



RACING AND WAGERING WESTERN AUSTRALIA

RWWA

RULES OF

HARNESS RACING

1 August 2004

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PART 1

CLUBS

1. Registration of clubs

The Controlling Body shall keep a register of all harness racing clubs granted registration.

2. Registration procedure

- (1) A club desiring to promote or conduct meetings or races may make application to the Controlling Body for registration.
- (2) An application shall be made in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body may determine.
- (3) The Controlling Body may grant registration upon such terms and conditions as it thinks fit.
- (4) Registration may be refused by the Controlling Body without assigning any reason.
- (5) Registration may be cancelled by the Controlling Body for breach of a rule or a term or condition of registration.

3. Races at agricultural shows *(Repealed May 07)*

4. Inspection and measurement of tracks

Before registration can be granted under rule 2 the track proposed to be used for the conduct of meetings or races must be inspected by a person nominated by the Controlling Body and the Controlling Body must approve the use of the track.

5. Certificate of track dimensions

Each club shall whenever required by the Controlling Body to do so, furnish the Controlling Body with a certificate relating to the dimensions of the club's track made by such person and containing such information and certification and complying with such requirements as the Controlling Body may determine.

6. Qualifying/requalifying supervisors

- (1) Clubs, persons or bodies and such other persons as the Controlling Body may determine, may make application to the Controlling Body for the appointment of persons as qualifying/requalifying supervisors. *(amended GG 6/12/19)*
- (2) The application shall be made in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body may determine.
- (3) The Controlling Body may make appointments upon such terms and conditions as it thinks fit.

- (4) An application may be refused by the Controlling Body without assigning any reason.
- (5) Subject to the terms and conditions imposed under sub rule (3), qualifying/requalifying supervisors may -
 - (a) at or in respect of races promoted or conducted exercise the powers conferred on stewards by these rules; *[amended May 07]*
 - (b) supervise the conduct of official trials.

7. Harness racing associations

- (1) The secretary or other office holder of a harness racing association shall submit the association's constitution, rules, or any amendments thereto, to the Controlling Body for approval.
- (2) The constitution, rules, or amendments thereto, as the case may be, have no force or effect unless approval is given by the Controlling Body.
- (3) The secretary or other office holder of a harness racing association shall comply with a direction or request of the Controlling Body.
- (4) A person who fails to comply with sub rule (1) or sub rule (3) is guilty of an offence.

8. Information and reports

A club or an official shall when directed by the Controlling Body furnish it with information or investigate and report upon a matter.

9. Exclusion from racecourse

- (1) A club may exclude from its racecourse, premises or other place under its control, a person under disqualification or a person currently warned off or excluded from a racecourse.
- (2) A club shall immediately inform the Controlling Body of action taken under sub rule (1) and the reasons for that action.
- (3) If the Controlling Body disallows the action the club shall rescind it.
- (4) A club shall act under sub rule (1) if so directed by the Controlling Body.
- (5) A club which fails to comply with sub rule (2) or sub rule (3) or a direction given under sub rule (4) is guilty of an offence.

10. Offence - unregistered races

- (1) A club shall not promote or conduct meetings or races unless it is registered under these rules.
- (2) A club which fails to comply with sub rule (1) is guilty of an offence.
- (3) An office bearer of a club or body which fails to comply with sub rule (1) is guilty of an offence.

11. Offence - agricultural shows *[Repealed May 07]*

12. Offence - unregistered club

- (1) A person shall not take part in, or be employed or engaged in or about, or be connected with, any meeting, race or event promoted or conducted by a club not registered under these rules.
- (2) A person who fails to comply with sub rule (1) is guilty of an offence.

13. Disqualification of horse

Any horse owned, trained, raced or driven by any person convicted of an offence under rule 12, may be disqualified from racing and prevented from being trained on any racecourse or track.

PART 2
STEWARDS

14. Appointment

- (1) The Controlling Body may appoint stewards.
- (2) One steward shall be appointed Chairman of Stewards and others may be appointed Deputy Chairman of Stewards. *(amended GG 6/12/19)*
- (3) The Chairman shall be the Chief Steward at any meeting or race officially attended by the Chairman unless the Chairman otherwise directs.
- (4) The Deputy Chairman shall be the Chief Steward at any meeting or race officially attended by the Deputy Chairman unless the Chairman is also in official attendance or the Deputy Chairman otherwise directs.
- (5) At any meeting or race attended by stewards one shall be the Chief Steward including the case where only one steward is in attendance.
- (6) At any meeting or race attended by more than one steward the Chief Steward shall have a casting as well as a deliberative vote.
- (7) The Controlling Body may substitute other names for Chairman of Stewards and Deputy Chairman of Stewards and these rules will then be read accordingly.

15. Powers

- (1) Stewards are empowered – *(renumbered GG March 2006)*
 - (a) to direct and control at any meeting or race the activities of officials, owners, qualifying/requalifying supervisors, trainers, drivers, bookmakers, clerks, persons attending horses and anyone else appointed, employed or engaged in or about the meeting or race;
 - (b) to entertain and determine all matters under question or in dispute at or arising out of a meeting or race, or concerning the meaning or application of these rules, or concerning any aspect of the harness racing industry.
 - (c) at any meeting or race to appoint or remove any person from or to any office, position, responsibility or task;
 - (d) to exclude or direct the removal of a person from a racecourse;
 - (e) to suspend or disqualify any person from participating in or being employed or engaged in or about the harness racing industry;
 - (f) to furnish information about any person excluded, directed or warned off any racecourse to such persons and in such form as they consider appropriate;
 - (g) to stop, restart, rerun, postpone or abandon any race;
 - (h) to declare any race void;
 - (i) to postpone any meeting;
 - (j) to make announcements or issue publications or notices;

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- (k) to inspect, examine or test in such manner as they consider appropriate any person, horse, racetrack, stable, stud, artificial breeding station or other place, item, document, equipment, vehicle or substance; *(amended 1/9/15)*
 - (l) to provide reports and recommendations about or arising out of any inquiry, investigation or determination or upon any subject connected with the harness racing industry to the Controlling Body;
 - (m) to impose fines;
 - (n) to impose any other penalties provided for in these rules;
 - (o) to utilise any equipment;
 - (p) to confiscate or take possession of any substance or equipment or item or document permanently or for a period; *(amended 1/9/15)*
 - (q) to substitute any driver at a meeting or race;
 - (r) to suspend or disqualify any driver;
 - (s) to control the number of starters in a race;
 - (t) subject to sub-rule (2), to withdraw, bar or disqualify a horse from a race, or declare a horse to be a non starter, or late scratching; *(GG March.2006)*
 - (u) to reinstate a horse withdrawn or scratched or direct that a horse start in a race;
 - (v) to exclude a horse from a barrier draw;
 - (w) to handicap or re-handicap a horse;
 - (x) to take possession of a horse, alive or dead;
 - (y) to order the removal or destruction of a horse;
 - (z) to authorise or direct an autopsy of a horse;
 - (aa) to bar a horse from racing;
 - (ab) to make declarations and orders with respect to betting;
 - (ac) to engage the assistance or services of any person as a deputy or in any other capacity;
 - (ad) to order payment by a person of costs or expenses incurred by the stewards in the performance of their duties; and
 - (ae) to do anything else reasonably necessary to the performance of their duties.
 - (af) to direct a driver to drive in a designated number of trials and to their satisfaction prior to driving in a race other than an official trial. *(added GG 22/3/13)*
- (2) A horse which is placed first, second or third or fourth including after the determination of any protest shall not be declared a non-starter. *(added GG March 2006: amended 1/1/10)*

LR 15. Power to enter premises

(1) Without limiting rule 15, the stewards have the power at any time to enter upon the premises occupied by or under the control of a licensed person and used in any manner in relation to any licence, or any premises where Standardbred horses are kept, trained or raced (hereinafter referred to as the premises) to: (amended GG 28/8/15)

- (a) Inspect and search the premises*
- (b) Examine anything on the premises and also search any licensed person thereon.*
- (c) Take extracts from or make copies of, or download or print out, any documents found in the course of the inspection;*
- (d) Photograph or film anything on the premises*

- (e) Secure against interference anything that cannot be conveniently removed from the premises*
- (f) Require any person who is on the premises to*
 - (i) state his or her full name and address*
 - (ii) answer (orally or in writing) questions put to them that are relevant to the investigation*
 - (iii) give any information in the person's possession or control that is relevant to the inspection*
 - (iv) operate equipment or facilities on the premises for inspection purposes*
 - (i) give any translation, code, password or other information necessary to gain access to or to interpret and understand any document or information located or obtained by the Steward in the course of the inspection relevant to the investigation*
 - (vi) give other assistance that the Stewards reasonably requires to carry out the inspection.*
- (2) A steward who enters and remains upon land or premises under this rule, shall not thereby commit a trespass thereon and no action shall be brought or maintained against the steward or the Controlling Body for any damages or relief in respect of such entry or remainder.*
- (3) For the purposes of this Rule premises includes land, buildings or any fixed or movable structure, including any vehicle.*

LR 15A. Powers of investigator

Any investigator or investigators appointed by the Controlling Authority shall have powers mutatis mutandis as are given to the stewards under Rules 15(k), (p), (x) and (ae), 187 and LR15.

(GG 21 October 2003) (amended by adding reference to Rule 15(ae) GG 20 August 2013)

16. Powers exercisable at agricultural shows etc.

The stewards may, at races official trials and time trials, exercise the powers conferred upon them by these rules. *[amended may 07]*

17. Stewards may require trial

- (1) The stewards may require a horse to be trialled.
- (2) If the stewards are not satisfied with the performance of a horse trialled pursuant to sub rule (1), they may declare it ineligible to race for such period as they think fit.

18. Presence of stewards at meetings

Except with the approval of the Controlling Body, a club shall not conduct a meeting or race in the absence of the stewards.

19. Cadet and assistant stewards

- (1) The Controlling Body may appoint cadet and assistant stewards.
- (2) Persons appointed under sub rule (1) may exercise such powers of a steward as the Controlling Body may determine and are subject to the provisions of rule 20.

20. Conflicts of interest

- (1) No steward shall exercise any power conferred by these rules in respect of any matter in which the steward has a financial or family interest or which in any other way gives rise to a conflict of interest.
- (2) No steward shall bet or have a financial interest in any bet on a race.
- (3) No steward shall be engaged, whether alone or in any type of association with others, in any business or activity involving the ownership, breeding, sale, lease, training, racing or management of horses connected with the harness racing industry.
- (4) Unless the Controlling Body directs otherwise, the office of a steward is vacated if the steward does any of the things mentioned in this rule.
- (5) Unless the Controlling Body directs otherwise, a steward's office is vacated if the steward's spouse or domestic partner becomes involved in or acquires an interest in any business or activity described in sub rule (3).

**PART 3
MEETINGS AND RACES**

21. Dates for meetings and races

- (1) The Controlling Body may allocate to clubs and associations the dates on which meetings and races may be conducted.
- (2) Applications for dates must be lodged with the Controlling Body by the time stipulated by the Controlling Body.

22. Change of date

The date of a meeting shall not be changed except with the consent of the Controlling Body.

23. Nominations and acceptances

- (1) A horse may be nominated for acceptance in a race.
- (2) Only the Controlling Body can accept nominations.
- (3) A horse shall be nominated by the persons, in the manner and form, and with the accompanying documentation, information and fees determined by the Controlling Body.
- (4) Without limiting sub rule (3), the Controlling Body may require the nominator of a horse to supply names and particulars of persons with an interest in the horse.
- (5) A horse cannot be nominated if it is not registered under these rules or if a current stable return has not been lodged.
- (6) *Repealed (GG 28th April 2000).*
- (7) A horse in which a disqualified person and the spouse or other domestic partner, or parent, sibling or child of a disqualified person have an interest shall not be nominated for a race without the consent of the Controlling Body.
- (8) A nomination is not voided by the death of 1 or more of the connections or nominator of the horse.
- (9) A nomination cannot be made after the closing time shown on the approved program except when the closing time is extended by the Controlling Body.
- (10) A nomination cannot be altered or otherwise changed after the closing time except with the consent of the Controlling Body or the stewards.

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- (11) *(Repealed AHRC 29th October 1999)*
- (12) A disqualified horse cannot be nominated for or start in a race.
- (13) The Controlling Body may reject a nomination without assigning any reason.
- (14) Once nominated for a race the Rules and policies of the Controlling Body conducting the race shall apply. *(added GG 29/12/15)*

24. Nomination for heats and qualifying divisions

Nominations for any race may be called for in heats or in qualifying divisions.

25. Stable returns

- (1) A stable return containing true and correct particulars must be lodged with the Controlling Body by the connections of a horse within the time and in the manner and form determined by the Controlling Body and the connections shall ensure that all particulars on the stable return are true and correct. *[amended 1/1/07]*
- (2) In the event of a change to any of the particulars entered on the stable return, a fresh stable return containing the current particulars shall be immediately be lodged with the Controlling Body. *(amended GG 2/12/16)*
- (3) If, after a horse is accepted but before the race is run, some dealing in or change of interest or event specified by the Controlling Body occurs, particulars thereof shall be furnished to, and in the manner and form determined by, the Controlling Body.
- (4) A person who fails to comply with any provision of this rule is guilty of an offence.
- (5) The Controlling Body may reject a stable return or impose on the return such conditions as it considers appropriate. *(added GG 13/05/16)*

26. Security of horses

- (1) The Controlling Body shall in respect of any race determine all applicable security arrangements including those applying to a horse or horses. *(amended GG 28/11/14 & 6/12/19)*
- (2) Where a determination is made under sub rule (1) the connections of every horse accepted for the race shall upon acceptance or by such other time determined by the Controlling Body, notify the Controlling Body where the horse will be located from the time of notification until the start of the race.
- (3) Notification under sub rule (2) shall be in writing or given in such other form as the Controlling Body may require.
- (4) From the time of notification until the start of the race the connections shall ensure that the horse is located in accordance with sub-rule (2) and that it is available for inspection and testing by the stewards. *(amended GG 6/12/19)*
- (5) A horse tested under sub rule (4) which is found to have in or on its body a substance specified in Rule 190A(2) or is considered by the Stewards to be otherwise unfit to race shall be withdrawn from the race. *(amended GG 6/12/19)*
- (6) Where security arrangements apply the Controlling Body may appoint persons to maintain surveillance of a horse.
- (7) The connections or other persons in charge of a horse shall not frustrate or hinder, or endeavour to frustrate or hinder, persons appointed under sub rule (6) from carrying out their duties.
- (8) Where security arrangements apply the connections shall comply with any direction given by the Controlling Body which, in its opinion, is necessary or conducive to the more effective implementation of those arrangements.
- (9) Without restricting the scope of sub rule (8), the Controlling Body may direct that a horse be brought to a nominated place by a certain time.

RWWA Rules of Harness Racing.

- (10) Where the connections or other persons fail to comply with any provision of this rule, the stewards may withdraw the horse from the race.
- (11) A person who fails to comply with any provision of this rule is guilty of an offence.

LR26 Security of Horses *(added Nov 07)*

(1) Without limiting the generality of their powers, a Club or the Stewards conducting a meeting may restrict access to any areas, including the stalls, parade yards, bird cage or any tracks, where horses engaged to compete at such meeting are located at any time, including such pathways or routes of travel by which the horses are moved for the purposes of the conduct of that meeting.

(2) Only persons registered with the Controlling Body that are attending or responsible for horses that are within the restricted area defined by sub-rule (1), or the owners of those horses as recorded within the Controlling Bodies register, or any race day officials of either the Controlling Body or Club, shall enter such area during the conduct of the racemeeting.

(3) Any person entering any restricted area on the racecourse, must either display or produce evidence of their identity and/or registered status upon the demand of a Steward or official of the Club prior to entering such area.

(4) Any person that refuses, fails or is unable to comply with sub-rule (3) shall be guilty of an offence and liable to penalty.

(5) Any person (other than a person expressly authorised by this rule) found to be within a restricted area shall be guilty of an offence and liable to penalty.

(6) Without limiting their powers, the Stewards may attach conditions of entry to any restricted area for either animals or persons including conditions that such person or animal;

- (a) must first be disinfected to the satisfaction of the Stewards and in a manner specified by the Stewards before entering or leaving such area;*
- (b) does not bring certain items or other accessories into the restricted area;*
- (c) notwithstanding any right of entry that might otherwise apply, access to any particular animal is restricted to a maximum number of people at any one time.*

And it shall be an offence to fail to comply with any condition of entry.

(7) The Stewards may impose conditions of entry to racecourses, training facilities or other restricted areas upon any person returning, visiting or relocating to Western Australia from any State or Territory or other jurisdiction and such person must comply in full prior to entering any such area.

(8) Except by the express permission of the Stewards, no person other than drivers of horses engaged in the race and persons or officials approved by the Stewards, shall be allowed on any other part of the racing track or on any portion of the race course not set aside for the use of the public unless otherwise provided by this rule.

26A Children's Access to stabling Areas On-Course *[added 1/1/18]*

(1) A child who has not attained the age of 14 years may only enter the stabling area at a race meeting or an official trial where that child is separated from the horse stalls by a barrier preventing direct access to the horse stalls.

(2) A licensed person must take all reasonable steps to ensure that a child under their care and/or control and who has not attained the age of 14 years shall not enter the stabling area at a race meeting or an official trial unless that child is separated from the horse stalls by a barrier preventing direct access to the horse stalls.

(3) A licensed person who contravenes the provisions of sub-rule (2) is guilty of an offence.

27. Programs

- (1) No club or other person or body shall publish or issue a program for a meeting or race except with the approval of the Controlling Body.
- (2) A draft of the proposed program shall be sent to the Controlling Body within the time, in the manner and form, and containing the information specified by the Controlling Body.
- (3) The Controlling Body may amend the draft or require the club or other person or body to amend it.
- (4) Approved programs shall be published or issued in accordance with the directions of the Controlling Body.

28. Starters

- (1) The number of starters in a race is not to exceed the number fixed by the Controlling Body.
- (2) The Controlling Body shall determine the starting positions for a race.

29. Divisions

With the approval of the Controlling Body a race may be run in divisions.

30. Unfitness to race *[replaced 1/1/07]*

- (1) The trainer or the person in charge of a horse that is included in the final acceptors for a race shall inform the Stewards as soon as practicable if the horse has been injured in any way or suffered any illness or condition that may affect its running in the race. *(amended GG 13/05/16)*
- (2) A horse described in sub-rule (1) shall not start except with the approval of the Stewards
- (3) Any person who fails to comply with sub-rule (1) is guilty of an offence. *(amended GG 13/05/16)*

31. Period during which withdrawn horse not to race

A horse withdrawn from a race because of unfitness or injury shall not race within 6 days next following the date of withdrawal and within 6 days next following the date of the race from which it was withdrawn, except with the permission of the stewards.

32. Racing twice at same meeting

A horse may race twice at the same meeting provided the races are not less than 1 hour apart and the horse is passed by veterinary examination as fit to compete.

33. Notification of win

If a horse handicapped for a race wins another race before the running of the race in respect of which the horse has already been handicapped, the trainer must as soon as possible after the win notify the Stewards in a manner and form determined by the Controlling Body. *(GG 30th December 2003)*

- 34. Conditions and restrictions of races**
- (1) The Controlling Body may make determinations concerning the conduct of a meeting or the running of a race.
 - (2) Without restricting the scope of sub rule (1) determinations made thereunder may relate to the conditions, requirements, rights and privileges attaching to a meeting or race and the racing procedure which must or may be adopted by drivers during the course of a race.
- 35. Conditions as to ages and distances**
The Controlling Body may impose conditions or restrictions concerning the ages at which and distances over which horses may be trialled or raced.
- LR 35A. Racing by 2 year olds***
- (1) A horse shall not compete in a race before 1st October in the racing year in which it attains the age of 2 years.*
 - (2) A horse shall not before 1st May in the racing year in which it attains the age of 2 years compete in a race in excess of 2200 metres.*
 - (3) A horse shall not compete in a trial before it attains the age of 2 years.*
- 36. No race less than 1609 metres**
A race shall not be less than 1609 metres except with the approval of the Controlling Body.
- 37. Officials - appointment**
A club shall ensure that all officials necessary in the opinion of the Controlling Body for the proper conduct of a meeting and its associated activities are appointed and are in attendance at the meeting.
- 38. Officials removal**
- (1) The Controlling Body may at any time disallow the appointment of a person as an official of a club or direct the removal from office of a person appointed as an official.
 - (2) A club which fails to comply with any direction given under sub rule (1) is guilty of an offence.
- 39. Appointment of deputies**
An official at a meeting may not appoint a deputy or assistant except with the approval of the stewards.
- 40. Restrictions on officials**
- (1) A person acting as an official at a meeting or a deputy of such person shall not -
 - (a) bet or have a financial interest in any bet at that meeting; or
 - (b) discharge any official function in respect of a race in which the person or deputy is financially interested or which may otherwise give rise to a conflict of interest.
 - (2) A person who fails to comply with sub rule (1) is guilty of an offence.

41. Appointed times

- (1) A horse entered to race at a meeting shall be within the stabling area of the race course at which the meeting is to be conducted 60 minutes before the scheduled start time of the race or such other time as determined by the Controlling Body. *(amended GG 29/3/19)*
- (2) Once present in accordance with sub-rule (1), a horse shall remain within the stabling area until it has completed its racing engagement unless otherwise approved by the Stewards.
- (3) For the purposes of sub-rule (2), “stabling area” means that section of the racecourse at which the meeting is to be conducted used to stable horses entered to race at the meeting. *(Rule 41 deleted and replaced GG 11/2/14)*

42. Failure to meet appointed time

- (1) If a horse is not at the racecourse by the appointed time mentioned in or determined under rule 41, the owner or trainer shall notify the club or other body conducting the meeting of that fact and the club or other body shall inform the stewards.
- (2) A horse not at the racecourse by the appointed time mentioned in or determined under rule 41 may be withdrawn by the stewards.
- (3) An owner or trainer who fails to comply with sub rule (1) or an official or representative of a club or other body who has been notified by an owner or trainer and fails to inform the stewards or fails to ensure they are informed, is guilty of an offence.

43. Reporting attendance *(Rules 43 (1)(2)(3) repealed and replaced by (1) and (2)GG 22/3/13)*

- (1) A driver engaged to drive a horse in a race shall report his attendance at the racecourse to the Stewards or the person authorised by the Stewards no later than 30 minutes prior to the official start time of the race or at such other time as prescribed by the Controlling Body.
- (2) A driver who fails to comply with sub-rule (1) is guilty of an offence.

LR 43. Drivers to report attendance

- (1) *A driver engaged to drive a horse in a race shall report his or her attendance at the racecourse to the club or other body conducting the meeting at least 60 minutes before the time fixed for the start of the race.*
- (2) *A person who fails to comply with sub-rule (1) is guilty of an offence.*

43A. Licensed persons reporting before leaving course

- (1) A driver, trainer or person in charge of a horse engaged in a race and the horse shall remain on the racecourse for at least 30 minutes after the race unless permission to leave is granted by the stewards.
- (2) A person who fails to comply with sub-rule (1) is guilty of an offence. *(GG 10th January 2003)*

44. Tactics

(Rules (44 (1) – (5) Repealed GG 5 June 2018)

45. Inspection

- (1) A horse is ineligible to start in a race unless a steward or other person authorised by the Controlling Body is satisfied by physical inspection that the horse is the horse described in the registration certificate.
- (2) Where a steward or authorised person is satisfied in terms of sub rule (1), but considers some amendment is required to the certificate, the steward or authorised person shall take delivery of the certificate from the holder and forward the same and explanatory report and recommendation to the Controlling Body.
- (3) Where a steward or authorised person is not satisfied in terms of sub rule (1) the steward or authorised person shall prohibit the horse from racing and take possession of the certificate.
- (4) Where a steward or authorised person is satisfied in terms of sub rule (1) the steward or authorised person shall sign the certificate in the space provided thereon.
- (5) A person shall ensure that the correct horse is presented to start in a race. *(re-numbered GG 2/12/16 formerly rule 273(7))*
- (6) A person who fails to comply with this rule is guilty of an offence. *(added GG 2/12/16)*

46. Medical matters

- (1) Subject to sub-rule (2) a club conducting a meeting shall have in attendance 2 trained ambulance officers properly equipped and an ambulance or some other suitable vehicle.
- (2) The provisions of sub-rule (1) do not apply to official trials and training tracks registered by the Controlling Body. *(Amended GG May 2006)*

LR46 Medical Matters

The provision of 46(2) shall not apply in Western Australia where RWWA reserves the right to apply such conditions and requirements in relation to Medical matters at official trials and training tracks as may be determined from time to time. (added 1/1/07)

47. Accidents

A driver involved in an accident at a meeting must attend the medical or ambulance officer for assessment and any consequential treatment and must not drive in any subsequent race except with the approval of the stewards.

