



RACING AND WAGERING WESTERN AUSTRALIA

LICENSING & REGISTRATIONS DEPARTMENT

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ABN 21 347 055 603

APPLICATION FOR APPROVAL OF PRODUCT DISCLOSURE STATEMENT

NAME OF PROMOTER:

Surname

Given Names

ADDRESS OF PROMOTER:

Street No.

Street

Suburb

State

P/Code

I apply for approval by Racing & Wagering WA (RWWA) for the Product Disclosure Statement in relation to:

Insert details of proposed yearling/s or racehorses/s

I confirm that:

- (a) the statements contained in this Product Disclosure Statement are true; and
- (b) the Product Disclosure Statement contains all information which the investors and their advisers would require and reasonably expect to find in the Product Disclosure Statement for the purpose of making an informed assessment about investment in the scheme.

I undertake that:

- (c) the Promoters will ensure that all syndicate or partnership members, or potential syndicate or partnership members receive a copy of the Product Disclosure Statement before executing either syndicate agreements or partnership agreements;
- (d) the Promoter will not circulate any information to prospective syndicate members other than the Product Disclosure Statement and any accompanying documents which have been approved by RWWA; and
- (e) the Promoter will provide RWWA with a copy of the Product Disclosure Statement and any accompanying documentation in the form in which it was sent to investors.

This Application is provided to RWWA as a condition of obtaining RWWA's consent to approval of the Product Disclosure Statement.

I acknowledge on behalf of the Promoter that RWWA will rely upon the statements made in this Application.

Promoters Signature:

Date:

Notes:

1. Promoters must submit to RWVA, together with the application, the original of the Product Disclosure Statement. RWVA must retain a copy of the Australian Financial Services Licence (AFSL) and of the variation granted under subsection 1084(2) and (6), Amendment or Variation Exemption and Declaration granted to the Promoter by the Australian Securities & Investments Commission.
2. This application must be accompanied by a Statutory Declaration Accompanying a PDS Approval.

APPLICATION FOR APPROVAL OF PRODUCT DISCLOSURE STATEMENT
STATUTORY DECLARATION

I,

Insert full name as set out in the Disclosure Statement

of

Insert full address

in the State of Western Australia, do solemnly and sincerely declare that:

1. I am a

Insert office or capacity

of

Insert full name of the promoter as set out in the Disclosure Statement

2. The Promoter has sought approval from Racing & Wagering WA of the attached Disclosure Statement relating to _____ the proposed Partnership/Syndicate.

Insert the name of the proposed Partnership/Syndicate or horse details as shown in the Disclosure Statement

3. The promoter has a legally enforceable right to possession of the horse described in the attached Disclosure statement / The promoter will, before or on registration of the horse racing syndicate, of the horse described in the attached Disclosure statement, with the lead regulator, have a legally enforceable right to possession of the horse. (*strike out whichever is not applicable*)

4. The Promoter is the **unencumbered / encumbered** (*strike out whichever is not applicable*) owner of the horse described in the attached Disclosure Statement. Investors in the Syndicate will receive unencumbered _____ title to the horse on registration of the Partnership/Syndicate.

5. The statements contained in the attached Disclosure Statement are true. The Disclosure Statement contains all information which investors and their advisers would require and reasonably expect to find in the Disclosure Statement for the purpose of making an informed assessment about investment in the Partnership/Syndicate.

And I make this solemn declaration under the *Oaths, Affidavits and Statutory Declarations Act 2005*

Signature of person making the declaration

Declared at

[Place]

on

[day] of

[month]

[year]

Before me,

Signature of person who has attained the age of eighteen before whom the declaration is made

[Full name]

[Address]

[Qualification₁]

1 Choose from the list in Note 1 (below)

On 1 January 2006 the Parliament of Western Australia proclaimed the Oaths, Affidavits and Statutory Declarations Act 2005 which makes changes to the manner in which oaths, affidavits and statutory declarations are administered and witnessed Professions that can witness statutory declarations include:

- Academic {post-secondary institution}
- Accountant
- Architect
- Australian Consular Officer
- Australian Diplomatic Officer
- Bailiff
- Bank Manager
- Chartered secretary
- Chemist
- Chiropractor
- Company auditor or liquidator
- Court officer {Judge, magistrate, registrar or clerk}
- Defence Force officer
- Dentist
- Doctor
- Electorate Officer {State – WA only}
- Engineer
- Industrial organisation secretary
- Insurance broker
- Justice of the Peace {any State}
- Lawyer
- Local government CEO or deputy CEO
- Local government councillor
- Loss adjuster
- Marriage Celebrant
- Member of Parliament {State or Commonwealth}
- Minister of religion
- Nurse
- Optometrist
- Patent Attorney
- Physiotherapist
- Podiatrist
- Police officer
- Post Officer manager
- Psychologist
- Public Notary,
- Public Servant {State or Commonwealth}
- Real Estate agent
- Settlement agent
- Sheriff or deputy Sheriff
- Surveyor

- Teacher
- Tribunal officer
- Veterinary surgeon