and unsaddling after work.

### H o r s e s

4. All horses found loose on the lands of the Club will be impounded.
5. Horses being exercised on race days shall only be walked in areas set aside for such purpose.
6. Horses exercising in breaking-in saddles may only work on tracks restricted to slow work.
7. No riderless horse may be led or exercised on the course proper (grass) or on any training track (sand/viscoride).
8. Walking exercise for horses on the lands of the Club is prohibited except in the areas provided for such purpose. Trotting and cantering in areas set aside for walking is forbidden, except where permission is otherwise given.
9. The grass tracks shall be used only for the purpose of fast work. Horses working on grass tracks must keep to the areas marked off for work.
10. Horses must pass over track crossings in single file, unless being led.
11.
  - a) Horses being worked slowly on any training track must be ridden on the inside of the track, and horses doing fast work shall pass on the outside.
  - b) Horses entering tracks must give way to horses working through.
  - c) Horses entering tracks must proceed directly to the inside of the track.
12. All horses shall be taken anti-clockwise to the point from which their gallop is intended to start. All horses must enter tracks from specified openings.
13. Horses pulling up must keep to the outside of the track. When one horse is being passed by another, the horse overtaking shall go to the outside, and the horse being passed shall pull to the inside.
14. Special permission must be obtained to work horses clockwise on any track.
15. Horses galloping or working on the training tracks must not work more than five abreast, or such other number as the Stewards or Track Supervisor may from time to time direct.
16. No horse shall be allowed on any part of a training track unless it is wearing a bridle or head collar with a bit attached.
17. Horses suffering from any infectious diseases, or any complaint causing discharges from the nostrils, are not permitted on any training track.

## R i d e r s

18. Only persons licensed as a jockey, apprentice, track rider or trainer with permission to ride are permitted to ride.
19. No rider shall use any spurs on a racecourse or training track ground which are not approved by the Stewards. Roller or Rowel spurs will not be permitted. Shanks must be of a smooth metal and shall not be sharpened.
20. Every rider must, when mounted on a horse during darkness, have affixed to their skull cap a safety warning light or lights that is clearly visible from both the right and left hand sides.
21. Every rider must, at all times, when mounted on a horse, wear an orange coloured high visibility vest. The recommended vest is the orange coloured EVIZ brand – High Visibility Vest (manufactured by Elliott Australia). Other brands as designated and approved by the WATC may also be permitted.
22. Riders who are not wearing suitable safety lighting and the approved high visibility apparel will not be permitted to ride track work.  
(20, 21, 22 added 9 September 2005).

## T r i a l s

22. The following horses will be eligible:
  - a) Horses referred back to trials by Stipendiary Stewards.
  - b) Horses which have not raced or trialed in the preceding 12 weeks.
  - c) All two years old horses
  - d) All un-raced horses.
  - e) Nominations for trials close at 9.30am on the Thursday preceding the trial day.
  - f) All horses must race in colours.

## S U P E R V I S E D J U M P O U T S

22A The following horses will be eligible:

- a) Un-raced horses.
- b) Horses referred by the Stipendiary Stewards.
- c) Horses which have not raced in the preceding 6 weeks.

22B OTHER:

- a) The intention to use blinkers for racing purposes must be specified at time of nomination.
- b) Horse to have trialed to the satisfaction of the Stewards and/or raced prior to the jump-out.
- c) Document of description to be made available prior to the jump-out.
- d) Horse to be ridden by a licensed jockey or apprentice having had more than fifty (50) race rides.
- e) Horses ridden by non permit apprentices or trainee apprentices who have been given permission to ride in trials.
- f) All jump-out entries close at 9.30am on the day appointed.
- g) Supervised jump outs will be charged the same as a grass track coupon.

## G E N E R A L

23. No person shall be permitted between the fences, or on the training tracks or courses, or to stand about the gates of the same while horses are being worked, except the owners and trainers of such horses, officials and employees of the Club, and any other person authorized by Committee and/or RWWA.
24. No person shall bring a dog unless it is a seeing eye or hearing dog onto Ascot and/or Belmont Park racecourses. Other dogs may be impounded.
25. The Committee of the Club and/or RWWA or any official authorized to act on its behalf, may at any time at their discretion, restrict the use of any training ground to any particular area or to any particular day or time.
26. All fees for use of Ascot and/or Belmont Park Racecourses must be paid prior to horses being worked.
27. Notwithstanding that it or he may have acted negligently or in breach of any contract, or in breach of any statutory duty neither the Club nor the Chairman nor any member of the Committee nor any officer, agent, independent contractor or employee of the Club shall be under any liability to any person for any loss, damage injury (including death) of or to any horses or person while on any premises of the Club (including property vested in the Chairman under the Act) or while on any approach thereto or while using any facility provided by the Club or while in the custody or control or under the direction of any officer, agent, independent contractor or employee of the Club acting in the course of his duty as such officer, agent, independent contractor or employee.

**EFFECTIVE 9 SEPTEMBER 2005** (Replaces all previous versions)