48. Human consumption of products

The Controlling Body may make determinations concerning consumption of food, beverages, drugs, and substances by persons discharging official duties, driving or managing horses or otherwise participating in meetings or races or having a connection with the harness racing industry and for the testing and examining of such persons.

49. Judging

- (1) Races shall be judged by the judge or the judge's substitute.
- (2) Horses may be photographed at the finish of a race to assist the judge to determine finishing positions.
- (3) Horses shall be placed by the judge according to the order in which the horses' noses pass the winning post.

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- (4) A horse shall be a finisher if at the time it passes the winning post, its driver is:-
 - (a) holding the reins or any part thereof, or
 - (b) touching any part of the sulky, or
 - (c) in, on or within the confines of the sulky.
(amended GG 2/12/16)
- (5) The judge may correct a mistake.
- (6) The judge's decision is final.
- (7) Notwithstanding sub rule (6) where, in the opinion of the stewards, the judge has made a manifest error, which the judge declines to correct having been afforded opportunity to do so, or where in the opinion of the stewards the judge was not in a position to determine the result, the stewards shall stand the judge down for such period as they think fit.
- (8) Where sub rule (7) applies, the stewards become the judges of the race in question.
- (9) Notwithstanding sub rule (8) the stewards may declare a race void if the judge or a substitute was not in a position to determine the result.

50. Timekeeping

- (1) The timekeeper shall take the time of the first horse and, where possible, the times of all other finishers.
- (2) A manual or electronic timing device or both of them may be used.
- (3) The timekeeper shall make an appropriate entry of the times taken and sign it.
- (4) Times so taken and entered are the official times and upon entry are to be publicly announced.
- (5) Times taken and entered cannot be altered except at the direction of the stewards.

51. Recording races *(amended GG March 2006)*

- (1) A club shall record in a manner to a standard required by the Controlling Body each race conducted by the club and furnish a copy of the recording to the stewards.
- (2) (Deleted)

52. All clear

- (1) The all clear steward at a meeting shall be the chief steward or some other steward appointed by the chief steward.
- (2) Where only 1 steward attends a meeting, that steward shall be the all clear steward.
- (3) After each race the all clear steward shall inspect placed horses and their drivers.
- (4) Placed horses and their drivers shall attend for inspection in the order in which they were placed.
- (5) A driver who fails to attend for inspection is guilty of an offence.
- (6) The horse driven by a driver found guilty of an offence under sub rule (5) may be disqualified from the race.
- (7) Sub rules (5) and (6) do not apply where the attendance of the driver has been dispensed with by the all clear steward.
- (8) After inspection and if there is no protest the all clear steward shall declare "all clear" and the declaration shall be announced or published by the club or other body conducting the meeting.
- (9) Unplaced horses and their drivers shall remain on the track as directed by the all clear steward until inspection under sub rule (3) is concluded.
- (10) In this rule "placed horses" are horses so designated by the all clear steward.
(GG 30th Nov 1999)

53. Cancellation - transfer - postponement

- (1) Subject to the approval of the Controlling Body a meeting may be cancelled or postponed for a period not exceeding 7 days.
- (2) A postponed meeting is cancelled if not held within 7 days of the date originally allocated to it.

54. Return of fees

If a meeting is cancelled nomination and acceptance fees shall be returned to the nominators.

55. Transfer to another race by stewards

A horse nominated for a race may be transferred to another race at the same meeting by the stewards or handicappers.

56. Insufficient nominations

- (1) Where the Controlling Body considers that insufficient nominations have been received for a race the Controlling Body or with its approval the club conducting the meeting, may cancel the race or transfer the nominations to another race or extend nominations for such race.
- (2) Where action is taken under sub rule (1) the club or a person authorised by the Controlling Body shall before declaration of acceptances inform the nominators of the affected horses of such action.
- (3) A nominator on being informed pursuant to sub rule (2) may withdraw the nomination.

57. Rescission of scratching

If a meeting is postponed scratchings may be rescinded with the approval of the stewards.

- 58. Stops for lighting failure**
If during a race held under artificial lights, a lighting failure occurs which is either total or would render racing hazardous, drivers shall pull up their horses and the race will cease.
- 59. Reruns**
Where a race is rerun all horses shall compete unless permission to withdraw is given by the stewards.
- 60. Fees for nomination and acceptance**
The nominator and owner of a horse is each liable for all fees relating to nomination or acceptance.
- 61. Notice of fees**
(1) A club must give reasonable notice to a nominator of the amount and payment date of any fee due and payable in respect of any race.
(2) A club which fails to comply with sub rule (1) loses any right to the fees payable.
- 62. Disqualification for non-payment**
(1) If fees payable in respect of a horse's participation in a race are not paid before the start, the stewards may disqualify the horse.
(2) If fees are paid by cheque or other instrument requiring clearance, then if that cheque or instrument is not cleared with the bank or financial house the stewards may disqualify the horse.
- 63. Review of racing performance**
(1) The stewards may review the racing performance of a horse.
(2) If the stewards are satisfied that the racing performance of a horse is inconsistent or otherwise unsatisfactory they may declare it ineligible to race for such period as they think fit.
(3) The chairman or deputy chairman of stewards may form the opinion and exercise the power conferred by sub rule (2).
(4) To act under sub rule (3) it is not necessary that the chairman or deputy chairman should have been present on any occasion when the horse concerned raced and the chairman or deputy chairman as the case may be may form an opinion and reach a decision on the basis of information furnished by stewards who were present.
(5) A trainer shall take all reasonable measures to ensure that the racing performance of a horse is consistent.
(6) A trainer who fails to comply with sub-rule (5) is guilty of an offence
((5) & (6) added Nov 2007)
- 64. Disqualification - incorrect barrier**
If a horse fails to start in a race from its correct handicap mark or its correct barrier position it may be disqualified or declared a non-starter in that race.

65. Disqualification - ineligibility

If the Stewards find that a horse or a trainer or driver was ineligible to compete in a race they may disqualify the horse from the race or declare such horse a non-starter and make any consequent changes to the placings. *(amended GG 6/12/19)*

66. Disqualification - miscellaneous

A horse may be disqualified from a race if the horse – *[amended 1/1/07]*

- (a) crosses a horse without being clear of it;
- (b) jostles or interferes with a horse unless solely in response to the action taken by another horse or driver;
- (c) forces a passage where there is insufficient room;
- (d) forces a horse out of its ground;
- (e) races on the inside of a marker post or if its sulky or part thereof goes on the inside of a marker post;
- (f) interferes with another runner so as to cause that runner, or cause its sulky or any part thereof, to go inside a marker post;
- (g) being in the home straight and having a clear uninterrupted run to the post, changes course and thereby prejudices or advantages the chances of another runner. *(amended 1/3/05)*
- (h) gains an unfair advantage *(added Nov 07)*

67. Information by club

At the conclusion of a meeting the club which conducted it shall immediately forward to the Controlling Body such information about the meeting, and in such manner and form, as the Controlling Body may require.

68. Report by chief steward

The chief steward of a meeting shall forward to the Controlling Body within such time after the conclusion of the meeting as the Controlling Body may appoint, a report about the meeting in such form and with such content as the Controlling Body may direct.

69. Match races

A match race will not be run with less than 2 starters.

70. Futurity races

- (1) The Controlling Body may determine a race to be a futurity race.
- (2) Futurity races shall be run under such rules as the Controlling Body may determine.

LR 70. Definition of futurity race

A futurity race is:

- (a) any race which requires payment of a sire or mare eligibility payment, foal nomination fee, nomination fee or sustaining fee to any conducting Club or to any organisation acting on behalf of such Club more than 12 months before the running of such race; or*
- (b) any race graduation to the progeny of any stallion or group of stallions for which any eligibility payments are held for more than 12 months prior to such race by any Club or by any person or organisation acting on behalf of or as agent for or trustee for any conducting Club; or*
- (c) any sire or stallion stakes races as defined in the Australian Harness Racing Council Reciprocal Handicapping Agreement clause 1.24 is specially exempted from this definition.*

LR 70A. Approval of futurity races

- (1) All futurity races shall be approved by the Controlling Body of the State in which the futurity race is to be conducted.*
- (2) No approval shall be granted for the conducting of a futurity race other than to a Club licensed to conduct meetings in Western Australia.*
- (3) Any application for approval of a futurity race shall be made at least 6 months prior to the date of first advertisement of the race or the date for first payment of nomination or eligibility fees, whichever is the earlier.*
- (4) All applications for approval of a futurity race shall:*
 - (a) be made annually to the Controlling Body;*
 - (b) include the proposed conditions of the race;*
 - (c) provide satisfactory evidence of the financial viability of the race;*
 - (d) provide particulars of the sire or mare eligibility payment, foal nomination fee, nomination fee or sustaining fee to be paid for nominated horses and the proposed dates for payment of all fees;*
 - (e) include a copy of the proposed trust deed and particulars of the proposed trustees who will manage the funds. Provided that if appropriate, the Controlling Body may appoint a trustee or trustees to manage the funds; and*
 - (f) provide particulars of sums to be deducted for printing, postage, stationery and for advertising and promotion of the race.*
- (5) No approval shall be granted for a futurity race unless the agreement of the Australian Harness Racing Council is first obtained thereto in respect of any application for a futurity race where:*
 - (a) the sire eligibility payment or any other fee or fees payable by the stallion owner or lessee is in excess of 15% of the advertised service fee for that stallion or in the case of private stallions which do not have an advertised service fee a sire eligibility payment in excess of \$100 will apply. (In the case of stallions which have previously stood as public stallions the maximum allowable sire eligibility fee will be 15% of that stallion's last advertised service fee);*

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- (b) *any nomination fee for mares or foals exceeding \$20 or such amount as may be determined from time to time by the Australian Harness Racing Council;*
- (c) *more than one payment for progeny is required to retain eligibility in any one racing year except for the first acceptance fee and final acceptance fee in the year in which the race is to be held;*
- (d) *the conditions of the race do not make provision for entry of all sires subject to payment of a sire eligibility payment or all foals subject only to payment of a foal nomination fee where no eligibility conditions apply; or*
- (e) *the conditions provide that the conducting Club shall add an amount of not less than 10% as the Club's contribution to stakemoney of all stallion nomination fees, foal nomination fees, mare eligibility fees, nomination fees and sustaining fees and acceptance fees as included in the application for approval.*

LR 70B. Club obligations

A Controlling Body shall not grant approval to a Club to conduct a futurity race unless the conducting Club undertakes to:

- (a) *notify the Controlling Body of all nominations, including stallion nominations where applicable, within 30 days of the date of closing of nominations;*
- (b) *notify the Controlling Body of all payments made within 30 days of the due date for payment together with a list of entrants that remain eligible;*
- (c)
 - (i) *provide a balance sheets and statement of accounts to the Controlling Body within 60 days of the conduct of the event; and*
 - (ii) *provide an annual audited account of each futurity race to the Controlling Body within 90 days of the end of the Club's financial year;*
- (d) *guarantee the minimum advertised stakemoney of such futurity race;*
- (e) *arrange for the payment of all monies in respect of nomination, acceptance or sustaining payments to the trustees on receipt of same.*

LR 70C. Insufficient nominations

In the event of an approved futurity race failing to attract sufficient nominations to ensure the financial viability thereof, the conduction Club shall within 30 days of the date of closing of nominations elect to either:

- (a) *cancel the race and refund payments to the nominators; or*
- (b) *provide to the Controlling Body a guarantee by way of bank guarantee or such other form of security as may be acceptable to the Controlling Body to the extent of the anticipated short fall of funds necessary to generate the proposed stakemoney.*

LR 70D. Minimum stakemoney

No futurity race shall be conducted for less than the advertised minimum stakemoney.

71. Derby

The word "Derby" in the name of a race shall be used only in respect of a race for 3 year old horses.

72. Claiming races

- (1) The Controlling Body may determine a race to be a claiming race.
- (2) Subject to rule 77 a horse cannot be claimed unless a claiming race is conducted and an official result declared.
- (3) An official who is in any way involved in the conduct of a claiming race is ineligible to claim a horse from that race.

73. Entitlement to claim

- (1) In or with respect to a claiming race a person shall not -
 - (a) claim directly or indirectly a horse owned, trained or driven by that person;
 - (b) claim a horse for another person unless authorised in writing to do so;
 - (c) enter into an agreement to claim or not to claim or to prevent or attempt to prevent a person from claiming a horse.
- (2) A person who fails to comply with any provision of this rule is guilty of an offence.

74. Claim by syndicate

Notwithstanding rule 73 a horse owned by a syndicate or otherwise jointly owned may, where the syndicate or joint ownership arrangement is being dissolved, be claimed by a member of that syndicate or party to the joint ownership arrangement.

75. Conditions for claiming races

- (1) The terms, conditions and procedures relating to the nomination of horses in a claiming race, the organisation and conduct of the race and the claiming of a horse from the race, shall be as determined by the Controlling Body.
- (2) Subject to any determination made under sub rule (1) the following applies -
 - (a) The amount of the claiming price plus the fees payable in respect of the transfer of registration must be paid by the claimant before the commencement of the race to the Controlling Body or club conducting the race by either:
 - (i) lodging a bank cheque marked "A/C Payee Only" or
 - (ii) establishing an appropriate credit arrangement.

[amended May 0:]
 - (b) a current claiming race authorisation form duly completed and signed by the owner must be on file with the Controlling Body before the close of nominations for the claiming race in which the owner's horse is to be a starter;
 - (c) the claiming price for a horse in a claiming race is to be printed in the racebook;
 - (d) A claim must be made on the approved form and be placed in the claim box not less than 15 minutes before the advertised starting time of the race and once made cannot be withdrawn. *(GG 30th December 2003)*
 - (dd) A person shall not lodge more than one claim for a horse in a claiming race; *(added GG 29/12/15)*
 - (e) the claim box shall be under the control of the chief steward;

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- (f) the chief steward shall open the claim box no earlier than 15 minutes before the start of the race and no later than immediately after the race and determine the claims; (GG 10th January 2003)
- (g) should more than 1 claim be made for the same horse the successful claimant shall be determined by the chief steward by conducting a ballot;
- (h) a claimed horse with its head collar or halter and a detailed schedule in writing of the harness used on the horse and without altering or removing the horse's shoes, shall be delivered immediately by the original owner or his trainer or authorised agent to the successful claimant upon authorisation of the chief steward;
- (i) every horse claimed shall race in the claiming event in the interest and for the account of the person who owned it at the time of acceptance for the race but, subject to paragraph (m) of this sub rule, title to the horse shall vest in the person who becomes the successful claimant immediately upon the start of the race and regardless of death or injury to the horse during the race;
- (j) the chief steward may require a claimant to declare that he or she is claiming the horse on the claimant's own account;
- (k) for 30 days after claiming, a horse is ineligible to start in a race in the interest and for the account of the person who owned it at the time of acceptance for the claiming race, nor during that period shall the horse remain in or return to the ownership, care or management of that person unless it be reclaimed out of another claiming race; (GG 27th Oct 2000)
- (kk) for 30 days after claiming, a horse shall not remain in or return to the care or management of the trainer who trained it at the time of claiming except with the permission of the Chairman of Stewards, unless it be reclaimed out of another claiming race; (GG 27th Oct 2000)
- (l) any eligible horse in the declared field for a claiming race including emergencies can be claimed;
- (ll) where a horse is declared to race in a claiming race and is subsequently withdrawn on veterinary advice, the trainer shall at the time of its withdrawal produce a veterinary certificate stating precisely the reason for the withdrawal. (*added Nov 07*)
- (lll) where a horse is declared to race in a claiming race and is subsequently withdrawn, the trainer shall if so directed by the stewards bring the horse to the racecourse. (*added Dec 2010*)
- (m) if a claimed horse returns a positive swab, the claimant may repudiate the claim within seven days of the claimant being notified by the Controlling Body that the first analysis of the swab has reported the presence of a prohibited substance or before the horse has its next start following the notification, whichever is the sooner and deliver the horse to the person who was the owner at the time of its acceptance for the claiming race; (GG 3rd October 2003)
- (n) where a horse is accepted for a claiming race, no transfer of ownership shall have any legal effect during the period commencing from date of acceptance and terminating upon the declaration of an official result in the claiming race;
- (o) the Controlling Body or Club conducting a claiming race shall pay the price to the former owner as soon as possible after the transfer to the successful claimant has been registered by the Controlling Body. (*amended Nov 07*)

75A. Application Form – Claiming Race

- (1) The owner, lessee and any other person with an interest in a horse to be nominated for a claiming race must:-
 - (a) complete form R75-A and lodge it with the Controlling Body;
 - (b) if the horse to be nominated is a filly or mare, declare whether the horse has been served or is pregnant and the term of the pregnancy as at the time of nomination. (*added 1/3/05*)
- (2) The amount of the claiming price plus the fees payable in respect of the transfer of registration must be paid by the claimant to the Controlling Body or Club conducting the race by either:
 - (i) lodging a bank cheque marked "A/C Payee Only" or

- (ii) establishing an appropriate credit arrangement.

(added Nov 07)

76. Offences - claiming races

- (1) A person who fails to comply with paragraph (h) or paragraph (j) of rule 75(2) is guilty of an offence.
- (2) A person who deals with a horse contrary to the requirements of paragraph (k) of rule 75(2) is guilty of an offence.
- (3) Where a claimant repudiates a claim under paragraph (m) of rule 75 (2) the owner of the horse at the time of its acceptance for the claiming race -
 - (a) is liable to reimburse the claimant for moneys spent on the horse's care and sustenance;
 - (b) shall hold the claimant indemnified against any claim for the horse's care and sustenance and also any claim arising out of the death of, or injury to, the animal not directly attributable to the negligence of the claimant.
- (4) A person who fails to comply with any provision of sub rule (3) is guilty of an offence.
- (4) A person who refuses to accept delivery of a horse pursuant to paragraph (m) of rule 75(2) is guilty of an offence.
- (5) If a person fails to comply with the requirements of sub-paragraph (b) of Rule 75A (1) and the horse is claimed, the claimant may repudiate the claim within seven (7) days of the claimant providing a veterinary certificate to the Controlling Body that the horse is pregnant with such certificate to be provided to the Controlling Body within fourteen (14) days of the claim. *(added 1/3/05)*
- (7) Where a horse is involved in an offence arising under this rule, the Controlling Body may take such action with respect to the horse as it thinks fit. *(renumbered 1/3/05)*

77. Claims in non-claiming races

- (1) With the approval of the Controlling Body a horse may be claimed in a race which is not a claiming race.
- (2) Where approval is given under sub rule (1) the provisions of rules 73, 74, 75 and 76 apply to the race in question unless the Controlling Body makes any alterations to suit the requirements of a particular race.

77A Claims in Heats and Finals

- (1) A horse may not be claimed in a heat.
- (2) A horse which has qualified for the final is eligible to be claimed irrespective of whether the horse is in the declared field for the final or not and whether the horse participates in the final or not.

78. Official trials and other matters

- (1) The Controlling Body may determine a race or event to be an official trial, or a time trial.
- (2) A race or event referred to in sub rule (1) shall be conducted in accordance with the conditions determined by the Controlling Body.

79. Time performances and records

- (1) The Controlling Body may determine a race or event to be a performance against time.
- (2) Performances against time must take place at meetings held in accordance with these rules.
- (3) The club conducting the meeting shall give such notice and publish such advertisement as the Controlling Body determines.

80. Conditions

- (1) In performances against time a horse must endeavour to equal or better a specified time.
- (2) A losing performance shall not be recorded.

81. Pacemakers

- (1) In performances against time a horse may be assisted by one or more horses acting as pacemakers.
- (2) Pacemakers must not precede the assisted horse nor be harnessed with or otherwise attached to it.

82. Breaking

If a horse breaks during a performance against time it shall be disqualified from that trial.

83. Use of whip

In performances against time the whip shall be used solely in a way which complies with these rules.

84. Swab requirement

The result of a performance against time shall not be an official record unless a swab is taken before and/or after the trial and found negative.

85. Approval of records

- (1) A record time, whether in respect of a race or performance against time, is one which has been approved as a record time by the Controlling Body.
- (2) Application for approval may be made in the manner and form determined by the Controlling Body.
- (3) An application shall be accompanied by such documentation, information and fees as the Controlling Body may determine.

86. Offences - incorrect barrier

A person who allows a horse to start or fails to prevent it from starting in a race from an incorrect handicap mark or from an incorrect barrier position is guilty of an offence.

87. Offence - ineligible horse

- (1) If a horse is ineligible for a race, its connections shall not nominate it for the race, or allow it to start or fail to prevent it starting, in a race.
- (2) A person who fails to comply with sub rule (1) is guilty of an offence.

88. Withdrawal

- (1) Where a horse is withdrawn -
 - (a) after the declaration of acceptances for a race; or
 - (b) where there is no TAB betting on the race, after the declaration of handicaps, the stewards may require the owner or trainer to satisfy them that there was good and sufficient reason for such withdrawal.
- (2) A person who fails to give the satisfaction required under sub rule (1) is guilty of an offence.
- (3) The horse concerned may be barred by the stewards from participating in a race for a period specified by them, or pending satisfaction of such conditions as they impose.

89. Reporting things affecting horses' performance

- (1) After the running of any race and for a period of 7 days thereafter if the owner, trainer, driver, stablehand or any person in charge of a horse is aware of or has knowledge of anything which may have affected the horse's performance in that race, he or she shall report it immediately to the stewards.
- (2) A person who fails to comply with sub rule (1) is guilty of an offence.

PART 4

LICENCES

90. Grant of licences and other matters

- (1) The Controlling Body may by licence regulate any activity connected with the harness racing industry.
- (2) An application for a licence shall be made by the persons in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body may determine.
- (3) A person applying to be relicensed with a Controlling Body shall, if previously licensed by another Controlling Body or recognised harness racing authority, provide a written clearance from that Controlling Body or recognised harness racing authority detailing the status of the person relating to current penalty, debt or other embargo. *(added 1/03/05)*
- (4) The Controlling Body may grant a licence for such period and upon such terms and conditions as it thinks fit.
- (5) An application for a licence may be refused by the Controlling Body without assigning any reason.
- (6) A licence may be suspended or cancelled:
 - (a) by the Controlling Body or by the Stewards for breach of a term or condition of the licence; or
 - (b) by the Controlling Body where the Controlling Body is satisfied that the person holding the licence is not a fit and proper person to be associated with harness racing. *(GG 17th July 2001)*
- (7) The type, grade or class of a licence held by a person may be varied by the Controlling Body or by the stewards.
- (8) The terms or conditions attaching to a type, grade or class of licence may be varied by the Stewards or the Controlling Body.

LR 90. Application for Licence not granted *(added GG 4/10/16)(amended GG 29 March 2019)*

- (a) *Subject to the provisions of subsection LR90(c) where an initial application for a Licence is not granted by the Controlling Body no further application shall be considered for a period of 6 months from the date the first application is not granted. The provisions of this sub-rule shall not apply where the applicant is specifically invited by the Controlling Body to apply for a Licence.*
- (b) *Subject to the provisions of subsection LR90(c) a person who has had a second application for a Licence not granted by the Controlling Body within 12 months of the initial application shall have no further application considered for a period of 12 months from the date the second application is not granted. Should that or any subsequent application not be granted any further application shall not be considered for 12 months thereafter. The provisions of this sub-rule shall not apply where the applicant is specifically invited by the Controlling Body to apply for a Licence.*
- (c) *The Controlling Body may refuse to consider any application for a Licence until it is satisfied that any direction or condition that it may require as a prerequisite to the granting of such licence has been satisfied.*

90A Trainers Licence *(added Nov 07) (Sub-rule 2.10 added GG 13/05/16)*

- (2.9) (a) The holder of a trainer's licence shall ensure that all persons carrying out the activities of a stable hand are licenced stable hands.
(b) A trainer who fails to comply with sub-paragraph (a) is guilty of an offence.
- (2.10) (a) A trainer is at all times responsible for the administration and conduct of his stables.
(b) A trainer is at all times responsible for the care, control and supervision of the horses in his stables.
(c) If a trainer is to be absent from his stables for a period exceeding forty eight (48) hours he must after obtaining the approval of the Stewards nominate a licensed or registered person to be in charge of such stables during his absence.
(d) If a trainer is unable to attend a race meeting where any horse trained by him is engaged to race:-
(i) He must nominate in writing his licensed stable representative or another licensed trainer to be responsible for such horse at the meeting.
(ii) The nomination must be notified to the Stewards no later than one (1) hour prior to the advertised starting time of the race.
(iii) The nomination must be accompanied by the written consent of the nominee accepting such nomination.
(iv) The nomination cannot be further delegated.
(e) Any nomination by the trainer in accordance with sub-rules (c) and (d) does not relieve the trainer of the responsibilities imposed by sub-rules (a) and (b).

LR90A Training Partnerships *[added 1/1/18]*

The following shall apply to a training partnership;

- (1) *Two trainers who have a license to train may be given permission to train in partnership provided that both of the trainers are the holders of an A Class Trainer's Licence.*
- (2) *An application for permission to train in a partnership shall be made by submitting the required application form completed in full, providing such information as required by RWWA and be accompanied by the prescribed fee.*
- (3) *It is a precondition to the grant of permission to train in a partnership that:*
(a) *Neither applicant hold a license or permit to train in another racing jurisdiction, other than a license or permit to train in partnership with one another;*
(b) *The Stewards are satisfied as to the bona fides of the training partnership; and*
(c) *A minimum of 25 horses shall be trained in the partnership.*
(d) *That neither applicant trains any horses as an individual or in any other training partnership*
- (4) *RWWA may in its absolute discretion relieve applicants for permission to train in partnership from the preconditions set out in this rule.*
- (5) *RWWA may refuse permission to train in partnership or grant permission subject to such terms and conditions as it thinks fit, including but not limited to restrictions regarding duration, locality or both.*
- (6) *Trainers granted permission to train in partnership*
(a) *Share all responsibilities, duties and obligations under the Rules in regard to the training of horses.*
(b) *Must, in addition to these rules, comply with the conditions of registration contained within the application form, as may apply from time to time.*
- (7) *RWWA may at any time in its absolute discretion:*
(a) *Revoke permission to train in partnership, including without limitation where one or both applicants cease to meet the requirements to be granted permission in accordance with the Rules, or where one or both of the training partners have been suspended or disqualified; or*
(b) *Vary or amend any of the terms or conditions of any permission to train in partnership.*
- (8) *Notwithstanding any provision of this rule, RWWA will reciprocate any permission to train in partnership granted by any Controlling Body of a visiting partnership.*
- (9) *Where a there has been a breach of the Rules by one or both of the trainers training in partnership or a penalty imposed against either,, then both trainers shall be deemed jointly severally responsible and may be charged and penalised, or have any penalty that is imposed on one of the parties apply equally to both.*
- (10) *Where a penalty has been imposed by another Controlling Body upon one or both of the trainers in a training partnership, then both trainers shall be deemed jointly and severally responsible for the purposes of adoption or recognition of that punishment in accordance with these rules.*

- (11) Sub-rules (9) and (10) do not apply where, in the opinion of the Stewards, the relevant breach or punishment imposed does not relate directly to the training of horses.
- (12) Upon receipt from either partner of an intention to withdraw from or dissolve the partnership the Stewards may order that any horse trained by the partnership shall not be eligible to race until the Stewards are satisfied that the horse is being trained in accordance with the rules.

91. Offences - licences

- (1) A person shall not carry on an activity regulated by licence -
(amended GG March 2006)(pre-amble amended GG 2/12/16)
- (a) if that person is not the holder of a current licence;
- (b) if the person's licence is suspended; or
- (c) except in accordance with the terms and conditions of the licence.
- (2) A person who fails to comply with any provision of sub rule (1) is guilty of an offence.
- (3) Notwithstanding sub rule 1(b), unless otherwise determined by the Stewards a driver who is suspended is eligible to drive in a trial other than a time trial (GG 28th December 2001:Amended Nov 07)).
- (4) (Added GG March 2006) (Repealed GG 13/05/16)

PART 5

HORSES

92. Age (amended GG 29/12/15)

- (1) Save and except for sub-rule (2), provided that the service date of the foal is on or after 1st September the age of a horse shall be reckoned as beginning on 1st September in the next racing year.
- (2) If a horse is foaled in New Zealand between 1st August and 31st August inclusive its age shall be reckoned as beginning on 1st September next following.

93. Eligibility for registration and naming

- (1) A horse shall not be eligible for registration unless: (amended GG 6/12/19)
- (a) It is the progeny of a registered Standardbred sire and a registered Standardbred mare and its registration conforms with these rules.
- (b) It is microchipped in accordance with HRA's Microchipping Regulations, freezebranded or otherwise identified by a method approved by HRA.
- (c) It has been parentage verified by D.N.A. genotyping at a laboratory approved by HRA.
- (d) It is progeny that conforms to the following genetic principles:
- (i) Chestnut colouration is recessive to all other colours and therefore any progeny that is not chestnut from a chestnut to chestnut mating is not eligible for registration;
- (ii) Grey colouration is usually dominant in relation to all the basic colours and therefore any progeny that is grey is not eligible for registration unless at least one of its parents is also grey.
- Notwithstanding sub-clauses (i) and (ii) such progeny will be eligible for registration in the event that blood typing and / or D.N.A. genotyping establishes to the satisfaction of HRA that the horse in dispute is the progeny of the said sire and dam.
- (2) Save and except for embryo transfer or some other procedure approved by the Controlling Body a horse shall not be eligible for naming and/or registration and entry in the Australian Stud Book if it is the produce of genetic engineering procedures including but not limited to cloning, embryo freezing or oocyte collection. (amended GG 13/9/19)
- (3) The progeny of a mare inseminated by transported semen shall not be eligible for naming and/or registration and entry in the Stud Book unless all steps taken to bring that progeny into being have been in accordance with these rules. (amended GG 6/12/19)
- (4) The Controlling Body shall not register a horse foaled outside its jurisdiction unless the horse is eligible for registration in an Australian State or Territory or its place of foaling.
- (5) A person seeking registration shall pay to the Controlling Body such fees relating to identification as it may determine. (added GG 6/12/19)

- (6) The connections shall keep clipped that area of a horse's body adjacent to or surrounding its brand. *(added GG 6/12/19)*
- (7) In the event of the identification of a horse becoming indistinct, illegible or otherwise unsatisfactory to the Controlling Body, the connections shall comply with any directions given by the Controlling Body to rectify the matter. *(added GG 6/12/19)*
- (8) A person who fails to comply with sub-rule (6) or a direction given under sub-rule (7) is guilty of an offence. *(added GG 6/12/19)*
- (9) Where a person is guilty of an offence under this rule, the Controlling Body may take such action with regard to the horse as it may determine. *(added GG 6/12/19)*

94. Eligibility - branding

- (1) A horse shall not be eligible for naming unless:
 - (a) the horse has been registered in accordance with Rule 93.
 - (b) the name is submitted through the Controlling Body.
- (2) A Controlling Body shall not permit any horse to participate in any breeding or racing activities in its jurisdiction unless and until it has a name approved by the Registrar.
- (3) Each State Controlling Body shall have incorporated on its standard name application form an acknowledgement and acceptance by the owner of the horse of complete legal liability should a name be submitted and name be allocated which infringes any trademark or copyright.
- (4) A Controlling Body may delete a name or names before the list of names is submitted to the Registrar.
- (5) (a) The Registrar shall allocate the first available name on the list of names submitted by the Controlling Body in accordance with the following: *(sub-rule (5) amended GG 6/12/19)*
 - (i) Any existing names of trotting or pacing horses appearing on the Australian name register or the name of any past renowned racetrack performer as identified in the Protected Name Lists – Renowned Winners and Oaks / Derby Winners or as a winner of a race specified in the Harness Racing Australia Racing Chronicles.
 - (ii) Any name having political, religious, sacred or commercial significance or that is otherwise inappropriate.
 - (iii) Any name of indecent, undignified or irreverent character in English or any other language.
 - (iv) Any name having single initials or numerals, either as a whole name or used as a part of a name with the exception of the letter "A" or "I" (overseas horses excepted).
 - (v) Any name likely to cause confusion by reason that it sounds or reads the same as an existing name.
 - (vi) Any name of a well known living or dead person the naming of which would be objectionable to the persons concerned, to relatives or to others in general. Names of well-known persons, living or dead are acceptable if written permission has been obtained from either the person (if they are living) or from the family (if they are deceased). The naming applicant is responsible for obtaining written permission.
 - (vii) Any name of an Australian Institution or Organisation, or any name which does or may infringe trademark or copyright. (Including songs, movies, books & TV shows).
 - (viii) Any name the reverse of which is the name of a horse currently racing.
 - (ix) Any name likely to cause confusion in breeding records by reason that it is the duplicate of a name prominent in breeding or racing outside Australia.
 - (x) The name of any Sire or Dam in the Australian Trotting Stud Book.
 - (xi) Any name more than eighteen letters in length (including spaces).
 - (xii) Any name in any other language than English where the meaning cannot be proven.
 - (xiii) Any name that is difficult to pronounce in English or any other language.

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- (b) The following are not permitted to be used in a name:-
 - (i) Apostrophes, full stops, dashes, characters, numbers and symbols – eg, hyphens (-), acutes (é), circumflexes (ê), cedilla (ç), graves (è), umlauts (ä), etc.
 - (ii) Abbreviations such as Mr, Mrs, Ms, Dr. The words must be spelt out in full.
- (c) The Registrar may correct any misspelt or incorrect renderings of a word or phrase.
- (d) Names submitted using part or all of the Sire and / or Dam name should be spelt the same as the Sire and / or Dam name.
- (e) If all names on a list are eliminated the Registrar shall call for a new list to be submitted.
- (6) (a) Subject to paragraphs (b), (c) and (d), a name once allocated by the Registrar shall not be changed.
- (b) The owner of a yearling that has been allocated a name by the Registrar shall upon payment of the prescribed fee(s) be permitted to change the name provided the horse has not competed in an official trial, race or bred and the change is made before the end of its yearling season. Any cancelled name will be made available for re-use after 12 months.
- (c) The owner of a horse 2 years old or older that has been allocated a name by the Registrar shall upon payment of the prescribed fee(s) be permitted to change the name provided the horse has not competed in an official trial or race or has not bred. Any cancelled name will be made available for re-use after 12 months.
- (d) The owner of a horse 2YO or older that has been allocated a name by the Registrar and has competed in an official trial in any country can apply to change the horse's name by submitting an application in writing to the Registrar outlining reasons for wanting the change. The application will be considered by the Keeper of the Stud Book and the Registrar, and if approved, will require payment of the prescribed fee(s). Any cancelled name will be made available for re-use after 12 months.

94A. Eligibility for registration

- (1) This rule applies to all horses which are eligible to be registered under these rules but have not yet been registered. *(added 1/9/14) (amended GG 29/12/15)*
- (2) The Stewards or other official appointed by the Controlling Body may, at any time, direct that a horse be produced to provide a sample to be analysed to determine whether any anabolic androgenic steroid is present in the system of the horse.
- (3) Where a horse is not produced to provide a sample as directed pursuant to Rule 94A(2) that horse is ineligible to start in any race:
 - (a) until at least 12 months after the latter of:
 - (i) the date on which the horse, having been registered under these Rules, is allowed to start in a race; and
 - (ii) the date on which the horse is in fact produced to provide a sample to be analysed to determine whether any anabolic androgenic steroid is present in the system of the horse; and
 - (b) only after an Anabolic Androgenic Steroid Clearing Certificate is provided in respect of a sample taken from the horse, such sample having been taken at a date determined by the Stewards
- (4) Where a sample taken at any time from a horse has detected in it an anabolic androgenic steroid (other than an anabolic androgenic steroid which is present at or below the relevant concentrations set out in Rule 188A(2)), that horse is ineligible to start in any race:
 - (a) until at least 12 months after the latter of:
 - (i) the date on which the horse, having been registered under these Rules, is allowed to start in a race; and
 - (ii) the date the relevant sample was taken; and
 - (b) only after an Anabolic Androgenic Steroid Clearing Certificate is provided in respect of a sample taken from the horse, such sample having been taken at a date determined by the Stewards.

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- (5) Any person must, when directed by the Stewards or other person authorised by the Controlling Body, produce, or otherwise give full access to, the horse so that the Stewards or other person authorised by the Controlling Body may take or cause a sample to be taken and analysed to determine whether any anabolic androgenic steroid is present in the system of the horse.
- (6) For the avoidance of doubt and without limitation, sub-rule (5) requires an owner, lessee, nominator and/or trainer to produce the horse, or otherwise give full access to the horse, even if the horse is:
 - (a) under the care or control of another person; and/or
 - (b) located at the property of another person.
- (7) Any person who fails to produce, or give full access to, a horse to provide a sample as required by sub-rule (5) is guilty of an offence.

95. Naming and registration (amended GG March 2006) (Sub-rules (4) – (7) amended GG 6/12/19)

- (1) A person desiring to register a horse may make application to the Controlling Body.
- (2) A person desiring to name or rename a horse may make application to the Controlling Body.
- (3) An application under this rule is to be made in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body may determine.
- (4) A person making application to name a horse shall ensure that all proposed names comply with Rules 93 and 94.
- (5) Application to name or register a horse shall be made on form R95-A.
- (6) The Controlling Body may grant or refuse an application under this rule.
- (7) The Controlling Body may cancel the registration of a horse.
- (8) A person who fails to comply with sub-rule (4) is guilty of an offence. (renumbered GG 6/12/19)

95A. Naming and Registration – Foreign horses (added GG 6/12/19)

- (1) A foreign horse shall be eligible for registration in Australia provided such horse:
 - (a) Is registered with the controlling trotting authority of the country it was cleared from; and
 - (b) Is a Standardbred; and
 - (c) Has been parentage verified by D.N.A. genotyping or blood typing.
- (2) A foreign horse shall not be eligible for registration in Australia until such time as:
 - (a) HRA receives a clearance certificate from the country in which such foreign horse was last registered, and
 - (b) The appropriate clearance registration fee is paid, and
 - (c) It is microchipped in accordance with HRA's Microchipping Regulations, freezebranded or otherwise identified by a method approved by HRA.
- (3) Upon the receipt of a Clearance Certificate a foreign bred horse shall be allocated its existing name and in addition shall have a suffix added to its name to denote the country of origin.
- (4) The suffix to be added to the name shall be as follows:

New Zealand	NZ	Ireland	IRL
United States	USA	Italy	ITA
Canada	CA	Malta	MLT
Europe:		Netherlands	NLD
Austria	AUT	Norway	NOR
Belgium	BEL	Russia	RUS
Denmark	DNK	Sweden	SWE
Finland	FIN	Switzerland	SUI
France	FRA	United Kingdom	GBR
Germany	GER		
- (5) The name of a foreign horse will be checked by the Registrar for verification and recording and the Registrar shall advise the Controlling Body that the name is available or otherwise.

- (6) If the name is not available then a prefix will be applied, and under certain circumstances a new name may be requested by the Registrar. In such case where a name is changed the suffix applicable shall be added to the new name.
- (7) If the name of a foreign horse is of a well-known living or deceased Australian person, permission must be obtained by HRA from either the person (if they are living) or from the family (if they are deceased) to allow the name in Australia. Otherwise a name change may be requested by the Registrar.
- (8) The owner of a yearling bred outside Australia shall upon payment of the prescribed fee(s) be permitted to change the name provided the horse has not competed in an official trial or race either in Australia or Overseas, has not bred and the change is made before the end of its yearling season in Australia.
- (9) The owner of a horse 2 years old or older bred outside Australia shall upon payment of the prescribed fee(s) be permitted to change the name provided that horse has not competed in an official trial or race either in Australia or overseas, or has not bred.
- (10) The owner of a horse 2YO or older bred outside Australia that has been allocated a name and has competed in an official trial in any country can apply to change the name by submitting an application in writing to the Registrar outlining reasons for wanting to make the change. The application will be considered by the Keeper of the Stud Book and the Registrar, and if approved, will require payment of the prescribed fee(s). Any cancelled name will be made available for re-use after 12 months.
- (11) Apostrophes, dashes and dots which are part of a foreign horse name will be eliminated.

- 95AB.** (1) If any matter that is not specifically covered by these rules arises the Registrar may allow a name or a new name. *(amended GG 6/12/19)*
- (2) The Registrar may order a change of name wherever such change is deemed necessary to comply with the context of these rules. *(added GG 6/12/19)*

96. Registration certificate

- (1) When a horse is named and registered the Controlling Body shall issue a registration certificate.
- (2) The registration certificate shall be issued to the owner of the horse or to some other person considered appropriate by the Controlling Body, but remains the property of the Controlling Body.
- (3) The registration certificate shall at all times be in possession of the trainer or other person in charge of the horse from time to time.
- (4) The Controlling Body may substitute some other document or record for a registration certificate and the rules relating to such certificates shall then be read accordingly.
- (5) The person who is required under this rule to have possession of the registration certificate shall produce same to the Controlling Body or stewards on demand.
- (6) A person not authorised in that behalf by the Controlling Body shall not erase or alter any information or particulars on a registration certificate.
- (7) A person who fails to comply with sub rule (3) or sub rules (5) and (6) is guilty of an offence.

96A. Deregistration of a horse

(Sub-rules 3 -10 added GG 29/12/15)

- (1) The Controlling Body may on application made by the owner of a horse withdraw the registration certificate of the horse.
- (2) A horse which has its registration certificate withdrawn shall not be issued with another registration certificate without the written consent of the owner who applied for the withdrawal of the original registration certificate. *(GG 28th December 2001).*
- (3) (a) Where a registered horse has been retired from racing or a decision has been made to not race the horse, the owner or trainer of the horse at the time of its retirement must, within one month of the horse's retirement, notify the Registrar by lodging the relevant form prescribed by the Registrar. *(renumbered GG 6/12/19)*
(b) Where a registered horse has been retired from racing or a decision has been made to not race the horse but retain it for the purposes of breeding, the owner of the horse at the time of its retirement from breeding must, within one month of the horse's retirement notify the Registrar by lodging the relevant prescribed form. *(added GG 6/12/19)*

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- (4) The owner or trainer of a registered horse at the time of its death shall:- *(amended GG 6/12/19)*
 - (a) In the case of a horse which dies within 14 days of being entered for or participating in a race notify the Controlling Body of the death within 24 hours of its occurrence. *(amended GG 6/12/19)*
 - (b) In any other case notify the Controlling Body of the death within (7) days of its occurrence. *(amended to 7 days GG 29/3/19)*
 - (5) A notification of death under sub rule (4) shall be in writing or such other form as the Controlling Body may determine.
 - (6) The person in possession of the dead horse's registration certificate shall within 7 days of such notification return the certificate to the Controlling Body.
 - (7) The owner or trainer shall comply with any direction given by the Stewards or the Controlling Body to verify the death.
 - (8) The owner or trainer of a horse whose death has been notified, or which should have been notified, under sub rule (4a) shall not dispose of the carcass without the permission of the Stewards or the Controlling Body. *(amended GG 6/12/19)*
 - (9) Where notification has been given in accordance with sub-rule (3) the horse will cease to be registered and is ineligible to race unless it is re-registered.
 - (10) A person who fails to comply with this rule is guilty of an offence.
- 96B.** Notification of deregistration or death shall be given to the Controlling Body on Form R25-A. *(added GG 29/12/15)*
- 97. Gait change**
- (1) The owner or lessee of a horse may make application to the Controlling Body to change the gait of the horse.
 - (2) The gait of a horse shall not be changed until the horse has trialed to the satisfaction of the stewards.
 - (3) The application shall be made in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body may determine. *(GG 10th Jan 2003)*
- 98. Medical and surgical procedures**
- (1) A horse which has had a limb neurectomy is ineligible to race.
 - (2) An owner, trainer or other person who permits a horse which has had a limb neurectomy to race is guilty of an offence.
 - (3) A horse which has had a tracheostomy, with or without a tracheotomy tube inserted, is ineligible to race.
 - (4) An owner, trainer or other person who permits a horse which has had a tracheostomy to race, is guilty of an offence. *(GG 10th January 2003)*
- 99. Pin firing**
- (1) A person shall not perform or authorise the performance of the procedure of pin-firing or bar-firing (thermacautery) a horse.
 - (2) A person who fails to comply with sub rule (1) is guilty of an offence. *(GG 27/10/00)*
- 100. Gelding**
- (1) A person who has a horse gelded or submits it to a medical, surgical or other procedure of a type specified by the Controlling Body, shall notify the Controlling Body of that fact.
 - (2) Notification under sub rule (1) shall be given within 7 days of the gelding or other procedure occurring, in writing or such other form as the Controlling Body may determine and, if the horse is named, notification shall be accompanied by the horse's registration certificate. *(amended to 7 days GG 26/3/19)*
 - (3) Where a horse has been gelded the connections of such gelding shall not nominate such gelding for a race to be run within a period of 28 days of the horse being gelded.
 - (4) A person who fails to comply with any provision of this rule is guilty of an offence.
- 101. Bleeding attacks**
- (1) Anytime a horse suffers a bleeding attack the trainer shall immediately and in any event within 24 hours notify the stewards.
 - (2) The appearance of blood at both nostrils constitutes a bleeding attack.
 - (3) If the stewards are satisfied that a horse has suffered a bleeding attack which has originated from the respiratory system the Stewards shall bar the horse from racing:-

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- (a) after the first bleeding attack for three (3) months;
 - (b) after the second bleeding attack for life. *(amended GG 2/12/16)*
 - (4) A horse which has been barred under Sub-rule (3) (a) shall not resume racing until:-
 - (a) it has trialled to the satisfaction of the Stewards.
 - (b) a veterinary surgeon has certified in writing that the horse is fit to resume racing. *(amended GG 2/12/16)*
 - (5) A trainer who fails to comply with sub rule (1) or races a horse in contravention of sub rule (4) is guilty of an offence.
 - (6) Any person who furnishes the stewards with a false certificate is guilty of an offence
- 101B.** (1) Any time a horse suffers bleeding from one nostril the trainer shall immediately and in any event within twenty four (24) hours notify the Stewards.
- (2) If the Stewards determine that a horse has bled from one nostril the horse shall not be eligible to race until it has trialled to the satisfaction of the Stewards. *(Rule 101B amended GG 2/12/16)*
- 101C.** *(added GG 29/3/19)*
- (1) If a horse suffers cardiac arrhythmia after a race the trainer shall immediately and in any event within 24 hours notify the Stewards.
- (2) If the Stewards are satisfied that a horse has suffered cardiac arrhythmia the Stewards shall:
 - (a) After the first attack stand the horse down from racing for a period of 14 days, require the horse to undergo an ECG prior to a trial and then trial to the satisfaction of the Stewards.
 - (b) After the second attack stand the horse down from racing for a period of 28 days, require the horse to undergo an ECG before trialling and then trial on two occasions to the satisfaction of the Stewards.
 - (c) After the third attack, bar the horse for life.
- 102. Blindness**
- (1) The owner or trainer of a horse which is blind in 1 eye or has visual impairment may apply to the chairman of stewards for permission to race the horse.
- (2) The chairman of stewards may grant permission subject to such conditions as the chairman sees fit to impose.
- (3) A person shall not permit a horse which is blind in 1 eye or which has visual impairment to race without permission, or contrary to or in non-compliance with a condition imposed by the chairman of stewards on granting permission.
- (4) A person who fails to comply with any provision of sub rule (3) is guilty of an offence.
- 103. Vice in horse**
- The Controlling Body, or the stewards on forming the opinion that a horse has a defect, habit or vice which endangers or might endanger itself or other horses or drivers may bar the horse from racing for any period of time.
- 103B. Pregnant mares**
- (1) A mare or filly shall not race or perform track work after day 120 of its pregnancy.
- (2) A person who fails to comply with this Rule is guilty of an offence *(GG.28 Dec 01: 1 Feb 2018)*.
- 104. Notification of disease**
- (1) If a horse contracts or is suffering any contagious disease or condition specified by the Controlling Body, the connections of the horse must immediately and in any event within 24 hours of the horse being diagnosed as suffering from the disease or condition, notify the Controlling Body in writing of that fact.
- (2) A person who fails to comply with sub rule (1) is guilty of an offence.
- (3) The Controlling Body may take such action with regard to the horse as it may determine.
- (4) Action under sub rule (3) may include ordering the destruction of the horse.
- 104A** (1) The Controlling Body may, by order in writing, declare an infectious or contagious animal disease or condition to be a contagious disease or condition for the purposes of this Rule.
- (2) A person who owns or is in charge of, or has in his or her possession or control, a horse which the person suspects or should reasonably suspect is infected with a

contagious disease or condition and who does not, as soon as possible after the person should have suspected or became aware that the horse is infected and in any event within 24 hours of the horse being diagnosed as suffering from the disease or condition, notify the Controlling Body in that State or Territory by the quickest means of communication available to the person, is guilty of an offence.

- (3) A person who owns or is in charge of, or has in his possession or control, a horse which the person suspects or may reasonably suspect is infected with a contagious disease or condition must, as far as practicable, keep that horse separate from other horses or animals not so infected. A person who contravenes this sub-rule is guilty of an offence.
- (4) If they reasonably suspect any premises, place or area to be contaminated with a contagious disease or condition the Stewards may, by order in writing, declare it to be an infected place. Such written notice of an order declaring any premises, place or area to be an infected place may be given to the owner or person in charge or in apparent control of the premises, place or area to which the order relates.
- (5) If they reasonably suspect any vehicle to be contaminated with a contagious disease or condition the Stewards may, by order in writing, declare it to be an infected vehicle. Such written notice of an order declaring a vehicle to be an infected vehicle may be given to the owner or person in charge or in apparent control of the vehicle to which the order relates.
- (6) Any person, other than a person expressly authorized to do so in writing by the Stewards, who brings, moves, takes or allows any person to bring, move or take any animal, fodder or fitting into, within or out of any such premises, place, area or vehicle, declared under subrules (4) or (5) or any person who causes, permits or assists any vehicle to enter or leave any such premises, place or area, is guilty of an offence.
- (7) Without limiting their powers the Stewards may attach conditions to an authorisation referred to in subrule (6) including, but not limited to, conditions that the animal, fodder, fitting or vehicle to which the authorisation relates must be disinfected to the satisfaction of the Stewards and in such manner as may be specified by the Stewards before leaving or being taken out of the infected place or infected vehicle; and/or that the animal, fodder, fitting or vehicle must not go or be brought to any other premises or place where any specified animals, fodder or fittings are located.
- (8) An order made under this Rule comes into effect on the day it is made.
- (9) Nothing in this Rule limits in any way the operation of the Rules and, in particular, the operation of Rule 104. (*Rule 104A added Sept 2007*)

105. Notification of death (*repealed GG 29/12/15 refer to rules 96A and 96B*)

106. Advertisements for service, sale and related matters

- (1) No person shall advertise any sire for service or any horse for sale, lease or syndication with the representation that the sire or horse has run a certain time unless it is an official winning time, or an official registered time trial time.
- (2) For the purposes of an advertisement -
 - (a) an official winning time recorded overseas shall be converted where necessary to reflect the Australian timing method (i.e. tenths of seconds);
 - (b) where the time mentioned is that of an official registered time trial that fact shall be indicated;
 - (c) an official registered time trial time does not include a qualifying trial time.
- (3) A person advertising other than in accordance with this rule is guilty of an offence.
- (4) Where a person is guilty of an offence under this rule then, in addition to any penalty imposed on that person, registration of a sire the subject of that person's advertisement may be withdrawn or, if the horse is a dam, registration of the dam's foaling may be refused.

107. Minimum age of owner

Unless the Controlling Body approves, a person under the age of 18 years cannot own, lease or otherwise have a legal interest in a horse.

108. Registration of owners

The Controlling Body may register the owners of horses and registration may be effected in such manner and form and with such particulars and information as the Controlling Body considers appropriate.

109. Ownership, legal interests, leases

- (1) Within 7 days of entering into a lease or prior to the horse next racing whichever is the earlier the lessee shall lodge a notification of the lease with the Controlling Body.
- (2) Notification shall be made in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body may determine.
- (3) The Controlling Body may register or refuse to register the notification.
- (4) The lease becomes effective on registration of the notification by the Controlling Body.
- (5) The Controlling Body may cancel the registration of the notification and the lease thereupon becomes ineffective.

110. Surrender and extension of lease

- (1) If the term of a notified lease is extended or if a notified lease is surrendered, or otherwise terminated before the conclusion of its term, the lessor or the lessee shall within 7 days of the event occurring or prior to the horse next racing whichever is earlier notify the Controlling Body.
- (2) Notification shall be made in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body may determine.
- (3) An event described in sub rule (1) becomes effective when approval thereto is given by the Controlling Body.

111. Change in ownership

- (1) A change in the ownership of a registered horse or notified foal shall be made in accordance with this rule.
- (2) Within 7 days of agreeing to the change or prior to the horse next racing whichever is earlier or such other time as the Controlling Body may determine the transferee shall make application to the Controlling Body to register the change.
- (3) An application under this rule shall be made in the manner and form, and be accompanied by the horse's registration certificate and such other documentation, information and fees as the Controlling Body may determine.
- (4) The Controlling Body may register or refuse to register the change.
- (5) A change becomes effective on registration.
- (6) The Controlling Body may cancel the registration of a change and it thereupon becomes ineffective.

LR111. Owners and managers registered

- (1) *The register of horses kept by the Controlling Body shall incorporate a register of all owners and their authorised agents and description of each type of ownership interest of each horse listed therein.*
- (2) *When a horse is owned by 2 or more persons the part owners shall appoint one of the part owners as a manager of the horse.*
- (3) *A "manager" means a natural person appointed as Manager pursuant to these rules who shall have the power solely or subject to conditions to control all matters relating to the ownership, breeding or racing of a horse.*
- (4) *The appointment of a manager under sub rule (2) may be made at any time and at time to time.*
- (5) *The Controlling Body shall accept an appointment under sub rule (2) where the appointment is made by the part owners having more than 50% of the interests of shares in the ownership as indicated on the form of appointment of manager.*

112. Offence - ownership and leases

- (1) A person who fails to comply with a provision of rule 109 or rule 110 or rule 111 is guilty of an offence.
- (2) Where an offence is committed under rule 109 or rule 110 or rule 111 the Controlling Body may take such action with regard to the horse concerned in the offence and take such action with regard to the registration of the ownership in the horse as it may determine.
- (3) The Controlling Body may register or cancel the registration of notification of a lease, or approve an event of the type described in sub rule 110 (1) or register or cancel the registration of a change in the ownership of a horse, on the basis of such documentation or information as it considers suitable and notwithstanding the failure of any person to comply with a provision of rule 109 or rule 110 or rule 111.

113. Passing of engagements and related matters

- (1) The engagements of a horse and the rights and liabilities attaching thereto shall pass
 - (a) to the new owner when a change in ownership is registered under rule 111;
 - (b) to the lessee when notification of a lease is registered under rule 109.
- (2) The engagements of a horse and the rights and liabilities attaching thereto shall revert to the lessor on cancellation of notification of a lease under rule 109.
- (3) Notwithstanding anything in this rule, the Controlling Body may make such determinations and give such directions with regard to the engagements of a horse and the rights and liabilities attaching thereto as it thinks fit.

114. Syndicates & groups

- (1) The connections of a horse may make application to the Controlling Body to register a syndicate in respect of the horse.
- (2) If 21 or more persons own a horse application to register a syndicate shall be made under sub rule (1). *(amended GG 24/12/19)*
- (3) An application under sub rule (1) shall be made in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body determines.
- (4) The Controlling Body may grant or refuse an application under sub rule (1).
- (5) The Controlling Body may at any time cancel the registration of a syndicate.

LR114. Syndicate term of Registration

A syndicate registered pursuant to these Rules shall, unless otherwise cancelled or terminated in accordance with these Rules, be registered ad infinitum unless it does not have a horse owned by the syndicate start in a race for a period of 5 years at which time the registration will be deemed to have expired. (added 05/10)

115. Syndicate manager

- (1) A syndicate shall appoint a natural person as its manager.
- (2) An appointment under sub rule (1) can only be made with the approval of the Controlling Body.
- (3) 20 or fewer persons who jointly own a horse shall appoint a natural person as the joint ownership manager. *(amended GG 24/12/19)*
- (4) A manager appointed pursuant to this rule shall act for and on behalf of the syndicate or joint ownership as the case may be in all harness racing matters and is responsible for ensuring that the obligations of the syndicate or joint ownership arising under these rules are met.
- (5) The Controlling Body may at any time cancel the appointment of a manager appointed under this rule. *(GG Nov11)*

116. Syndicate changes

- (1) The manager shall notify the Controlling Body of any change in the composition of the syndicate within 7 days of the change occurring or prior to the horse next racing whichever is earlier.
- (2) A manager who fails to comply with sub rule (1) is guilty of an offence.

LR116 Syndicate changes

Notification of a change in the composition or details of the syndicate shall be made on the prescribed form and include such fee as determined by the Controlling Body from time to time. (added 05/10)

117. Effect of disqualification of syndicate member

If a member of a syndicate or a party to a joint ownership arrangement is under disqualification a horse owned by the syndicate or in joint ownership as the case may be, cannot be nominated for or start in a race except with the approval of the Controlling Body.

118. Offences - syndicates

- (1) A person shall not use a syndicate name if the syndicate is not registered under these rules.
- (2) A person shall not assert that he or she is a manager of a syndicate if that person is not appointed as such manager in accordance with these rules.
- (3) A person who fails to comply with any provision of this rule is guilty of an offence.

119. Relinquishment of training

- (1) A trainer shall on relinquishing the training of a horse immediately give notification of that fact to the Controlling Body.
- (2) Notification shall be given in the manner and form, and be accompanied by such documentation information and fees as the Controlling Body may determine.
- (3) A trainer who fails to comply with any provision of this rule is guilty of an offence.

119A. Temporary transfer of horse *(replaced 1/1/07:Amended Dec 10)*

- (1) Where a trainer intends to leave his horse with another licensed trainer or another person, the trainer must obtain approval from the Stewards prior to doing so.
- (2) The period in which a horse may be left with another licensed trainer or another person shall not exceed 14 days from the date of approval. *(amended to 14 days GG 29/3/19)*
- (3) The details of the request must be confirmed by the trainer in writing within the time and contain the information required by the Controlling Body.
- (4) A trainer who fails to comply with sub-rules (1), (2) and (3) is guilty of an offence.

119B. Eligibility for Nomination

Unless the stewards otherwise approve, a horse shall not be eligible to be nominated for a race unless the horse has been trained by a licensed trainer for not less than 28 days immediately prior to the date fixed for nomination. *(added Dec 10)*

119C. Location of Horse *(added GG 22/3/13) (section(1) amended 28/11/14)*

- (1) A trainer shall not without the prior approval of the Stewards stable any horse trained by him in any location other than any registered training establishment of the trainer.
- (2) A trainer who fails to comply with sub-rule (1) is guilty of an offence and in addition to any other penalty that may be imposed, the nomination of the horse concerned may not be accepted or if after acceptance, be rejected and the horse withdrawn from or disqualified from the race.

120. Transfer from disqualified trainer

- (1) A horse trained but not owned by a trainer whose licence has been suspended or cancelled or who is disqualified (in this rule called the "disqualified person") is ineligible to race until it is transferred to the control of a licensed trainer.
- (2) A transfer must be approved by the Controlling Body.
- (3) The Controlling Body may at any time revoke the approval of a transfer if it forms the view that the disqualified person is involved with or influencing the training of the horse.
- (4) If a transfer is not approved or is revoked the horse is ineligible to race.
- (5) A horse may be declared ineligible to race by the Controlling Body if it forms the view that the disqualified person is involved with or influencing the training of the horse.

121. Sale of horses

- (1) A horse registered or notified under these rules shall not be offered for sale, or sold, unless its owner or trainer has first matched the identity of the horse with its official description maintained in the records of the Controlling Body.
- (2) The registration certificate of a horse offered for sale must be available for inspection by prospective purchasers and must be handed over to the purchaser on sale.
- (3) The stewards may cause a horse offered for sale, or sold, to be swabbed or otherwise examined or tested.
- (4) A horse shall not be offered for sale, or sold, in a condition which infringes a determination made under rule 188.
- (5) A person who fails to comply with any provision of this rule or who frustrates or impedes, or endeavours to frustrate or impede, action taken by the stewards under sub rule (3), is guilty of an offence.

122. Offences - names

- (1) Unless the Controlling Body otherwise approves a person shall not nominate for or start in a race a horse which has not been named or registered under these rules.
- (2) A person shall not cause someone to believe that an unnamed horse has been named under these rules.

- (3) A person shall not cause a horse to take part in a race under a name other than the name shown on the horse's registration certificate.
- (4) A person shall not cause another person to believe that a horse has a name other than that shown on the horse's registration certificate.
- (5) A person shall not change or abandon the name shown on a horse's registration certificate except with the approval of the Controlling Body.
- (6) Where a horse's name has been changed the old name (in parenthesis) as well as the new, shall be shown in every program for a meeting or race in which the horse participates for a period of 3 months or until the horse has competed under the new name on at least 6 occasions, whichever shall be longer.
- (7) A person who fails to comply with any provision of this rule is guilty of an offence.

PART 6

HANDICAPPING

123. Handicapping Regulations

- (1) The Controlling Body may make handicapping regulations or adopt the handicapping regulations of another body.
- (2) Handicapping regulations whether made or adopted may be amended or repealed by the Controlling Body.

124. Conflicts of interest

- (1) No handicapper shall exercise any power conferred by these rules or the handicapping rules in respect of any matter in which the handicapper has a financial or family interest or which in any other way gives rise to a conflict of interest.
- (2) No handicapper shall bet or have a financial interest in any bet on a race.
- (3) No handicapper shall be engaged, whether alone or in any type of association with others, in any business or activity involving the ownership, breeding, sale, lease, training, racing or management of horses connected with the harness racing industry.
- (4) Unless the Controlling Body directs otherwise, the office of a handicapper is vacated if the handicapper does any of the things mentioned in this rule.
- (5) Unless the Controlling Body directs otherwise, a handicapper's office is vacated if the handicapper's spouse, or domestic partner becomes involved in or acquires an interest in any business or activity described in sub rule (3).

125. Offences - handicapping

- (1) A person shall not improperly influence, or attempt to improperly influence, a handicapper in the performance of the handicapper's duties.
- (2) A person who fails to comply with sub rule (1) is guilty of an offence.

PART 7

STARTING

126. Appointment

- (1) The Controlling Body or the club conducting a meeting shall appoint a starter.
- (2) An appointment of a starter by a club must be approved by the Controlling Body.

127. List to be supplied to starter

A list of the horses competing in a race and their handicaps shall not less than 60 minutes before the appointed time for the race be supplied to the starter by the club or other body conducting the meeting.

128. Starters

- (1) Subject to the following provisions of this Rule, all horses drawn up behind the mobile barrier or standing start barrier are starters in the race regardless of their positions.

- (2) Subject to Rule 15(2) the Stewards may declare a horse a non-starter and a late scratching if in their opinion the horse has failed to participate in the start and its driver has made every effort to ensure that it participated in the start.
- (3) For the purposes of Sub-rule (2), a horse is deemed to have participated in a mobile start when it is facing the mobile barrier and the mobile barrier starts to move.
- (4) For the purposes of Sub-rule (2), a horse is deemed to have participated in a standing start when it has faced the standing start barrier when directed to do so by the starter.

(Rule 128 amended GG 2/12/16 former rule 128(4) is now (141(4))

129. Chief steward to determine start questions

The Stewards shall, after consulting with the starter, determine any question concerning whether a horse has been started for a race. *(gg Nov11)*

130. The start

- (1) As far as practicable horses shall be drawn up before the start according to their handicaps.
- (2) Horses on the same mark shall be drawn up in their barrier positions.
- (3) The chief steward shall determine the number of horses to start abreast in any line.
- (4) If there are too many horses to form one line, outside draws will be placed as close as practicable behind the front line.
- (5) Drivers shall not permit their horses to deviate from their barrier positions during the score up of a mobile start.
- (6) During the score up of a mobile start the starter may give such directions as in the starter's opinion would be conducive to a fair start.

131. Barrier positions

Barrier positions shall be filled at the time and in the manner determined by the Controlling Body.

132. Error in barrier draw

- (1) Subject to sub-rule (2) and (3), if at any time after publication of a barrier draw in any race an apparent error is found in the barrier position of a horse the draw shall remain unchanged.
- (2) The barrier position of a horse in any race may be changed so long as it is practicable to do so.
- (3) If the barrier position of a horse in a race is to be changed, the Controlling Body shall determine the correct barrier position of such horse by random draw from the barrier positions available to that horse and the horse previously drawn in such position and all horses drawn outside that horse shall then move out one barrier position.

(amended Nov 07: 132(3) added Dec 10)

133. Omission in error

- (1) If at any time after publication of a selected race field, it is found that a horse has been omitted in error from the field the Controlling Body may determine that the horse omitted in error be included in the field so long as it is practicable to do so.
- (2) Notwithstanding rule 132, if a horse is included in a race field pursuant to sub rule (1) the Controlling Body may determine the correct barrier position of such horse by random draw from the barrier positions available to that horse and the horse previously drawn in such position and all horses drawn outside that horse shall then move out one barrier position.

134. Outside draw

- (1) Where the stewards are of the opinion that the behaviour of a horse at the start has affected its chances of winning a standing start event or has affected the chances of another runner, they may make the horse outside draw stands ("ODS") for such period as they think fit and may require it to requalify for standing starts.
- (2) Should the horse offend in the same manner thereafter the stewards may ban the horse from competing in standing start events.

- (3) A horse while ODS shall be drawn in outside barrier positions in standing start events.
- (4) If a horse behaves intractably in the score up of a mobile start or at the start or within a reasonably short distance from the start the stewards may exclude it from the barrier draw (ODM) for such period as they think fit and require it to requalify for mobile starts.
- (5) Should the horse offend in the same manner thereafter the stewards may ban the horse from competing in mobile start events.
- (6) A horse while ODM shall be drawn in outside barrier positions in mobile start events.
- (7) If a horse is made ODS or ODM after the draw for barrier positions in a race has been completed such horse shall be placed on the outside of the line in which it is drawn.

LR 134. Outside Draw – Mobile Start Events

- (1) Rule 134(6) shall not apply.*
- (2) The Controlling Body may determine the barrier draw position for horses excluded from the draw in mobile start events.*

135. Starter's orders

- (1) Unless otherwise determined by the Controlling Body or Stewards, horses competing in a race shall parade in the area designated by the Stewards not less than twelve minutes before the appointed time of the race. *(amended GG 2/12/16)*
- (2) Horses competing in a race shall be on the track not less than 7 minutes before the appointed time for the race.
- (3) Horses shall move to their allotted barrier positions on the blow of the starter's whistle or as otherwise signalled.
- (4) Once on the track drivers are under starter's orders and remain so until the race is started.
- (5) A driver, trainer, or other person who fails to have his or her horse in the relevant place by the times mentioned in sub rule (1) or sub rule (2) or fails to drive to the correct barrier position on the blow of the starter's whistle or as otherwise signalled, is guilty of an offence. *(amended GG 22/3/13)*
- (6) This rule does not affect the powers of the stewards conferred by these rules.

136. Fair start

- (1) The starter may give such orders and take such measures as the starter considers necessary to secure a fair start.
- (2) The starter shall report to the stewards any driver who disobeys the starter's orders, starts before the signal or from the wrong barrier position, takes an unfair advantage at the start, or otherwise appears to the starter to have infringed these rules.

137. Positioning horses

A horse re-handicapped for a standing start race after the barrier positions are determined shall be positioned on the outside of the horses on the same handicap mark but on the inside of horses excluded from the barrier draw.

138. Repositioning of misbehaving horse

- (1) The starter may reposition a horse which is misbehaving and is thereby in the starter's opinion likely to prevent a fair start, provided the handicap mark of the horse is not thereby altered.
- (2) Where the starter acts under sub rule (1) the remaining horses on the same handicap mark shall have their positions correspondingly adjusted, but they are not to be placed in another line.

139. Wrong position

If a driver informs the starter that a horse has been placed in the wrong position the starter shall not start the race until the stewards on being informed by the starter have determined the correct position.

140. Horse causing delay

- (1) If in the opinion of the starter a horse is likely to cause delay at the start, the starter shall warn the driver but not delay the start and may recommend to the stewards that the horse be declared a non-starter.
- (2) If the stewards accept a recommendation made under sub rule (1) they shall also declare the horse a late scratching.

141. False start

- (1) (a) In mobile start races the starter may declare a false start if at or before the starting point a horse causes interference to another runner, falls, breaks gear or scores up in front of the gate or in an incorrect barrier position. *(amended 1/1/07 & GG 29/3/19)(renumbered GG 6/12/19)*
(b) If in the opinion of the Stewards a horse has been denied a fair start then subject to rule 15(2) the Stewards may declare such horse a non-starter. *(added GG 6/12/19)*
- (2) Where a horse offends in the first score up resulting in a false start, it will remain in its allotted position for the subsequent score up.
- (3) In standing start races the starter may declare a false start if the starter considers a horse's prospects in the race are adversely affected by any incident that occurs immediately prior to or at the time of start. *(amended 1/1/10)*
- (4) If a horse causes two (2) false starts through its own intractability, it is automatically withdrawn and declared a late scratching. *(added GG 2/12/16 formerly rule 128(4))*

142. Starts

Races shall be run from mobile starts unless the Controlling Body or chairman of stewards otherwise approves.

143. Approval of starting barrier

- (1) Unless the Controlling Body otherwise directs or permits all races shall be started by means of a starting barrier approved by the stewards and which functions to their satisfaction.
- (2) The stewards shall record details of their approval including, where applicable, the make, motor vehicle registration number, name of operator and width of track on which barrier and vehicle is permitted to be used.

144. Starting points for mobile starts

- (1) The starting points of mobile start races shall be approved by the stewards.
- (2) Starting points shall be clearly marked by prominent markers on the inside or outside of the track.

145. Offence - acting as starter

- (1) A person with a direct or indirect interest in the ownership of a horse or otherwise being one of its connections shall not act as starter in a race in which that horse is competing.
- (2) A person who fails to comply with sub rule (1) is guilty of an offence.

146. Offence - mounting starting gate

- (1) A person who is not the starter, operator or someone authorised by the stewards, shall not mount or ride on the starting gate.
- (2) A person who fails to comply with sub rule (1) is guilty of an offence.

PART 8
REQUIRED RACING STANDARD

147. Racing on merits

- (1) A driver shall race a horse on its merits.
- (2) Action or non action by a driver during the course of a race which prevents or impedes the horse driven by that driver from racing on its merits shall be sufficient to establish non compliance with sub rule (1).
- (3) Sub rule (2) does not preclude non compliance being established by other means.
- (4) A driver who fails to comply with sub rule (1) is guilty of an offence.

148. Offence - assisting driver to drive not on merits

- (1) A person shall not direct, coerce, persuade, or assist a driver to race a horse otherwise than on its merits.
- (2) A person who fails to comply with sub rule (1) is guilty of an offence.

149. Race to win or best placing

(Sections (4), (5), (6), (7) added GG 22/3/13)

- (1) A driver shall take all reasonable and permissible measures during the course of a race to ensure that the horse driven by that driver is given full opportunity to win or obtain the best possible placing in the field.
- (2) A driver shall not drive in a manner which in the opinion of the stewards is unacceptable.
- (3) A driver who fails to comply with sub rule (1) or (2) is guilty of an offence. *(gg 10/1/03)*
- (4) The connections of a horse shall not give a direction or instruction to the driver of a horse if in the opinion of the Stewards that direction or instruction may prevent the driver from giving the horse full opportunity to win or obtain the best possible placing. *(amended 11/2/14:amended1/1/18)*
- (5) A person who fails to comply with sub-rule (4) is guilty of an offence. *(amended 11/2 14)*
- (6) If a driver receives a direction or instruction that infringes sub-rule (4) he shall prior to the race notify the Stewards.
- (7) A driver who fails to comply with sub-rule (6) is guilty of an offence.

150. Charges

- (1) Charges may be laid under rules 147 and 149 arising out of the same circumstances.
- (2) If an offence is established under each rule penalties may be imposed in respect of each offence.
- (3) Where penalties are imposed in respect of each offence the penalty imposed in respect of breach of rule 149 (1) shall be suspended pending the decision on any appeal.
- (4) Subject to orders made on appeal, the suspension of a penalty occurring under sub-rule (3) shall become permanent where an appeal against conviction under rule 147 is dismissed or abandoned.
- (5) Subject to orders made on appeal, the suspension of a penalty occurring under sub-rule (3) is removed where an appeal relating to conviction under rule 147 is successful.

PART 9
DRIVERS

151. Driver's fee

A driver's fee shall be paid in an amount determined by the Controlling Body.

152. Priorities concerning horse to be driven

- (1) The owner or trainer of a horse in a race shall not drive any other horse in the race except with the permission of the Chairman of Stewards.
- (2) A person who owns or trains more than one horse starting in a race and who desires to drive in that race must observe the order of priority set out in this sub rule.
 - (a)First: The person shall drive the horse the person owns and trains.
 - (b)Second: The person shall drive the horse which the person owns but which is trained by someone else.
 - (c)Third: The person shall drive the horse trained but not owned by the person.
- (3) Ownership for the purposes of sub-rule (2) shall be determined by the degree of ownership (in percentage terms) held by that person. *(Rule added 1/1/18 other renumbered)*
- (4) The order of priority set out in sub rule (2) may be varied by the Stewards.
- (5) Application to the Stewards to vary the order of priority must be made in such manner as the Chairman of Stewards determines.
- (6) In this rule "person" means in relation to horses starting in a race, an owner, trainer, part owner and lessee and a "trainer" includes a driver temporarily in charge of a horse in a trainer's absence.

LR 152. Priority concerning horse to be driven

- (1) *In accordance with the provisions of rule 152(1), the stewards may give special approval to an owner or trainer, who has a horse or horses engaged in a race, to drive another horse in the race not owned or trained by that owner or trainer.*
- (2) *Such application shall only be made on good and substantial grounds.*
- (3) *When deciding to grant approval, the stewards shall examine the merit of each application, consider the ramifications of the application for approval, and ensure that any application shall be in the public's best interest.*
- (4) *The stewards may give approval in the following circumstances -*
 - (a) *the owner or trainer of the horse that is the subject of special approval shall apply for special approval;*
 - (b) *application for special approval shall be made directly to the stewards;*
 - (c) *application for special approval shall be made no later than 10.00am on the day after acceptances for the meeting are taken; and*
 - (d) *unless the stewards otherwise decide, the reinsperson, who is the subject of special approval, shall engage a reinsperson of similar ability as himself or herself to drive his or her horse or horses which are engaged in the same race.*
- (5) *Sub rule (4)(d) shall not apply when the horse or horses owned or trained by the reinsperson, who is still subject of special approval, are declared acceptors for the race with a novice concession being applicable. (amended Sept 08)*

153. Pregnant driver

- (1) Immediately a driver becomes aware that she is pregnant she shall notify the Controlling Body.
- (2) A pregnant driver shall not drive after the first trimester of her pregnancy.
(amended GG 2/12/16)
- (3) A driver who fails to comply with any provision of this rule is guilty of an offence.
(amended 1/1/07) (repealed, re-numbered and amended GG 2/12/16 formerly 153(4))

154. Horse breaking gait - driver's obligation

- (1) If a horse breaks gait during a race, its driver shall take immediate action to avoid interference with other runners and without gaining an unfair advantage restrain the horse to its correct gait before rejoining the race without interference to another runner.
(amended 26/04/05)
- (2) Where in the opinion of the stewards a driver fails to comply with sub rule (1) the horse may be disqualified from the race or placed in a lower finishing position and the driver is guilty of an offence.

155. Horse breaking gait - steward's powers

- (1) If a horse breaks gait during a race and the stewards are of the opinion that the horse thereby gained ground or maintained its ground or caused interference to or obtained an advantage to the detriment of another runner, the stewards may disqualify the horse from the race or place it in a lower position. *(gg nov11)*
- (2) If the Stewards form the opinion referred to in sub-rule (1) and are further of the opinion that the driver could or should have taken steps to prevent any of the specified events occurring and failed to do so, the driver is guilty of an offence.
(gg nov11)

Horse breaking gait *(added GG 6/12/19)*

- 155A.** (1) Notwithstanding the provisions of Rules 154 and 155 any horse which has broken gait free of interference, and remains in the incorrect gait when its nose reaches the finish line, and is covered by any other horse or horses in the correct gait it shall be placed behind such horse or horses.
- (2) For the purposes of sub-rule (1) "covered" means when a horse has its nose in line with any part of the breaking horse's body when the nose of the breaking horse reaches the finishing line.

LRI55A. Rule 155A shall not apply. *(added GG 6/12/19)*

156. Whips

- (1) (a) A driver shall only use and be in possession of a whip approved by the Controlling Body.
 - (b) A whip, once approved -
 - (i) shall not be modified in any manner;
 - (ii) shall be maintained in a satisfactory condition;
 - (c) A whip that does not comply with sub-paragraph (b) may be confiscated by the Stewards.
- (2) A driver shall only apply the whip in a flicking motion whilst holding a rein in each hand with the tip of the whip pointed forward in an action which does not engage the shoulder.
 - (3) A driver shall not use a whip in an unapproved manner.
 - (4) For the purposes of sub-rule (3) a driver shall be deemed to have used the whip in an unapproved manner in the following circumstances which are not exclusive:-

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- (a) If the whip is applied excessively, continuously and/or without allowing the horse time to respond.
 - (b) If the whip is applied when the horse:-
 - (i) is not visibly responding;
 - (ii) is not in contention;
 - (iii) cannot maintain or improve its position;
 - (iv) is clearly winning;
 - (v) has passed the winning post at the finish of a race.
 - (c) If the reins are lengthened so as to result in loose reining regardless of whether the whip is being used at the same time.
- (5) A driver shall not use the whip in a manner which causes injury to a horse.
 - (6) A whip shall not be used so as to obstruct, strike or endanger another driver or horse.
 - (7) A driver shall not allow a whip to project outside the sulky.
 - (8) A person who fails to comply with any provision of this Rule is guilty of an offence.

156A. The approved whip is a flexible whip of black or dark tan colour with a core of fibreglass with a handle base and shaft and either a leather keeper to a maximum of 60 millimetres in length and 20 millimetres in width or a non rigid tip amounting to a maximum overall length of 1600 millimetres.

- 156B.** (1) A person shall not instruct or offer an inducement to a driver to use a whip in a manner contrary to the provisions of Rule 156.
- (2) A person who fails to comply with sub-rule (1) is guilty of an offence.

(NB: 156 & 156A deleted and replaced 1/1/10) (156 deleted and replaced, 156A amended and 156B added 1/5/16) (156 deleted and replaced 1/09/18)

LR156A. Approved Whip

Notwithstanding the provisions of Rule 156A, the approved whip may be any approved by the Controlling Body in its absolute discretion.

157. Offence - relating to eligibility to drive

- (1) A person shall not drive in a race unless qualified and eligible to do so.
- (2) A person who fails to comply with sub-rule (1) is guilty of an offence.

157A Offence – relating to reporting wins when claiming concession

- (1) A driver who is eligible to claim a concession and who wins a race using that concession outside the area of control of the Controlling Body by which he or she is licensed shall immediately notify that Controlling Body of the Win.
- (2) A driver who fails to comply with sub-rule (1) is guilty of an offence.

158. Offence - relating to engagements

- (1) A driver shall fulfil an engagement to drive in a race except when the stewards give permission not to do so.
- (2) A driver shall not substitute for another driver in a race except with the permission of the stewards.
- (3) A driver who fails to comply with sub rule (1) or sub rule (2) is guilty of an offence.

159. Offence - relating to dress

- (1) A driver shall dress for a race in the manner determined by the Controlling Body.
- (2) The stewards may confiscate any item of dress which they consider should not be worn by a driver in a race.
- (3) A driver who fails to comply with sub rule (1) is guilty of an offence.

159A.

- (1) A driver shall wear a helmet which has been approved and entered in the Register of Helmets approved by Harness Racing Australia. *(amended March 2010)*
- (2) A person shall at all times when driving or riding a horse keep his or her helmet correctly fastened.

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- (3) A driver's jacket shall be of approved material and colour, and trousers shall be white and made of suitable material.
- (4) A driver shall wear boots which may be black or dark tan and which entirely cover feet and ankles and afford reasonable protection.
- (5) A driver's dress shall be clean and tidy.
- (6) Where the stewards consider that the climatic conditions at a meeting warrant the wearing of gear other than or in addition to that mentioned in this local rule, such as the wearing of gumboots, they may direct or give permission for this to be done.
- (7) A person shall at all times when driving or riding a horse wear a body protector which has been approved by Harness Racing Australia. (*gg Nov11*)
- (8) A driver shall not be in possession of a modified body protector or a body protector which has not been approved by Harness Racing Australia. (*added GG 29/12/15*)(*amended GG 2/12/16*)

LR159A. Helmets [*added Sept 07*]

- (1) *Every driver shall be responsible for the care and condition of their helmet.*
- (2) *A helmet is not regarded as serviceable and must be immediately replaced by the driver when-*
 - (a) *a period of 5 years has expired since its date of manufacture,*
 - (b) *it sustains a severe impact, or*
 - (c) *the wearer suffers from concussion following a fall.*
- (3) *The Stewards may at any time take possession of a helmet for inspection and may at their absolute discretion confiscate any helmet that does not comply with the requirements of this rule and/or the requirements of Rule 159A*
- (4) *The provision of 159A(7) shall also apply when a horse is driven or ridden on a training track. (amended March 2008)*

160. Offence - relating to the preliminary

- (1) Every driver when entering upon the track to compete in a race shall unless otherwise directed by the Stewards only drive the horse in its preliminary the correct way of the course. (*amended GG 11/2/14*)
- (2) A driver who fails to comply with sub rule (1) is guilty of an offence.

161. Offence - relating to the instructions of the clerk of the course

- (1) Subject to the powers of the stewards, drivers shall obey the instructions and requirements of the clerk of the course.
- (2) A driver who fails to comply with sub rule (1) is guilty of an offence.

162. Offence - relating to matters at the start

- (1) A driver shall not -
 - (a) dismount or move the horse off the track when under starter's orders except with the permission of the starter or at the direction of the stewards;
 - (b) disobey orders given by the starter;
 - (c) once on the track speak, communicate or signal to any person other than an authorised official;
 - (d) delay the start;
 - (e) come to the barrier out of position;
 - (f) cross over before reaching the starting point;
 - (g) start from the wrong barrier position;
 - (h) fail to come up into position;
 - (i) fail to come up to the starting gate by the time the candy pole or other nominated marker is reached;

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- (j) fail to maintain position behind the starting gate until the start;
 - (k) start before the signal is given;
 - (l) allow his horse to progress in advance of the mobile barrier arms once the score-up has commenced. *(amended 1/1/18)*
 - (m) interfere with a driver or horse during the start;
 - (n) take or attempt to take an unfair advantage in a race;
 - (o) look around unduly during a race;
 - (p) fail promptly to report to the stewards an incident in the course of a race affecting, or which might have affected, the performance of a horse or the true running of the race;
 - (q) lose or drop any part of his attire, gear or equipment during a race;
 - (r) without reasonable explanation fail to take all necessary steps to activate gear, appliances or devices which require activation. *(GG Nov11)*
 - (rr) fail to immediately report to the stewards if during a race any of his horses' gear is broken, lost, damaged, tampered or interfered with or has malfunctioned. *(GG Nov11)*
 - (s) carry or use a stop watch in a race;
 - (t) shout loudly, make any improper noise, or do or attempt anything which interferes or is designed to interfere with the progress of a runner;
 - (u) abruptly reduce or check the speed of the driver's horse in a way which could cause interference or jostling;
 - (v) whip the driver's horse with the reins; *(GG Nov11)*
 - (vv) lengthen the reins so as to result in loose reining; *(GGNov11)*
 - (w) do anything to hinder or prevent a runner from passing where that runner has sufficient room to do so;
 - (ww) allow his or her horse to shift from its running line unless it is commencing a forward move or improving its position *(GG 28th December 2001)*
 - (www) allow his horse to shift ground in a manner which:
 - (a) impedes
 - (b) hinders
 - (c) advantages another horse *(added 1/03/05:amend 1/1/18)*
 - (x) set an excessively slow pace; or
 - (y) fail to adhere to minimum time standards for sections of a race.
 - (z) fail to fully drive his or her horse out to the end of the race *(GG 28/12/2001).*
- (2) A driver who fails to comply with any provision of sub rule (1) is guilty of an offence.

163. Offence relating to matters during the race

- (1) A driver shall not: -
 - (a) cause or contribute to any *(amended GG 13/05/16)*

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- (i) crossing
 - (ii) jostling
 - (iii) interference;
- (b) subject to rule 164
- (i) make another horse cover more ground than necessary (*renumbered GG 6/12/19*)
 - (ii) impede the forward progress of another horse; (*added GG 6/12/19*)
- (c) allow the driver's horse or the sulky or any part thereof to (*amend GG 1/1/18*)
- (i) shift inside the marker post;
 - (ii) make contact with the marker post
- (d) directly or indirectly cause another runner to shift inside of the line of marker posts or into the sprint lane;
- (2) If a driver's horse or sulky shifts inside the line of marker posts, the driver shall restrain the horse and, without interference to another runner, return to a position outside the line of marker posts at the first opportunity. (*amended GG 29/3/19*)
- (3) A driver shall trail with the head of the driver's horse behind the seat of the sulky being trailed.
- (4) A driver shall trail the immediately preceding horse as close as is reasonably practicable in all of the circumstances. (*added GG 22/3/13*)
- (5) A driver who, in the opinion of the Stewards, fails to comply with any provision of this rule is guilty of an offence and in relation to sub-rules (1)(c)(i) and (ii) and sub-rule (2) the Stewards may disqualify the horse or give it a lower placing.. (*renumbered GG 22/3/13*) (*amended GG 13/05/16*)(*amended 1/1/18*)
- (6) For the purposes of sub rule (1)(a)(i) "crossing" occurs when a driver changing the position of the driver's horse, compels another runner to shorten stride or the driver of that other horse to pull it out of its stride. (*renumbered GG 22/3/13*) (*amended GG 13/05/16*)

164. No clear passage

The Controlling Body may determine the circumstances in which a driver who does not have a clear passage in the course of a race may take action to secure such a passage.

LR164. Changing Positions (Easing Out)

(1) Notwithstanding Rule 163(1) (b), a driver may make another horse cover more ground than necessary where;

- (a) the driver does so on or after entering the front straight with one lap of the race to run provided that any horse being made to cover extra ground is obliged to race no wider than three wide until entering the back straight on the final occasion; or*
- (b) the driver does so prior to entering the front straight with one lap of the race to run, and the other horse is not maintaining or improving its position,*

and provided that the driver does so only to the extent necessary to obtain an improved position for the driver's horse.

(2) For the obviation of doubt, nothing in this local rule authorises any act which is contrary to any rule other than Rule 163(1) (b). (amended May 08)

165. Maintaining course at the start

- (1) From the start through the first turn, and until reaching the next straight, a driver shall –
- (a) maintain with the inside wheel of the sulky a course which is at least 30cm wider on the track than the course being made good by the outside wheel of the sulky of the horse that is racing in the next position closer to the inside running line;

- (b) not move the driver's horse towards the inside running line unless the rear of the driver's sulky is at least one metre clear of the extended front legs of the horse racing in the next position closer to the inside running line.

166. Entry to sprint lane during final lap

- (1) Except in the final lap of a race a horse shall not enter any part of the sprint lane in an attempt to pass other horses or improve its position.
- (2) The driver of a horse in contravention of sub rule (1) is guilty of an offence and the horse may be disqualified or given a lower placing.

167. Entry to sprint lane

- (1) From the time the sprint lane becomes available for racing purposes, the leading horse closest to the inside running line and any horse in advance of and outside that horse or having passed that horse on its outside shall not enter any part of the sprint lane. *(GG 27th Oct 2000)*
- (2) In the event of the leading horse or its sulky entering any part of the sprint lane after the sprint lane becomes available for racing purposes the driver shall immediately take all necessary action to remove the horse and sulky from the sprint lane *(GG 28th December 2001)*.
- (3) The driver of a horse in contravention of sub rule (1) and (2) is guilty of an offence and the horse may be disqualified or given a lower placing *(GG 28th December 2001)*.

168. Careless driving

- (1) A person shall not before, during or after a race drive in a manner which is in the opinion of the Stewards:- *(GG 30th December 2003)(amended GG 29/12/15)*
 - (a) careless
 - (b) reckless
 - (c) incompetent
 - (d) intimidatory
 - (e) improper
 - (f) foul or
 - (g) likely to endanger person or horse.
- (2) A person who fails to comply with sub rule (1) is guilty of an offence.

169. Unacceptable driving

Repealed *(GG 17th July 2001)*

170. Offences relating to sulkies

- (1) (a) A driver shall, throughout the course of a race, drive with both feet in the rests of the sulky, unless he is activating approved gear. *(amended 1/11/16)(amnd 1/1/18 sub-rule (a),(b))*
(b) For the purposes of sub-paragraph (a) hereof, when activating approved gear by foot, the driver's foot shall not be moved in a downwards direction from the sulky footrest.
- (2) A driver shall not drive in a race with part of the driver's body protruding beyond the sulky.
- (3) A driver or person in charge of or responsible for affixing a sulky to a horse shall ensure that the sulky is affixed in a manner which prevents the hind legs of the horse from contacting any part of the sulky or the driver's feet. *(added .GG 6/12/19)*
- (4) A driver who fails to comply with any provision of this rule is guilty of an offence. *(renumbered GG 6/12/19)*

171. Offence relating to obstructions on the track

- (1) In the event of an obstruction occurring on the track during a race, drivers shall observe due caution and obey instructions given by the stewards or any appointed person.
- (2) A driver who fails to comply with sub rule (1) is guilty of an offence.

- 172. Offences relation to mobile phone use** *(added GG 6/12/19)*
- (1) A person driving or riding a horse in a race shall not use or have in his possession any mobile communication device.
 - (2) A person driving, riding or handling a horse shall not use any mobile communication device.
 - (3) A person who fails to comply with sub-rule (1) or (2) is guilty of an offence.
- 173. Offence relating to betting**
- (1) A driver shall not bet in a race in which the driver participates. *(amended GG March 2006)*
 - (2) A driver engaged to drive at a meeting shall not enter the betting area of the racecourse during the period commencing 60 minutes before the time fixed for the first race and finishing at the completion of the driver's engagements at the meeting
 - (3) For the purposes of this rule, betting area means those areas of a racecourse where betting with an approved wagering operator is conducted. *(added GG 11/2/14 renumbered GG 29/12/15)*
 - (4) A licensed person shall not authorise, enable, permit or allow another person to place a bet on a betting account of the licensed person. *(amended and added GG 29/11/15) ((amended GG 13/05/16 & 06/12/19)*
 - (5) A driver or trainer shall not place or have an interest in a bet on any betting account other than an account registered in their own name. *(added GG 29/12/15)(amended GG 13/05/16)*
 - (6) Any person who fails to comply with any provision of this rule is guilty of an offence. *(renumbered GG 29/12/15)(amended GG 13/05/16)*
- 174. Disqualification of horse and related matters**
- (1) Where a driver is found guilty of an offence under a rule contained in part 9 and the offence relates to the way in which the driver has driven or behaved or controlled or failed to control the driver's horse at the start or during a race, the stewards in relation to the race in which the offence occurred may give the driver's horse a lower placing or disqualify it for such period they think fit. *[amended May 07]*
 - (2) A disqualification under sub rule (1) or alteration of placings does not affect settlement of bets or wagers.
- 174A. Relating to Capacity to Drive**
(Added 1/1/10)
- (1) The holder of a driver's licence shall advise the Stewards of any injury, sickness, abnormality or condition that may affect or has affected their capacity to carry out their driving duties.
 - (2) A driver who fails to comply with sub-rule (1) is guilty of an offence.

PART 10
OBJECTIONS AND PROTESTS

175. Lodgment of objections

- (1) A person who questions the eligibility of a horse to start in a race may lodge an objection with the stewards.
- (2) A person upon coming into possession of information which might establish a horse's ineligibility to race shall immediately lodge an objection with the stewards.
- (3) A person who fails to comply with sub rule (2) is guilty of an offence.
- (4) An objection cannot relate to an incident which occurred during the running of a race. *(GG 3rd Oct 2003)*
- (5) For the purposes of this rule "person" means a person licensed under these rules, an official of the club conducting the meeting, an owner of a horse competing in the race, or an owner's authorised agent. *(GG 3rd Oct 2003)*

176. Lodgment of protests

- (1) A person who considers that a horse is not entitled to be placed in a race as the judge has decided, may lodge a protest with the All clear steward.
- (2) A protest can relate only to an incident which occurred during the running of a race.
- (3) A protest must state the grounds.
- (4) A protest must be made before the declaration of all clear.
- (5) For the purposes of this rule "person" means a steward, the starter of the race, a connection or driver of any horse competing in the race or an authorised agent.
- (6) Where a protest has been lodged in accordance with this Rule and a horse or its driver causes interference to another horse or its driver and the Stewards are of the opinion that the horse interfered with would have finished ahead of the first mentioned horse had such interference not occurred they shall place the first mentioned horse immediately after the horse interfered with. *(added GG 29/3/19)*

177. Objection to placings

- (1) A person may after the declaration of all clear lodge with the stewards an objection against the judge's placings in a race.
- (2) An objection must be lodged within 7 days of the race.
- (3) An objection does not affect settlement of bets or wagers.
- (4) An objection cannot relate to an incident which occurred during the running of a race.
- (5) For the purposes of this rule "person" means a person licensed under these rules, an official of the club conducting the meeting, an owner of a horse competing in the race, or an owner's authorised agent.

178. Announcement and inquiry

- (1) Upon lodgement of a protest the stewards shall immediately make a public announcement of the nature of the protest and the horses involved and proceed with an inquiry.
- (2) At the inquiry the owners or authorised agent and the trainers and drivers of the horses involved are entitled to be present.
- (3) Persons entitled to be present may address the stewards, but may question witnesses only with the stewards' permission.
- (4) The stewards may disqualify any horse from the race or give it a lower placing and otherwise decide the protest in such manner as they see fit.

179. Payment of stakes and bets

If a protest is upheld, stakes and bets shall be paid in accordance with the stewards' decision.

180. Frivolous protest or objection

A person who lodges a frivolous protest or objection is guilty of an offence.

PART 11

INQUIRIES AND INVESTIGATIONS

181. Conduct and scope

The stewards may, and when directed by the Controlling Body shall, conduct inquiries or investigations in such manner as they think fit into any occurrence or matter at or arising out of or connected with a meeting, race or event, or into any aspect of the harness racing industry, or into anything concerning the administration or enforcement of these rules.

182. Legal representation and other matters

At an inquiry conducted under these rules -

- (a) a person or body is not entitled to have a representative present in the room or place where the inquiry is being conducted but the stewards may permit a representative to be present and to take such part in the inquiry as they consider appropriate; *(amended 1/1/07)*
- (b) a person under the age of 18 may be represented by an agent or parent;
- (c) a person with an English language disability may be assisted by an interpreter;
- (d) a club or other body may be represented by a member or official;
- (e) where scientific, medical or veterinary questions arise a person affected may have present an adviser in such a field to hear evidence and to assist in the framing of questions and submissions and at the discretion of the stewards to question a witness;
- (f) written or oral evidence may be tendered;
- (g) a written or oral opinion on legal, scientific, medical or veterinary matters may be tendered in evidence;
- (h) unless the stewards permit, a legal practitioner cannot represent or assist a person, club or other body pursuant to paragraph (b), paragraph (c), or paragraph (d) of this rule.

(GG 30th Nov 1999)

183. Action pending outcome

Pending the outcome of an inquiry, investigation or objection, or where a person has been charged with an offence, the stewards may direct one or more of the following -

- (a) that a horse shall not be nominated for or compete in a race;
- (b) that a driver shall not drive or otherwise take part in a race;
- (c) that the horses of certain connections shall not be nominated for or start in a race;
- (d) that a licence or any other type of authority or permission be suspended.

183A Action pending outcome

Upon receipt of a certificate in accordance with Rule 191(1) which establishes prima facie evidence of the presence of a prohibited substance, the Stewards may determine that the horse which is the subject of the certificate shall not be nominated for or compete in any race until the outcome of an inquiry or investigation by the Stewards into the presence of the prohibited substance. *(added 26/04/05)(deleted 24/07/05(added Sept 08))*

184. Rehearings

On application by anyone affected adversely by a decision of the stewards they may proceed to a rehearing if fresh evidence relevant to the matter is produced to them within 14 days of the decision.

185. Procedure on rehearing

- (1) The stewards shall within a reasonable time before a rehearing give appropriate notice to affected persons.
- (2) On a rehearing the stewards may depart from normal procedural arrangements to meet the convenience of parties or otherwise for purposes considered appropriate by the stewards.

186. No rehearing where appeal initiated

The stewards shall not have any power to commence or proceed with a rehearing once an appeal to an Appeals Tribunal or a proceeding in a Court of Law relating to the matter is initiated.

187. Offences - inquiries

- (1) A person who is directed to do so by the stewards shall attend an inquiry or investigation convened or conducted by them.
- (2) A person shall not refuse to answer questions or to produce a horse, document, substance or piece of equipment, or give false or misleading evidence or information at an inquiry or investigation.
- (3) A person shall comply with an order or direction given by the stewards.
- (4) A person shall undergo any inspection, examination or test required by the stewards.
- (5) A person shall not abuse, intimidate or be deliberately obstructive of the stewards.
- (6) A person shall not frustrate or endeavour to frustrate an inquiry or investigation.
- (7) A person who fails to comply with any provision of this rule is guilty of an offence.

PART 12

PROHIBITED SUBSTANCES

188. Determination of prohibited substance

- (1) The Controlling Body may determine -
 - (a) anything to be a substance;
 - (b) a substance to be a prohibited substance;
 - (c) a substance which in the opinion of the Controlling Body has or may have a certain effect to be a prohibited substance;
 - (d) a substance coming within a nominated category or class to be a prohibited substance;
 - (e) a substance when in or on a horse to be a prohibited substance;
 - (f) a substance when in or on a horse at or above a nominated level to be a prohibited substance;
 - (g) a substance when in or on a horse for a nominated time to be a prohibited substance.
- (2) When making a determination under sub rule (1) the Controlling Body may act under any paragraph or any combination of paragraphs in that sub rule.

188A. Prohibited substances (*numbering amended GG effective 1/06/05*)

- (1) The following are prohibited substances:
 - (a) Substances capable at any time of causing either directly or indirectly an action or effect, or both an action and effect, within one or more of the following mammalian body systems:-
 - the nervous system
 - the cardiovascular system
 - the respiratory system
 - the digestive system
 - the musculo-skeletal system
 - the endocrine system
 - the urinary system
 - the reproductive system
 - the blood system
 - the immune system
 - the integumentary system (*added GG 29/3/19*)
 - the ocular system (*added GG 29/3/19*)
 - (b) Substances falling within, but not limited to, the following categories:-
 - acidifying agents
 - adrenergic blocking agents
 - adrenergic stimulants
 - agents affecting calcium and bone metabolism
 - alcohols
 - alkalinising agents
 - anabolic agents
 - anaesthetic agents
 - analgesics
 - antiangina agents
 - antianxiety agents
 - antiarrhythmic agents
 - anticholinergic agents
 - anticoagulants
 - anticonvulsants
 - antidepressants
 - antiemetics
 - antifibrinolytic agents
 - antihistamines
 - antihypertensive agents
 - anti-inflammatory agents
 - antinauseants
 - antineoplastic agents
 - antipsychotic agents
 - antipyretics

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- antirheumatoid agents
 - antispasmodic agents
 - antithrombotic agents
 - antitussive agents
 - bisphosphonates (*added GG 13/9/19*)
 - blood coagulants
 - bronchodilators
 - bronchospasm relaxants
 - buffering agents
 - central nervous system stimulants
 - cholinergic agents
 - corticosteroids
 - depressants
 - diuretics
 - erectile dysfunction agents
 - fibrinolytic agents
 - haematopoietic agents
 - haemostatic agents
 - hormones (including trophic hormones) and their synthetic counterparts
 - hypnotics
 - hypoglycaemic agents
 - hypolipidaemic agents
 - immunomodifiers
 - masking agents
 - muscle relaxants
 - narcotic analgesics
 - neuromuscular agents
 - plasma volume expanders
 - respiratory stimulants
 - sedatives
 - stimulants
 - sympathomimetic amines
 - tranquillisers
 - vasodilators
 - vasopressor agents
 - vitamins administered by injection (*gg Nov11*)
- (c) Metabolites, artifacts and isomers of the prohibited substances prescribed by paragraphs (a) and (b).
- (d) An endogenous substance where the concentration of that substance is in the opinion of the Stewards unusual or abnormal. (*added GG 29/12/15*)
- (2) The following substances when present at or below the levels set out are excepted from the provisions of sub rule (1) and Rule 190AA: (*GG 9th August 2002*) (*amended 1/9/14*)
- (a) Alkalinising Agents, when evidenced by total carbon dioxide (TCO₂) present at a concentration of 36 millimoles per litre in plasma
 - (b) Arsenic at a concentration of 0.30 micrograms per millilitre in urine;
 - (c) Dimethyl sulphoxide at a concentration of 15.0 micrograms per millilitre in urine or 1000 nanograms per millilitre in plasma;
 - (d) In male horses, other than geldings, 5 α -estrane-3 β , 17 α -diol in urine (including both the free substance and that liberated from conjugates) at a concentration equal to or less than that of 5(10)-estrane-3 β , 17 α -diol in urine (including both the free substance and that liberated and that liberated from its conjugates).
 - (e) Salicylic acid at a concentration of 750 micrograms per millilitre in urine or 6.50 micrograms per millilitre in plasma;
 - (f) Free hydrocortisone at a concentration of 1.00 micrograms per millilitre in urine;
 - (g) Testosterone - (*sub-rule (iii) added Dec 10*) (*amended GG 13/05/16*)
 - (i) in geldings: free testosterone and testosterone liberated from its conjugates at a mass concentration of 20 micrograms per litre in urine,
 - (ii) in geldings: free testosterone at a mass concentration of 100 picograms per millilitre in plasma,

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- (iii) in fillies and mares: free testosterone and testosterone liberated from its conjugates at a mass concentration of 55 micrograms per litre in urine,
 - (iv) In fillies and mares that have been notified as pregnant so as to comply with Rule 103B: free testosterone and testosterone liberated from its conjugates at any concentration in urine.
 - (h) 3-Methoxytyramine (including both free 3-methoxytyramine and 3-methoxytyramine liberated from conjugates) at a concentration of 4.0 milligrams per litre in urine
 - (i) Boldenone in male horses other than geldings, (including both free boldenone and boldenone liberated from its conjugates) at a mass concentration of 15 micrograms per litre in urine. *[added May 07]*
 - (j) Theobromine at a mass concentration of 2.00 milligrams per litre in urine. *(added 12/10)*
 - (k) Cobalt at a concentration of 100 micrograms per litre in urine or 25 micrograms per litre in plasma. *(added GG 28/11/14) (amended 1/11/16) (amended 1/1/18)*
- (3) The following are not prohibited substances: *(gg Nov11)*
antimicrobials (antibiotics) and other anti-infective agents but not including procaine penicillin
antiparasitics approved and registered for use in horses
ranitidine
omeprazole
ambroxol
bromhexine
dembrexine
registered vaccines against infectious agents
orally administered glucosamine
orally administered chondroitin sulphate
altrenogest when administered to fillies and mares
- (4) A trainer must notify the Stewards no later than 1 hour prior to the scheduled starting time of a race if the trainer's horse has been treated with Antimicrobials (antibiotics and other anti-infective agents) except Procaine Penicillin, Vaccines and antisera for the prevention of disease, or Mucolytics within the preceding 7 days.
- (5) A trainer who fails to comply with sub-rule (4) is guilty of an offence.
(sub-rules (4) & (5) added GG March 2006)

LR188A Prohibited Substances *(added GG effective 01/06/05: Amended Dec 10)*

In addition to those substances listed at Rule 188A(3) the following are also not prohibited substances:

Ambroxol

Bromhexine

Dembrexine

LR188AB Non-application of 188A(4) & 188A(5). *(added GG effective March 2006)*

188A(4) and 188A(5), and the respective provisions of each do not apply in Western Australia.

188B. *(added GG 29/3/19)*

- (1) In relation to the testing for the presence of a therapeutic substance in a sample taken at any time from a horse there must be an initial screening test or screening analysis of the sample.
- (2) As a minimum requirement, the initial screening test or screening analysis is to be conducted as follows:-
 - (a) A biological matrix, equivalent in volume to the sample, is to have added to it a quantity of the therapeutic substance, or its specified metabolite, sufficient to bring its concentration to the screening limit specified for that therapeutic substance. This is known as the spiked sample and is to be analysed concurrently with the sample.
 - (b) The sample is then to be tested to ascertain whether or not it contains a quantity of the therapeutic substance, or its specified metabolite, that exceeds the screening limit by making a direct comparison with the spiked sample.
 - (c) If the screening limit is not exceeded, the detection of the therapeutic substance is not to be reported.
 - (d) If the screening limit is exceeded then the sample is to be further tested in accordance with normal laboratory procedures designed to certify the presence of the therapeutic substance in the sample.

- (3) A therapeutic substance for the purpose of this Rule and the screening limit applicable to it or its specified metabolite shall be promulgated from time to time by Harness Racing Australia and published on the websites of Harness Racing Australia and a Controlling Body.
- (4) The screening limit testing provided for in this Rule is not intended and does not operate to mean that for the purpose of the Rules the therapeutic substance only becomes a prohibited substance if and when the screening limit is exceeded.
- (5) It shall not be a defence to any charge under Rules 190, 190A, 190AA, 195 and 196A that the result of any initial screening test or screening analysis should have been below the screening limit for the therapeutic substance in question.

189. Testing

- (1) The stewards may carry out tests and examinations to determine whether a prohibited substance was or is in or on a horse.
- (2) A test or examination may be made at any time and place.
- (3) A test or examination may be conducted on a horse alive or dead.
- (4) For purposes of testing or examining the stewards may take possession of a horse for such period and subject to such conditions as they think fit.
- (5) The connections of a horse shall comply with any directions relating to testing and examining given by the stewards.
- (6) For purposes of testing and examining a horse the stewards may use the services of a veterinary surgeon or other appropriately qualified person.
- (7) Blood, urine, saliva, or other matter or samples or specimens may be taken from a horse for purposes of testing and examining and may be stored, frozen or otherwise dealt with, and shall be disposed of only as the stewards may direct.
- (8) Where the stewards suspect that a prohibited substance was or is in or on a horse or that blood, urine, saliva, or other matter or sample or specimen taken from a horse may contain a prohibited substance, they may withdraw the horse from a race, bar it from racing for a period, or give such direction about the horse as they consider appropriate.
- (9) It is an offence for a person to fail to comply with a direction given under sub rule (5) or sub rule (7) or sub rule (8) or to interfere with or prevent or endeavour to interfere with or prevent the carrying out of a test or examination.

190. Presentation free of prohibited substances

- (1) A horse shall be presented for a race free of prohibited substances.
- (2) If a horse is presented for a race otherwise than in accordance with sub rule (1) the trainer of the horse is guilty of an offence.
- (3) If a person is left in charge of a horse and the horse is presented for a race otherwise than in accordance with sub rule (1), the trainer of the horse and the person left in charge is each guilty of an offence.
- (4) An offence under sub rule (2) or sub rule (3) is committed regardless of the circumstances in which the prohibited substance came to be present in or on the horse.
- (5) A horse is presented for a race during the period commencing at 8.00 a.m. on the day of the race for which the horse is nominated and ending at the time it is removed from the racecourse after the running of that race.
- (6) Where a trainer intends to leave another person in charge of a horse in the trainer's absence, then prior to doing so, the trainer must notify the Chairman of Stewards and the notification must be in the manner, within the time, and containing the information determined by the Controlling Body or the Chairman of Stewards.
(GG 30th December 2003)
- (7) A person can only be left in charge of a horse by a trainer with the approval of the chairman of stewards.
- (8) A trainer who fails to comply with sub rule (6) or sub rule (7) is guilty of an offence.

190A. Out of Competition Testing

(Rule 190A deleted and replaced GG 11/2/14) (amended 1/9/14, GG 29/12/15 and GG 13/9/19)

- (1) When a sample taken at any time from a horse being trained or cared for by a licensed person has detected in it any prohibited substance specified in sub-rule (2):-
 - (a) The trainer and any other person who was in charge of such horse at the relevant time shall be guilty of an offence.
 - (b) The horse may be disqualified from any race in which it has competed subsequent to the taking of such a sample where, in the opinion of the Stewards, the prohibited substance was likely to have had any direct and/or indirect effect on the horse at the time of the race.

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- (2) For the purpose of sub-rule (1), the following substances are specified as prohibited substances:-
- (a) haematopoiesis – stimulating agents, including but not limited to erythropoietin (EPO), epoetin alfa, epoetin beta, darbepoetin alfa, and methoxy polyethylene glycolepoetin beta (*Mircera*);
 - (b) insulins;
 - (c) growth hormones and their releasing factors; (*amended GG 29/3/19*)
 - (d) insulin-like growth factor-1;
 - (e) substances listed in Schedule 8 and Schedule 9 of the *Standard for the Uniform Scheduling of Medicines and Poisons* contained in the Australian *Poisons Standard*;
 - (f) selective androgen receptor modulators (SARMS);
 - (g) selective estrogen receptor modulators (SERMS);
 - (h) selective opiate receptor modulators (SORMS);
 - (i) peroxisome proliferator activated receptor 8 (PPAR8) agonists, including but not limited to GW 1516;
 - (j) AMPK activators, including but not limited to AICAR (5-amino-1-β-D-ribofuranosyl-imidazole-4-carboxamide);
 - (k) other agents that directly or indirectly affect or manipulate gene expression;
 - (l) hypoxia inducible factor (HIF)-1 stabilisers, including but not limited to ITPP (myoinositol trispyrophosphate); and hypoxia inducible factor (HIF) – 1 activators, including but not limited to Xenon and Argon;
 - (m) agents modifying myostatin function, including but not limited to myostatin inhibitors;
 - (n) oxygen carriers including but not limited to perfluorochemicals, efaproxiral and modified haemoglobin products;
 - (o) thymosin beta;
 - (p) venoms of any species or derivatives thereof;
 - (q) synthetic proteins and peptides and synthetic analogues of endogenous proteins and peptides not registered for medical or veterinary use;
 - (r) anabolic androgenic steroids (other than an anabolic androgenic steroid which is present at or below the relevant concentrations set out in Rule 188A(2));
 - (s) unregistered bisphosphonates; (*added GG 13/9/19*)
 - (t) metabolites, artifacts and isomers of any of the substances specified in paragraphs (a) to (s);
- (3) The substances bufotenine, butorphanol, 3-(2-dimethylaminoethyl)-4-hydroxyindole, N.N-dimethyltryptamine, Ketamine, methadone, morphine, pethidine and quinalbarbitone, and their metabolites, artifacts and isomers are excepted from the provisions of this Rule.
- (4) If any substance or preparation that could give rise to an offence under this rule if administered to a horse at any time is found at any time at any premises used in relation to the training or racing of horses then any owner, trainer or person who owns, trains or races or is in charge of horses at those premises is deemed to have the substance or preparation in their possession and such person shall be guilty of an offence.
- (5) It shall be a defence to a charge under sub-rule (4) for the person in possession of such substance or preparation to prove that the substance or preparation was prescribed to him, for use by him, by either a qualified medical practitioner or veterinarian.
- (6) Any person who attempts to obtain possession of a substance specified in sub-rule (2) or a metabolite, artifact or isomer of such substance, is guilty of an offence.

190AA. Anabolic Steroids (*added 1/9/14*)

- (1) A horse must not, in any manner, at any time, be administered an anabolic androgenic steroid.
- (2) Any person who:
- (a) administers an anabolic androgenic steroid;
 - (b) attempts to administer an anabolic androgenic steroid;
 - (c) causes an anabolic androgenic steroid to be administered; and/or
 - (d) is a party to the administration of, or an attempt to administer, an anabolic androgenic steroid,
- to a horse is guilty of an offence.

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- (3) Where the Stewards are satisfied that a horse has, or is likely to have been, administered any anabolic androgenic steroid contrary to this rule, the Stewards may prevent the horse from starting in any relevant race.
- (4) When a sample taken at any time from a horse has detected in it an anabolic androgenic steroid the horse is not permitted to start in any race or be used for the purposes of breeding: *(amended GG 06/12/19)*
 - (a) for a minimum period of 12 months from the date of the collection of the sample in which an anabolic androgenic steroid was detected; and
 - (b) only after an Anabolic Androgenic Steroid Clearing Certificate is provided in respect of a sample taken from the horse, such sample having been taken at a date determined by the Stewards.
- (5) Any owner, lessee, nominator, trainer and/or person in charge of a horse registered under these Rules must, when directed by the Stewards or other person authorised by the Controlling Body, produce, or otherwise give full access to, the horse so that the Stewards or other person authorised by the Controlling Body may take or cause a sample to be taken and analysed to determine whether any anabolic androgenic steroid is present in the system of the horse.
- (6) For the avoidance of doubt and without limitation, sub-rule (5) requires an owner, lessee, nominator and/or trainer to produce the horse, or otherwise give full access to the horse, even if the horse is:
 - (a) under the care or control of another person; and/or
 - (b) located at the property of another person.
- (7) Any person who fails to produce, or give full access to, a horse to provide a sample as required by sub-rule (5) is guilty of an offence.
- (8) In respect of a horse registered under these Rules, where an owner, lessee, nominator, trainer and/or person in charge of a horse is in breach of sub-rule (5), the relevant horse will not be permitted to start in any race:
 - (a) for a period of not less than 12 months following the day on which the horse is in fact produced to the Stewards, or full access to the horse is otherwise given to the Stewards, so that a sample may be taken and analysed for anabolic androgenic steroids; and
 - (b) only after an Anabolic Androgenic Steroid Clearing Certificate is provided in respect of a sample taken from the horse, such sample having been taken at a date determined by the Stewards.

Bisphosphonates *(added GG 13/9/19)*

- 190AB.**
- (1) A horse must not at any time be administered an unregistered bisphosphonate.
 - (2) Any person who:-
 - (a) Administers an unregistered bisphosphonate
 - (b) Attempts to administer an unregistered bisphosphonate
 - (c) Causes an unregistered bisphosphonate to be administered and/or
 - (d) Is a party to the administration of or an attempt to administer any unregistered bisphosphonateto a horse is guilty of an offence.
 - (3) Where the Stewards are satisfied that a horse has, or is likely to have been, administered an unregistered bisphosphonate contrary to this rule, the Stewards may prevent the horse from starting in any relevant race.
 - (4) When a sample taken from a horse aged less than four years has detected in it a bisphosphonate the horse is not permitted to start in any race for a minimum period of twelve months from the date of the collection of the sample in which the bisphosphonate was detected.
 - (5) When a sample taken from a horse aged four years or older has detected in it
 - (a) A registered bisphosphonate the horse is not permitted to start in any race for a minimum period of thirty days from the date of the collection of the sample in which the bisphosphonate was detected.
 - (b) An unregistered bisphosphonate the horse is not permitted to start in any race for a minimum period of twelve months from the date of the collection of the sample in which the bisphosphonate was detected.
 - (6) For the purposes of this Rule and Rule 190A an unregistered bisphosphonate means a bisphosphonate that is not registered for veterinary use in Australia. *(added GG 6/12/19)*
 - (7) For the purposes of this Rule a registered bisphosphonate means a bisphosphonate that is registered for veterinary use in Australia. *(added GG 6/12/19)*

- (8) When a sample taken from a horse has detected in it a bisphosphonate in breach of sub-rules (1), (4) or (5) the trainer and any other person who is in charge of such horse at the relevant time shall be guilty of an offence. *(renumbered GG 6/12/19)*

Log Books

190B. (1)

A trainer shall at all times keep and maintain a log book:-

- (a) listing all therapeutic substances in his or her possession
(b) recording all details of treatment administered to any horse in his or her care and including as a minimum requirement:
(i) the name of the horse;
(ii) the date and time of administration of the treatment;
("time" added 1/1/18)
(iii) the name of the treatment (brand name of active constituent);
(iv) the route of administration;
(v) the amount given;
(vi) the name and signature of the person or persons administering and/or authorising treatment. *(gg Nov11)*

190B. (2)

For the purposes of this rule treatment includes: *(amended GG 29/12/15)*

- (i) all Controlled Drugs (Schedule 8) administered by a veterinarian
(ii) all Prescription Animal Remedies (Schedule 4)
(iii) all Prescription Only Medicines (Schedule 4) prescribed and/or dispensed by a veterinarian for off label use
(iv) all injectable veterinary medicines (intravenous, intramuscular, subcutaneous, intra-articular) not already included above
(v) all Pharmacist only (Schedule 3) and Pharmacy Only (Schedule 2) medicines
(vi) all veterinary and other medicines containing other scheduled and unscheduled prohibited substances
(vii) all alkalinising agents
(viii) all herbal preparations *(gg Nov 11)*
(ix) shockwave therapy
(x) acupuncture (including laser treatment)
(xi) chiropractic treatment
(xii) the use of any electrical stimulation device (including transcutaneous electrical nerve stimulation (TENS))
(xiii) magnetic field therapy
(xiv) ultrasound
(xv) any form of oxygen therapy including hyperbaric oxygen therapy.

190B. (3)

Details of the treatment administered to any horse must be entered into the log book on the day of the administration. *(added GG 29/12/15)*

190B. (4)

A trainer shall upon request produce such log book or register for inspection by the Stewards. *(renumbered GG 29/12/15)*

190B. (5)

A trainer shall retain possession of a log book for a period of two years. *(added GG 29/12/15)*

190B. (6)

A trainer who fails to comply with the provisions of sub-rule (1), (3), (4) or (5) is guilty of an offence. *(renumbered and amended GG 29/12/15)(amended GG 13/05/2016)*

191. Evidentiary certificates

- (1) A certificate from a person or drug testing laboratory approved by the Controlling Body which certifies the presence of a prohibited substance in or on a horse at, or approximately at, a particular time, or in blood, urine, saliva, or other matter or sample or specimen tested, or that a prohibited substance had at some time been administered to a horse is prima facie evidence of the matters certified.
(2) If another person or drug testing laboratory approved by the Controlling Body analyses a portion of the sample or specimen referred to in sub rule (1) and certifies the presence of a prohibited substance in the sample or specimen that certification together with the certification referred to in sub rule (1) is conclusive evidence of the presence of a prohibited substance. *(GG 27th Oct 2000)*
(3) A certificate furnished under this rule which relates to blood, urine, saliva, or other matter or sample or specimen taken from a horse at a meeting shall be prima facie

- evidence if sub rule (1) only applies, and conclusive evidence if both sub rules (1) and (2) apply, that the horse was presented for a race not free of prohibited substances.
- (4) A certificate furnished under this rule which relates to blood, urine, saliva, or other matter or sample or specimen taken from a horse shall be prima facie evidence if sub rule (1) only applies, and conclusive evidence if both sub rules (1) and (2) apply, that the prohibited substance was present in or on the horse at the time the blood, urine, saliva, or other matter or sample or specimen was taken from the horse.
 - (5) Sub rules (1) and (2) do not preclude the presence of a prohibited substance in or on a horse, or in blood, urine, saliva, or other matter or sample or specimen, or the fact that a prohibited substance had at some time been administered to a horse, being established in other ways.
 - (6) Sub rule (3) does not preclude the fact that a horse was presented for a race not free of prohibited substances being established in other ways.
 - (7) Notwithstanding the provisions of this rule, certificates do not possess evidentiary value nor establish an offence, where it is proved that the certification procedure or any act or omission forming part of or relevant to the process resulting in the issue of a certificate, was materially flawed.

191A. Approved Instrument

For the purposes of these Rules the plasma total carbon dioxide level in a horse shall be measured only by an approved instrument. (GG 25th May 2001)

LR 191. Meaning of "certificate"

For the purpose of rule 191, "certificate" includes any report, notification or advice.

192. Possession on course

- (1) No person, unless he has first obtained the permission of the stewards, shall have in his possession either on a racecourse or in any motor vehicle or trailer being used for the purpose of travelling to or from a racecourse any prohibited substance or a syringe, needle or other instrument which could be used
 - (a) to administer a prohibited substance to a horse; or
 - (b) to produce a prohibited substance in a horse;
 - (c) to administer any medication or substance to a horse. (added Nov 07)
- (2) The stewards may impose terms and conditions when giving permission under sub rule (1).
- (3) A person who fails to comply with sub rule (1) or with a term or condition imposed under sub rule (2) is guilty of an offence.
- (4) For the purposes of sub rule (1) possession includes control of and right of access to the prohibited substance or syringe, needle or other instrument.

193. Stomach tubing, atomisers and other devices (amended Nov 07)

- (1) A person shall not attempt to stomach tube or stomach tube a horse nominated for a race or event within 48 hours of the commencement of the race or event. (amended Sept 08)
- (2) A person shall not attempt to use or use an atomiser, face mask or other device for the administration of a prohibited substance to a horse nominated for a race or event within 48 hours of the commencement of the race or event. (amended Sept 08)
- (3) A person shall not administer or allow or cause to be administered any medication to a horse on race day prior to such horse running in a race. (amended GG 11/2/14)
- (4) Notwithstanding the provisions of sub-rule (3), a person, with the permission of the Stewards may administer or allow or cause to be administered any medication to a horse on race day prior to such horse running in a race. (amended GG 11/2/14)
- (5) The Stewards shall order the withdrawal or disqualification of a horse that has been either treated or attempted to have been treated in breach of sub-rules (1), (2) and (3). (amended GG 11/2/14 and 28/11/14)
- (6) For the purposes of this Rule, medication means any treatment with drugs or other substances.
- (7) A person shall not allow or permit another person to attempt to perform or perform any of the actions prohibited by sub-rules (1), (2) or (3). (added GG 29/12/15) (amended GG 13/05/16)
- (8) A person who fails to comply with sub-rules (1), (2), (3) or (7) is guilty of an offence. (amended GG 11/2/14)(renumbered & amended GG 29/12/15) (amended GG 13/05/16)

194. Holding of drugs

A person who:

- (a) Procures or attempts to procure;
- (b) Has in his possession or on his premises or under his control;
- (c) Administers or attempts to administer;

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any substance or preparation that has not been registered, labelled, prescribed or obtained in compliance with relevant State and Commonwealth legislation is guilty of an offence.
(gg Nov11) (amended GG 11/2/14, 1/1/18, GG 29/3/19 & GG 6/12/19))

LR194 Holding of drugs [added Sept 07]

- (1) *If in exercising the power under Rule 15 and/or LR15, the Stewards identify and/or take possession of any quantity of a substance or preparation that could give rise to an offence under Rule 190A if administered to a horse at any time, then any person in possession of such substance shall be guilty of an offence and liable to penalty pursuant to Rule 256.*
- (2) *For the purposes of this Rule, if any substance or preparation is found at any premises used in relation to the training or racing of horses, then any owner, trainer or person who owns, trains, races or is in charge of horses at those premises is deemed to have the drug in their possession.*
- (3) *It shall be a defence to a charge under this Rule for the person in possession of such substance to prove that the substance was prescribed for use by them by either a qualified medical practitioner or veterinarian.*

LR 194A Possession of Substances [added 1/1/18)

- (1) *Any person commits an offence, if in the exercise of the powers afforded by Rule 15 and/or Local Rule 15, the Stewards find them to be in possession of or have on their premises any substance or preparation that has not been registered or labelled, or prescribed, dispensed or obtained, in compliance with the relevant State or Commonwealth legislation.*
- (2) *The Stewards may take possession of any substance mentioned in sub rule (1) and may use it as evidence in any relevant proceeding.*
- (3) *For the purposes of this Rule, if any substance is found at any premises used in relation to the training or racing of horses, then any person who owns, trains, races or is in charge of horses at these premises is deemed to have the substance in their possession.*
It shall be a defence to a charge pursuant to this Rule, for the person in possession of such substance, or having such substance on their premises, to prove that the substance was prescribed for use by them by either a qualified medical practitioner or veterinarian.

194A. Unregistered substances

A person who:

- (a) Sells or attempts to sell;
- (b) Supplies or attempts to supply;
- (c) Distributes or attempts to distribute;

any substance or preparation that has not been registered, labelled, prescribed or obtained in compliance with relevant State and Commonwealth legislation is guilty of an offence.
(added GG 29/12/15)(amended GG 6/12/19)

195. Disqualification

A horse which has been presented for a race shall be disqualified from it if blood, urine, saliva, or other matter or sample or specimen taken from the horse is found to contain a prohibited substance.

195A. Disqualification from final of heats

- (1) This Rule is to apply to any race or series of races which the controlling body stipulates in the Conditions of Entry that it shall apply to; such a race or races being a qualifying race for some other race.
- (2) If in relation to a race to which this rule applies a blood, urine, saliva or other sample or specimen is taken from a horse when it is presented for such race and a certificate from a person or drug testing laboratory approved by the Controlling Body certifies the presence of a prohibited substance in such specimen or sample then the horse shall be immediately disqualified from participating in any other race for which the race in question provides or provided a qualification for participation. (amd March 2006)
- (3) This rule is to have effect and to be conclusive irrespective of whether further testing procedures or other circumstances establish that the horse was presented for the race free of any prohibited substances. (GG 3rd December 2002)

196. Administering substances

- (1) The Controlling Body may make determinations concerning the feeding, injecting, inserting or otherwise administering substances or prohibited substances to a horse.
- (2) A person who fails to comply with a determination made under sub rule (1) is guilty of an offence.

196A. Administering substances *(added Nov 07)*

- (1) A person shall not administer or cause to be administered to a horse any prohibited substance
 - (i) for the purpose of affecting the performance or behaviour of a horse in a race or of preventing its starting in a race; or
 - (ii) which is detected in any sample taken from such horse prior to or following the running of any race.
- (2) A person who fails to comply with sub-rule (1) is guilty of an offence.

196B. Administering substances *(added GG 1/9/15) (amended GG 13/10/15)*

- (1) A person shall not without the permission of the Stewards within one (1) clear day of the commencement of a race administer, attempt to administer or cause to be administered an injection to a horse nominated for that race.
- (2) For the purposes of this Rule -
 - (a) One clear day means the twenty four (24) hour period from 12.01 a.m. to 12 midnight;
 - (b) Administering an injection to a horse means the use of a hypodermic needle or other instrument to introduce or extract any substance from the horse; *(amend 1/1/18)*
 - (c) It is not necessary to establish whether any substance was injected or the nature of the substance injected.
- (3) The Stewards shall order the withdrawal or disqualification of a horse that has been either administered or attempted to have been administered an injection in breach of sub-rule (1). *(added GG 13/05/16)*
- (4) A person who fails to comply with sub-rule (1) is guilty of an offence. *(re-numbered GG 13/05/16)*

LR196 Deleted *(Absorbed by National Rule 193)*

196C. Administering Substances *[added 1/1/18]*

- (1) A person must not administer an alkalinising agent in any manner to a horse which is engaged to run in a race:
 - (a) at any time on the day of the scheduled race and prior to the start of the race;
 - (b) at any time within one (1) clear day of the commencement of the race.
- (2) Any person who:-
 - (a) administers an alkalinising agent;
 - (b) attempts to administer an alkalinising agent;
 - (c) causes an alkalinising agent to be administered; and/or
 - (d) is a party to the administration of, or an attempt to administer, an alkalinising agentcontrary to sub-rule (1) is guilty of an offence.
- (3) Where the Stewards are satisfied that a horse has, or is likely to have been, administered any alkalinising agent contrary to sub-rule (1) the horse shall either be withdrawn or disqualified from the race. *(amended GG 29/3/19)*
- (4) Alkalinising agent:- *(amended GG 29/3/19)*
 - (a) Means any substance that may elevate the plasma total carbon dioxide (TCO₂) of a horse when administered by any route.
 - (b) Includes but is not limited to substances that are bicarbonates, citrates, succinates, acetates, propionates, maleates, lactates and trometamol (THAM, Tris Buffer or Trometamine) and also include products marked as urinary alkalinises and hind gut buffers.
 - (c) Does not subject to sub-rule (d) hereof include substances that are alkalinising agents which are contained in commercial feeds and/or balanced commercial electrolytes supplements which when fed and consumed according to the manufacturer's recommendations for normal daily use, which Stewards are satisfied have a negligible effect on plasma TCO₂.
 - (d) Any exemption from the definition of alkalinising agent granted under this rule does not constitute a defence to a charge laid against a person following the detection by an official racing laboratory of a TCO₂ concentration in a horse in excess of the threshold described by Rule 188.
- (5) For the purposes of this Rule:- *(Renumbered GG 29/3/19)*
one clear day means the twenty four (24) hour period from 12.01 a.m. to 12 midnight.

PART 13

PRIZEMONIES

- 197. Percentages**
Prizemonies shall be paid in the percentages and in any manner determined from time to time by the Controlling Body.
- 198. Winner only**
- (1) Where only 1 horse takes part in a race it must complete the course at the specified gait unless the stewards otherwise direct.
 - (2) Where a horse takes part in a race in accordance with sub rule (1), only one half of the winner's prizemonies shall be paid.
 - (3) Where 2 or more horses take part in a race but no horse is placed except the winner, prizemonies for the second or lower placed horse shall be retained by the club or other body conducting the meeting.
- 199. Dead heats**
- (1) Subject to sub rule (2), in the case of a dead heat for any place by 2 or more horses, the owners thereof shall divide equally all the money or other prize which any of them would have received if there had not been a dead heat.
 - (2) Where the owners of horses running a dead heat cannot agree who is to have a cup or other indivisible prize the matter shall be determined by the stewards by lot.
- 200. Refund of prizemoney**
- (1) Any prizemoney or trophy won by a horse which has been disqualified under these rules shall, if paid out, be refunded or returned immediately by the connections to the club which conducted the race or to the Controlling Body.
 - (2) A person who fails to comply with sub rule (1) is guilty of an offence.
- 201. Objections**
- (1) Prizemoney which might be affected by -
 - (a) an objection;
 - (b) the carrying out of any test;
 - (c) the analysis of any blood, urine, saliva, or other matter or sample or specimen;
 - (d) an inquiry or investigation;
 - (e) an appeal to the Controlling Body or an Appeals Tribunal - shall be withheld.
 - (2) If prizemoney is paid out before the determination of an objection lodged in accordance with Rule 177 and that objection is upheld then such prizemoney shall be refunded or returned immediately by the connections to the Club which conducted the race or to the Controlling Body. *(amended .GG 6/12/19)*
 - (3) A person who fails to comply with sub-rule (2) is guilty of an offence *(added GG 6/12/19)*
 - (4) For the purposes of this Rule prizemoney includes float rebates, time incentives conditional and unconditional bonuses (including cash and the retail value of vouchers, credit, goods and/or services). *(added GG 6/12/19)*

LR201 *Controlling Body may determine when to withhold prizemoney*
The provisions of Rule 201(1) may not apply in such circumstances as prescribed by the Controlling Body.

PART 14

GENERAL OFFENCES

- 202. Racecourses**
A person shall not drive a horse unless that person holds a driving licence, training licence or a stablehand licence or other licence authorising that activity. *(amended GG 29/3/19)*
- 203. Training without a licence**
A person shall not train a horse unless that person holds a training licence. *(amended GG 29/3/19)*

- 204. Stablehand not having a licence**
A person shall not carry out the duties of a stablehand unless that person holds a trainer's, driver's or stablehand's licence. *(amended GG 29/3/19)*
- 205. The track**
A person not being a steward, a driver engaged in a race, a club official or someone authorised by the stewards, shall not be on the track during a meeting.
- 206. Information concerning drivers**
A person shall not inform the Controlling Body, a club or other body conducting a meeting, a drivers' check clerk, or some other official or representative, that a driver shall drive at a meeting if that driver is unavailable to do so.
- 207. Improperly obtained information**
A person shall not seek or obtain improperly information from anyone employed, engaged or participating in the harness racing industry.
- 208. Improper divulging of information**
A person employed, engaged or participating in the harness racing industry shall not divulge information to anyone improperly.
- 209. False information**
A person employed, engaged or participating in the harness racing industry shall not knowingly or recklessly furnish false information to the Controlling Body, the Stewards or anyone else. *(GG 30th December 2003)*
- 210. Notification of driver**
Notification of a driver properly engaged for a horse taking part in a race shall be given by the nominator, trainer, owner or other authorised person to the Controlling Body at a time designated by the Controlling Body. *(amended 1/1/07)*
- 211. Leaving horse unattended**
A trainer or other licensed person for the time being in charge of a horse shall not leave the horse unattended at a racecourse whether in a stable or elsewhere. *(amended 26/04/05 & GG 29/12/15)*
- LR211 Leaving horse unattended** *(added 1/1/07) (Deleted GG 4/10/16 superseded by amended rule 211)*
- 212. Nomination of ineligible horse**
A person shall not whether alone or in association with others nominate or start a horse in a race for which the horse is disqualified or otherwise ineligible.
- 213. Inflicting suffering**
A person shall not:
(a) by use of harness, gear, equipment, device, substance or any other thing inflict suffering on a horse:
(b) have in his possession any harness, gear, equipment, device, substance or any other thing capable of inflicting suffering on a horse.
- 213A.** A person other than a registered veterinarian, shall not use or have in his possession or control any electric or electronic apparatus or device capable of administering an electric shock to a horse. *(gg Nov11)*
- 213B.** A horse that has been subjected to any form of shock wave therapy shall be ineligible to race or take part in any trial in the seven days following such treatment. *(gg Nov11)*
- 213C.** A person shall not have in their possession at a racecourse at which a meeting is being conducted any electrical, mechanical or galvanic device, equipment, appliance or apparatus which can be used to treat a horse. *(added GG 11/2/14)*
- 214. Impeding a horse**
A person shall not do anything which prevents or impedes a horse from doing its best in a race.

215. Altering gear

A person shall not alter or manipulate gear or other equipment so as improperly to advantage or disadvantage a horse in a race.

216. Fraudulent nomination

A person whether alone or in association with others shall not fraudulently or improperly nominate or start a horse in a race. *(amended Dec 10)*

217. Concealing horse's identity

A person whether alone or in association with others shall not conceal or attempt to conceal the identity of a horse.

218. Improper care

A person having responsibility for the welfare of a horse shall not fail to care for it properly.

218A. *(added GG 29/3/19)*

(1) A person shall not mistreat a horse.

(2) For the purposes of this rule "mistreat" means to abuse or treat a horse badly, cruelly or unfairly.

218B. *(added GG 29/3/19)*

(1) A person in charge of a horse presented to race which on the racecourse has been found to be suffering a condition or received veterinary treatment shall not be removed from the racecourse without the express permission of the Stewards.

(2) A trainer, or the person in charge, who removes a horse from the racecourse in contravention of sub-rule (1) is guilty of an offence.

219. Inconsistent running

A person shall not do or fail to do anything which results in the inconsistent running of a horse.

220. Leading a horse

A person shall not lead a horse at a racecourse other than by way of a lead rope attached to a properly fitted restraining device. *(amended 1/1/18)*

LR220 Leading a horse *(added 1/1/18)*

For the purposes of Rule 220, a properly fitted restraining device shall be a lead attached to a rearing bit in the horses mouth.

221. Threats to refrain from nominating

A person whether alone or in association with others shall not threaten, coerce or improperly influence anyone to refrain from nominating or starting a horse in a race.

222. Threats to withdraw horse

A person whether alone or in association with others shall not threaten, coerce or improperly influence anyone to withdraw a horse from a race.

223. Protective gear

A person when engaged in any activity relating to the care, control, training or management of a horse shall wear adequate footwear, clothing and other protective bodily coverings.

224. *(Repealed GG 29/12/15)*

225. Adequate preparation by trainer

A trainer shall adequately condition, prepare and supervise horses trained by that trainer.

226. Offences - trainer

A trainer shall not permit a person to drive, train or carry out duties in breach of rules 202, 203 or 204. *(amended GG 29/12/15)*

227. Offering money or other inducements

A person shall not give or offer any money or other inducement improperly to anyone employed, engaged or participating in the harness racing industry.

- 228. Accepting inducements**
A person employed, engaged or participating in the harness racing industry shall not accept or agree to accept any money or other inducement improperly.
- 229. Chief steward to be notified of inducement**
A person employed, engaged or participating in the harness racing industry who is offered or given any money or other inducement improperly shall immediately inform the Chairman of Stewards or Chief Steward.
- 230. Association with disqualified persons**
Except with the consent of the Controlling Body a person shall not associate or communicate for purposes relating to the harness racing industry with a disqualified person or a person whose name appears in the current list of disqualifications published or adopted by a recognised harness racing authority. *(amended GG 29/3/19)*
- 231. Assault and interference**
(1) A person shall not:-
(a) threaten
(b) harass
(c) intimidate
(d) abuse
(e) assault
(f) otherwise interfere improperly with
anyone employed, engaged or participating in the harness racing industry or otherwise having a connection with it. *(amended GG 13/05/16)*
(2) A person shall not misconduct himself in any way *(GG 30th December 2003)*
- 232. Weapons and related matters**
A person while on a racecourse shall not, except with the approval of the stewards, have in his or her possession or control a firearm or other weapon.
- 233. Conversing with driver**
A person shall not converse with a driver after the driver is checked out for a race and is on the track.
- 234. Illegal betting**
A person shall not lay or accept an illegal bet.
- 235. Persons illegally betting not to be communicated with**
A person who believes that another person is likely to participate in or be connected with illegal betting shall not communicate with that other person in connection with such betting.
- 235A. Illegal betting** *(Repealed 1/1/07) (New wording re-instated Mar 12)*
(1) A trainer must not lay any horse that is either under his care, control or supervision or has been in the preceding 21 days.
(2) Any person employed by a trainer in connection with the training or care of horses must not lay a horse under the control of the trainer for whom he is or was employed, while so employed and for a period of 21 days thereafter.
(3) An agent or manager must not lay any horse to be driven by a driver for whom he is agent or manager.
(4) The connections must not lay any horse that is or may be entered by them or on their behalf, save that a bookmaker may lay a horse in accordance with his licence.
(added GG 11/2/14)
(5) Where under sub-rules (1), (2), (3) and (4) it is an offence for a person to lay a horse, it shall also be an offence for that person to: *(amended & renumbered GG 11/2/14)*
(a) have a horse laid on his behalf;
(b) receive any monies or other valuable consideration in any way connected with the laying of the horse by another person.
(6) For the purposes of this rule "lay" means the offering or the placing of a bet on a horse:
(renumbered GG 11/2/14)
(a) to lose a race;
(b) to be beaten by any other runner or runners;

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- (c) to be beaten by any margin or range of margins;
- (d) that a horse will not be placed in a race in accordance with the provisions of Rule 49.

LR 235A.

In addition to the matters referred to in R235A the following also applies:

- (1) *Any person who has provided a service or services connected with the keeping, training or racing of a horse must not, within 21 days of having last done so, lay such horse.*
- (2) *It is an offence for any person to offer an inducement to a participant in racing with the intention of profiting from a horse not participating in the event to the best of its ability.*

(LR235A deleted and replaced Sept 08)(amnd Mar 12) (Section 1 deleted and 2&3 renumbered as 1&2 GG 11/2/14)

LR235AB. *In circumstances where it is an offence for a person to lay a horse under LR235A. it shall also be an offence for that person to:*

- (a) have a horse laid on his behalf; or*
- (b) receive any moneys or other valuable consideration in any way connected with the laying of the horse by another person. (renumbered to LR235AB GG 29/3/19)*

- 235B.** (1) A trainer shall not place a bet on another horse in a race in which he has a starter.
- (2) A trainer shall not place a bet on a horse in a race to finish ahead of a horse trained by that trainer in the race. *(added GG 29/3/19)*

LR235B. *Rule 235B shall not apply. (added GG 29/3/19)*

236. Employment

Except with the approval of the Controlling Body, a person shall not employ or use a disqualified person in connection with the care, training, management, supervision or racing of horses.
(amended GG 11/2/14)

237. Registration

A person shall not fail to register anything which these rules require to be registered.

238. Failure to comply with orders

A person shall not fail to comply with any order, direction or requirement of the Controlling Body or the stewards relating to harness racing or to the harness racing industry. (GG 27th Oct 2000)

239. Acting in the absence of order

A person shall not do anything which can only be done at the order, direction or requirement of the Controlling Body or the stewards in the absence of or contrary to, such order, direction or requirement.

239A Orders and Related Matters

A person whose conduct or negligence has led or could lead to a breach of the rules is guilty of an offence. *(added 1/03/05)*

240. Corruption

A person shall not, whether alone or in an association with others, do, permit or suffer anything before, during or after a race which in the opinion of the Stewards or Controlling Body:-

- (a) may cause someone to be:-
 - (i) unlawfully advantaged, or
 - (ii) unlawfully disadvantaged, or
 - (iii) penalised.
- (b) is corrupt.
- (c) is improper.

(GG 30th December 2003) (amended GG 11/2/14 and GG 2/12/16)

241. Acting corruptly

A person shall not in connection with any part of the harness racing industry do anything which is fraudulent or corrupt.

- 242. False documents relating to ownership**
A person shall not furnish the Controlling body with a document purporting to describe the ownership of a horse which is false or fails to mention a person with ownership rights or interests.
- 243. Behaviour detrimental to the industry**
A person employed, engaged or participating in the harness racing industry shall not behave in a way which is prejudicial or detrimental to the industry. *(amended Nov 07 "prejudicial" included)*
- 244. Improper communication**
A person whether alone or in association with others shall not communicate improperly with the Controlling Body, its members or employees about anything which is or is likely to be the subject of an inquiry by or appeal to the Controlling Body.
- 245. Assisting in improper practices**
A person shall not direct, persuade, encourage or assist anyone to breach these rules or otherwise engage in an improper practice.
- 246. Notifying the stewards**
A person who has reasonable grounds for believing that someone is behaving or may behave or has behaved in a way causing, or likely to cause or which has caused a breach of these rules shall promptly bring the matter to the notice of the Controlling Body or the stewards. *(amd. Oct 09)*
- 247. Improper speech and behaviour**
A person attending before the Controlling Body its members or employees, the stewards, officials, or at any proceeding under these rules, shall not speak or behave in a malicious, intimidatory or otherwise improper manner.
- 248. Improper publication**
A person shall not say, publish or write or cause to be said, published or written anything malicious, intimidatory or otherwise improper about the Controlling Body, its members and employees or the stewards or anyone else associated with the harness racing industry.
- 249. Improper influence on decisions**
A person whether alone or in association with others shall not say, publish or write or cause to be said, published or written anything intended improperly to influence a decision of the Controlling Body, its members or employees, or the stewards, on any matter.
- 250. Alcohol and related matters**
- (1) A driver commits an offence if:- *(Rule 250 repealed & replaced GG 11/2/14)*
 - (a) A sample taken from him is found upon analysis to contain a substance banned by Rule 251, or
 - (b) He refuses or fails to deliver a sample as directed by the Stewards, or tampers with, adulterates, alters, substitutes or in any way hinders the collection of such sample or attempts to do any of those things.*(amended GG 29/12/15)*
 - (2) A driver may be prevented by the Stewards from driving if in their opinion, based on any information, their own observations or on medical or other competent advice, his faculties may be impaired by any substance banned by Rule 251 or by any other cause. *(amended GG 29/12/15)*
 - (3) In the event of an analysis of a sample taken from a driver indicating the presence of a substance banned by Rule 251, or if a driver refuses or fails to deliver a sample when directed to do so, or tampers with, adulterates, alters, substitutes or in any way hinders the collection of such sample, or attempts to do any of those things, the Stewards may forthwith, pending the determination of any inquiry or other proceeding or the result of any other analysis, stand down such person from driving.*(amended GG 29/12/15)*
 - (4) In the event of a driver incurring a penalty or being prevented from driving under this rule he shall not resume driving until he delivers a sample, as directed by the Stewards that is free of any substance banned by Rule 251.
 - (5) *(repealed GG 29/12/15).*

- 250A.** *(added 1/1/16)*
- (1) A person carrying on or purporting to carry on an activity regulated by licence at any time or carrying on official duties at a meeting commits an offence if:
 - (a) a sample taken from him or her is found upon analysis to contain a substance banned by Rule 251A, or
 - (b) he or she refuses or fails to deliver a sample as directed by the Stewards, or tampers with, adulterates, alters, substitutes or in any way hinders the collection of such sample or attempts to do any of those things.
 - (2) A person carrying on or purporting to carry on a licenced activity at any time or carrying on official duties at a meeting may be prevented by the Stewards from conducting such activity or duties if in their opinion, based on any information, their own observations or on medical or other competent advice, his or her faculties may be impaired by any substance banned by Rule 251A or by any other cause.
 - (3) In the event of an analysis of a sample taken from a person carrying on or purporting to carry on a licenced activity at any time or carrying on official duties at a meeting indicating the presence of a substance banned by Rule 251A, or if such person refuses or fails to deliver a sample when directed to do so, or tampers with, adulterates, alters, substitutes or in any way hinders the collection of such sample or attempts to do any of those things, the Stewards may forthwith, pending the determination of any inquiry or other proceeding or the result of any other analysis, stand down such person from conducting licensed activities or official duties.
 - (4) In the event of a person under this rule incurring a penalty or being prevented from conducting licensed activities or official duties, the person shall not resume such activities or duties until they deliver a sample, as directed by the Stewards, that is free of any substance banned by Rule 251A.
 - (5) For the purpose of this rule and Rule 251A, a person carrying on official duties includes veterinarians, farriers, track attendants, swabbing assistants, clerks of course, judges, starters and stewards.
- 251.** The following substances and/or their metabolites, artifacts, and isomers are declared as banned substances in drivers when present in a sample (unless otherwise stated) at a concentration above the applicable cut-off level:-
(Rule 251 repealed & replaced GG 11/2/14)(amended GG 6/12/19)
- (a) Lysergic acid diethylamide (LSD) (0µg/L);
 - (b) All barbiturates (0µg/L); All Cannabinoids - substances in this group include, but are not restricted to, 11-Nor-delta-9-tetrahydrocannabinol-9-carboxylic acid (15µg/L); Synthetic cannabinoid analogues and/or their metabolites, such as JWH-018, JWH-073 and HU-210.
 - (c) All diuretics (0µg/L): Probenecid: (0µg/L): Alcohol (at a concentration in excess of 0.02% on a breath analyser):
 - (d) All stimulants – substances in this group include, but are not restricted to, Amphetamine (150µg/L): Methylamphetamine (150µg/L): Methylenedioxyamphetamine (MDA) (150µg/L): Methylenedioxyethylamphetamine (MDEA) (150µg/L): Methylenedioxymethylamphetamine (MDMA) (150µg/L): Methylphenidate (0µg/L): Modafinil (0µg/L): Cocaine (100µg/L): Ephedrine (10,000µg/L).
Substances in this group excluded are: Levo-amphetamine: Levo-methylamphetamine: Phenylpropanolamine: Pseudoephedrine.
 - (e) All anorectics – substances in this group include, but are not restricted to, Phentermine (500µg/L): Diethylpropion (0µg/L): Sibutramine (0µg/L).
 - (f) All opiates and opioids – substances in this group include, but are not restricted to, Morphine (0µg/L, save as specified by Rule 252): Codeine (0µg/L, save as specified in Rule 252): Oxycodone (0µg/L): Fentanyl (0µg/L): Alfentanil (0µg/L): Pethidine (0µg/L): Methadone (0µg/L): Heroin (0µg/L): Monoacetylmorphine (0µg/L): Hydromorphone (0µg/L): Buprenorphine (0µg/L).
Substances in this group excluded are: Dihydrocodeine: Dextromethorphan: Pholcodine: Propoxyphene: Tramadol
 - (g) All dissociative anaesthetics and related substances – substances in this group include, but are not restricted to: Ketamine (0µg/L): Phencyclidine (0µg/L): Tiletamine (0µg/L).

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- (h) Gamma-hydroxybutyrate (GHB) and pro-drugs of GHB (1,4-butanediol: gammabutyrolactone) (10,000µg/L).
- (i) Benzylpiperazine (500µg/L) and phenylpiperazine (0µg/L) and their derivatives (0µg/L).
- (j) Tryptamine derivatives (0µg/L) (e.g. dimethyltryptamine: alphanethyltryptamine: hydroxydimethyltryptamine and related substances)
- (k) All benzodiazepines – substances in this group include: but are not restricted to: Diazepam (200µg/L): Nordiazepam (200µg/L): Oxazepam (200µg/L): Temazepam (200µg/L): Alprazolam (100µg/L, as alpha-hydroxyalprazolam): Clonazepam (100µg/L, as 7-aminoclonazepam): Flunitrazepam (100µg/L, as 7-aminoflunitrazepam): Nitrazepam (100µg/L, as 7-aminonitrazepam): Bromazepam (0µg/L): Clobazam (0µg/L): Flumazenil (0µg/L): Lorazepam (0µg/L): Midazolam (0µg/L): Triazolam (0µg/L): and substances with similar structure or pharmacological activity – benzodiazepine receptor agonists (zalplon: zolpidem: zopiclone).

251A. The following substances and/or their metabolites, artifacts, and isomers are declared as banned substances when present in a sample (unless otherwise stated) at a concentration above the applicable cut-off level in persons carrying on or purporting to carry on an activity regulated by licence at any time or carrying on official duties at a race meeting:-

(added GG 29/12/15)(amended GG 13/05/16 & GG 6/12/19)

- (a) Lysergic acid diethylamide (LSD) (0µg/L).
- (b) All barbiturates (0µg/L); All Cannabinoids - substances in this group include, but are not restricted to, 11-Nor-delta-9-tetrahydrocannabinol-9-carboxylic acid (15µg/L): Synthetic cannabinoid analogues and/or their metabolites, such as JWH-018, JWH-073 and HU-210.
- (c) Alcohol (at a concentration in excess of 0.02% on a breath analyser).
- (d) All stimulants – substances in this group include, but are not restricted to, Amphetamine (150µg/L): Methylamphetamine (150µg/L): Methylenedioxyamphetamine (MDA) (150µg/L): Methylenedioxyethylamphetamine (MDEA) (150µg/L): Methylenedioxymethylamphetamine (MDMA) (150µg/L): Methylphenidate (0µg/L): Modafinil (0µg/L): Cocaine (100µg/L): Ephedrine (10,000µg/L).
Substances in this group excluded are: Levo-amphetamine: Levo-methylamphetamine: Phenylpropanolamine: Pseudoephedrine.
- (e) All opiates and opioids – substances in this group include, but are not restricted to, Morphine (0µg/L, save as specified by Rule 252: Codeine (0µg/L, save as specified in Rule 252): Oxycodone (0µg/L): Fentanyl (0µg/L): Alfentanil (0µg/L): Pethidine (0µg/L): Methadone (0µg/L): Heroin (0µg/L): Monoacetylmorphine (0µg/L): Hydromorphone (0µg/L):Buprenorphine (0µg/L).
Substances in this group excluded are: Dihydrocodeine: Dextromethorphan: Pholcodine: Propoxyphene: Tramadol.
- (f) All dissociative anaesthetics and related substances – substances in this group include, but are not restricted to: Ketamine (0µg/L): Phencyclidine (0µg/L): Tiletamine (0µg/L).
- (g) All benzodiazepines – substances in this group include: but are not restricted to: Diazepam (200µg/L): Nordiazepam (200µg/L): Oxazepam (200µg/L): Temazepam (200µg/L): Alprazolam (100µg/L, as alpha-hydroxyalprazolam): Clonazepam (100µg/L, as 7-aminoclonazepam): Flunitrazepam (100µg/L, as 7-aminoflunitrazepam): Nitrazepam (100µg/L, as 7-aminonitrazepam): Bromazepam (0µg/L): Clobazam (0µg/L): Flumazenil (0µg/L): Lorazepam (0µg/L): Midazolam (0µg/L): Triazolam (0µg/L): and substances with similar structure or pharmacological activity – benzodiazepine receptor agonists (zalplon: zolpidem: zopiclone).

252. Notwithstanding the provisions of Rule 251, when Codeine and/or Morphine are detected in a sample taken from a driver then the sample shall be deemed not to contain Codeine and Morphine if:- *(Rule 252 repealed & replaced GG 11/2/14)*

- (a) The total Codeine and Morphine concentration is less than 2,000µg/L; or
- (b) The total Codeine and Morphine concentration achieved in confirmatory testing is in the range 2,000 – 15,000µg/L inclusive and at least one of the following applies:-
 - (i) The Codeine to Morphine ratio contained in the sample is greater than 1.0;
 - or

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- (ii) The driver satisfies the Stewards that there is no illegal use of opiates or opioids by the driver.

252A The Stewards may stay in whole or in part, and for such period and under such terms and conditions as they think fit, the operation of any penalty imposed for a breach of Rule 250 or 250A. Provided that, in the event of any failure to comply with any of the terms and conditions of the stay, the Stewards may order that the penalty take effect. *(Rule 252A repealed & replaced GG 11/2/14)(amended GG 29/12/15)*

252B. Notwithstanding the provisions of Rule 250, a Controlling Body may permit a driver to receive a specified banned substance, subject to the following conditions:- *(added GG 11/2/14)*

- (a) The medication must be essential treatment for a substantial illness, condition or ailment suffered by the driver.
- (b) The medication must be prescribed by a medical practitioner.
- (c) The medical practitioner must certify:-
 - (i) The nature of the illness, condition or ailment being suffered by the driver.
 - (ii) That no alternative, non-banned substance would serve the same purpose for the illness, condition or ailment concerned.
 - (iii) That the medication would not affect the driver in a race or track-work to the extent that it could in any way constitute a danger to the driver or other drivers.
- (d) The driver must if requested submit to medical examination by a medical practitioner appointed by a Controlling Body to advise it on the matters raised in sub-paragraphs (i), (ii) and (iii) of paragraph (c) of this rule.
- (e) The driver must:-
 - (i) Before driving make application to the Controlling Body for permission to drive with a specifically prescribed banned substance in his system. *(amended GG 29/12/15)*
 - (ii) Adhere strictly to his prescribed medication and must report to the Stewards immediately he forms the intention to discontinue or in any way vary his prescribed medication.
 - (iii) Report to the Stewards immediately he believes that either his illness, condition or ailment or his medication may have some influence on his ability to drive effectively and/or safely.
 - (iv) Renew his application for exemption on each occasion on which he applies for the renewal of his licence.
- (f) Under no circumstances shall a person be granted retrospective exemption under this rule.

252BB. *(added GG 29/12/15)*

Notwithstanding the provisions of Rule 250A, the Stewards may permit a person referred to in Rule 250A to receive a specified banned substance, subject to the following conditions:-

- (a) The medication must be essential treatment for a substantial illness, condition or ailment suffered by that person.
- (b) The medication must be prescribed by a medical practitioner.
- (c) The medical practitioner must certify:-
 - (i) The nature of the illness, condition or ailment being suffered by such person.
 - (ii) That no alternative, non-banned substance would serve the same purpose for the illness, condition or ailment concerned.
 - (iii) That the medication would not affect the person carrying on or purporting to carry on licensed activities or official duties to the extent that it could in any way constitute a danger to that person or others.
- (d) The person carrying on or purporting to carry on licensed activities or official duties must if requested submit to medical examination by a medical practitioner appointed by a Controlling Body to advise it on the matters raised in sub-paragraphs (i), (ii), and (iii) of paragraph (c) of this Rule.
- (e) The person carrying on or purporting to carry on licensed activities or official duties must:-
 - (i) Before engaging in the relevant activity make application to the Controlling Body for permission to conduct the activity with a specifically prescribed banned substance in his system.

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- (ii) Adhere strictly to his prescribed medication and must report to the Stewards immediately he forms the intention to discontinue or in any way vary his prescribed medication.
 - (iii) Report to the Stewards immediately he believes that either his illness, condition or ailment or his medication may have some influence on his ability to conduct the activity effectively and/or safely.
 - (iv) Renew his application for exemption on each occasion on which he applies for the renewal of his license.
- (f) Under no circumstances shall a person be granted retrospective exemption under this rule.

252C A licensed person or official, when carrying on or purporting to carry on a licensed activity or duties related to the care and control of horses or the conduct of a race shall not be, in the opinion of the Stewards, under the influence of alcohol or other drugs. *(renumbered GG 11/2/14 formerly 250A)(amended GG 29/12/15)*

252D. For the purposes of Rules 250, 250A and 252C: *(renumbered GG 11/2/14 formerly 251)(amended GG 29/12/15)*

- (a) the Stewards may administer any test or use any equipment as they consider appropriate;
- (b) A urine sample provided by a person to whom those rules apply shall only be declared free of any banned substance if the sample contains a creatinine concentration of 200mg/L or greater. In the event that the urine sample does not contain this concentration, the person shall be required to deliver a further urine sample or samples as directed by the Stewards.

252E. (1) A certificate from a person or drug testing laboratory approved by the Controlling Body which certifies the presence of a banned substance in or on a person at, or approximately at a particular time or in blood, urine, saliva or other matter or sample or specimen tested is prima-facie evidence of the presence of a banned substance.

(2) If another person or drug testing laboratory approved by the Controlling Body analyses a portion of the sample or specimen referred to in sub rule (1) and certifies the presence of a banned substance in the sample or specimen that certification together with the certification referred to in sub rule (1) is conclusive evidence of the presence of a prohibited substance.

(3) A certificate furnished under this rule which relates to blood, urine, saliva, or other matter or sample or specimen taken from a person shall be prima facie evidence if sub rule (1) only applies, and conclusive evidence if both sub rules (1) and (2) apply, that the sample taken from the person was not free of a banned substance.

(4) A certificate furnished under this rule which relates to blood, urine, saliva, or other matter or sample or specimen taken from a person shall be prima facie evidence if sub rule (1) only applies, and conclusive evidence if both sub rules (1) and (2) apply, that the banned substance was present in or on the person at the time the blood, urine, saliva or sample or specimen was taken from the person.

(5) Sub rules (1) and (2) do not preclude the presence of a banned substance in or on a person, or in blood, urine, saliva, or other matter or sample or specimen being established in other ways.

(6) Sub rule (3) does not preclude the fact that a person provided a sample not free of a banned substance being established in other ways.

(7) Notwithstanding the provisions of this rule, certificates do not possess evidentiary value nor establish an offence, where it is proved that the certification procedure or any act or omission forming part of or relevant to the process resulting in the issue of a certificate, was materially flawed.

(repealed GG 29/12/15) (New rule 252E added GG 6 /12/19)

252F. *(repealed GG 29/12/15)*

LR 252F. Definitions *(LR252F amended & renumbered GG 11/2/14 formerly LR252E)*

For the purposes of this Part:

(a) "breath analysing instrument" means:

- (i) any instrument approved as an instrument for conducting preliminary tests for the purpose of section 66 of the Road Traffic Act 1974;*

- (ii) *an instrument bearing with or without other material the expression "Drager Alcotest 7110", "Drager Alcotest 7410", "Alcometer", "lion alcometer" or "alcolyser";*
- (b) *"percentage of alcohol" in relation to the blood of a person means the number of grams of alcohol contained in 100ml of blood;*
- (c) *a person is taken to be "under the influence" of alcohol or a drug where the body tissue, gases or fluids of the person contain alcohol or drug of levels equal to or higher than those referred to in these rules.*

(Local rule 252C deleted 17/12/04) (Local rules 252, 252A, 252B, 252AA, 252AB and 252D deleted GG 11/2/14)

253. Illegal communications

A person on a racecourse shall not use a telephone or other communication device for an illegal or otherwise improper purpose or contrary to a restriction on such use imposed by the stewards.

254. Assumed names

Unless the Controlling Body otherwise approves a person shall not use an assumed name or alias.

254A Blood Doping *(added GG 13/9/19)*

- (1) Subject to sub-rule (2) a person shall not either directly or indirectly withdraw from a horse, manipulate and reinfuse into a horse homologous, heterologous or autologous blood products or blood cells.
- (2) A registered veterinary surgeon may for lifesaving purposes or through use of veterinary regenerative therapies for the treatment of musculoskeletal injury withdraw from a horse, manipulate and reinfuse into a horse homologous, heterologous or autologous blood products or blood cells.
- (3) A horse that is treated in accordance with sub-rule (2) shall not be permitted to start in any race for a period of eight clear days from the date of the treatment.
- (4) A person who fails to comply with sub-rules (1), (2) or (3) is guilty of an offence.

LR254 Restrictions on Bookmakers *(amended March 2011 and GG 28 /11/14)*

- (1) *Any person who is a holder of a valid Bookmakers or Bookmaker Clerk's licence shall not;*
 - (a) *enter any place reserved by a Club for the use of drivers during the conduct of a meeting and for the period of one hour prior to the commencement thereof.*
 - (b) *handle, in any way, any horse drawn to compete at the meeting at which they are fielding.*
 - (c) *be entitled to be granted an owner-trainers permit or any other permit to train.*
 - (d) *lend money or give credit to or become surety for any owner, lessee, driver, or trainer of a runner, or do or permit any act or thing whereby such owner, lessee, driver or trainer shall become indebted or be under any financial obligation to the bookmaker other than a legitimate betting transaction.*
 - (e) *accept a bet from any disqualified person or from any person who is betting on behalf of a disqualified person.*
 - (f) *[Deleted May 2007]*
- (2)
 - (a) *The Stewards may at their sole discretion, upon application, consent to a variation of the restrictions in sub-rule (1)(b) and/or (c) of this rule that apply to a Bookmakers Clerk, under such terms and conditions as they may determine from time to time.*
 - (b) *A Bookmakers Clerk that has been granted a trainer's licence pursuant to this rule, shall not operate as a Bookmakers Clerk at any race meeting at which he has a runner(s) engaged.*

255. Creation of offences

A person who fails to comply with any provision of a rule contained in Part 14 is guilty of an offence.

255A. Possession

- (1) For the purpose of the Rules:-
 - (a) A person shall be deemed to have in their possession any animal or item of property which is found in or on their registered training establishment, artificial breeding station, stud, stable, motor vehicle, float or their place of residence.
 - (b) A person shall ensure that they do not have in their possession any animal or item of property which may give rise to a breach of the Rules.
- (2) It is not a defence to a charge under this Rule (or any other Rule) that the person:-
 - (a) Did not have any knowledge of the existence or presence of the animal or item of property which is the subject of the charge.

- (b) Had an honest and reasonable mistake of fact as to the existence or presence of the animal or item of property which is the subject of the charge.
- (3) A person who fails to comply with sub-rule (1) is guilty of an offence.
(255A added GG 2/12/16)

PART 15

PENALTIES

256. Penalties available

- (1) One or more of the penalties set out in sub rule (2) may be imposed on a person, club or body guilty of an offence under these rules.
- (2) (a) A fine within the limits fixed by legislation or by the Controlling Body,
(b) conditional or unconditional suspension for a period;
(c) disqualification, either for a period or permanently;
(d) warning off, either for a period or permanently;
(e) exclusion from a racecourse, either for a period or permanently;
(f) a bar, either for a period or permanently, from training or driving a horse on a racecourse, track or training ground;
(g) conditional or unconditional suspension of registration for a period or cancellation of registration;
(h) conditional or unconditional suspension of a licence for a period or cancellation of a licence;
(i) a severe reprimand;
(j) a reprimand or caution. (*amended Nov 07 "caution" included*)
- (3) Should a rule of its own terms impose a penalty in respect of an offence created by that rule then, subject to any contrary intention expressed or otherwise apparent in that rule, that penalty is the only one which can be imposed in respect of that offence.
- (4) Penalties, whether under this or any other rule, attach from the time they are imposed, except that the Controlling Body or the stewards may postpone such attachment.
- (5) (a) Penalties other than a period of disqualification or a warning off under this or any other rule may be suspended for a period not exceeding two years upon such terms and conditions as the Controlling Body or Stewards see fit; (*amended GG 29/12/15*)
(b) If the offender does not breach any term or condition imposed during the period of suspension, the penalty shall be waived;
(c) If the offender breaches any term or condition imposed during the period of suspension then, unless the Controlling Body or stewards otherwise order, the suspended penalty thereupon comes into force and penalties may also be imposed in respect of any offence constituted by the breach.
- (6) Although an offence is found proven a conviction need not necessarily be entered or a penalty imposed.
- (7) Before an offence is found proven, the following conditions shall be satisfied:-
(a) the offender shall be afforded reasonable opportunity to cross examine witnesses, make submissions, present evidence to the Controlling Body or the stewards as the case may be;
(b) those submissions or evidence shall be taken into account;
(c) evidence relied upon in establishing the offence shall be identified;
(d) in a matter before the stewards, those stewards who finally determine that an offence has been committed shall be present during the whole of the proceedings.

LR 256 Power to defer penalty

Notwithstanding the provisions of 256 (4) the Stewards shall have the power to postpone, for a period of no longer than nine (9) days, the attachment of any suspension imposed by them upon a driver provided that such driver has been engaged as the driver of a horse on one of those days

LR256A Application of Penalties

The application of any of the penalties set out in 256 (2) shall be in accordance with these Rules and the Governing Legislation of the Controlling Body. (added 01/03/05)

257. Cumulative penalties

Unless the Controlling Body or the stewards direct otherwise, a penalty by way of suspension or disqualification shall be served cumulatively to any other penalty of suspension or disqualification being served or ordered to be served.

258. Horse connected with offence

- (1) Where the commission of an offence under these rules involves a horse, the stewards may make such orders and give such directions concerning the horse as they think fit.
- (2) Without limiting the scope of sub rule (1) a horse may be barred or disqualified from a race or for a period or permanently, be placed behind other runners in a race, removed from one place to another, directed to be trained at a particular place or by a particular person, or be placed under the care, control and management of some person, club or other body.

PART 16

DISQUALIFIED PERSONS

259. Restrictions

- (1) A disqualified person or a person whose name appears in the current list of disqualifications published or adopted by a recognised harness racing authority or a person warned off cannot do any of the following – (GG 27th Oct 2000)
 - (a) associate or communicate with persons connected with the harness racing industry for purposes relating to that industry; (amended GG 29/3/19)
 - (b) be a member or employee of the Controlling Body;
 - (c) be an office holder, official, member or employee of a club;
 - (d) enter a racecourse or any place under the control of a club or Controlling Body;
 - (e) race, lease, train, drive or nominate a horse;
 - (f) conduct breeding activities;
 - (g) enter any premises used for the purposes of the harness racing industry;
 - (h) participate in any manner in the harness racing industry.
 - (i) permit or authorize any person to conduct any activity associated with the harness racing industry at his/her registered training establishment.
 - (j) place, or have placed on their behalf, or have any other interest in, a bet on any Australian harness racing race. (added GG 29/12/15)
 - (k) associate with licensed persons connected with the thoroughbred or greyhound racing industry including but not limited to entering any premises owned or occupied by such licensed persons. (added GG 29/3/19)
- (2) A licence or other authority held by a disqualified person to do any of the things mentioned in sub rule (1) automatically lapses upon disqualification.
- (3) The prohibitions mentioned in sub rule (1) come into effect immediately upon disqualification, subject to any contrary directions which might be given by the stewards.
- (4) If during a period of disqualification the stewards form the opinion that the circumstances relating to the disqualified person have materially changed, they may remove one or more of the prohibitions set out in sub rule (1) either permanently or for a time.
- (5) The power conferred by sub rule (4) does not empower the stewards to remove the prohibition on an activity which can only lawfully be carried on under licence.
- (6) Notwithstanding the foregoing provisions of this rule the Controlling Body may make determinations waiving, varying or qualifying the prohibitions set out in the rule.
- (7) A disqualified person who fails to comply with this rule is guilty of an offence and is liable to a penalty. (amended GG 29/12/15)

LR259 Removal of disqualified or warned off person from racecourse or any place. (amended GG March 2006)

- (1) The period of disqualification or warning off of any person, who is disqualified or warned off, who contravenes rule 259 (1), shall automatically be deemed to recommence as from the most recent date of such contravention and may also be subject to further penalty.
- (2) The provisions of sub-rule (1) shall apply to any person to which Rule 259(1) applies, regardless of when such penalty that gives rise to the application of the rule was imposed.

LR259A Disqualified person failing to pay fine or costs, return prizemoney, or comply with any direction (added GG 1/8/14)

- (1) In the event of a disqualified person failing to -
 - (a) pay any fine or costs imposed by the Controlling Body or Stewards; or

- (b) return any prizemoney or trophy won by a horse which has been disqualified; or*
- (c) comply with any direction issued by Stewards or the Controlling Body as a result of their disqualification*

Within the period of time as prescribed or otherwise approved by the Controlling Body or Stewards, then the period of disqualification shall cease to efflux until such time as they have complied in full with their obligations in this regard.

(2) Where the matters referred to above are pending the outcome of an appeal or subject to a formal order of a stay of proceedings, the operation of this rule shall not apply until that appeal is determined.

259A. In addition to any penalty imposed pursuant to Rule 259(7) the original period of disqualification shall unless otherwise ordered by the Stewards automatically recommence in full. *(added GG 29/12/15)*

LR259B Restrictions on payments

Except with the consent of the Controlling Body or Stewards that imposed the disqualification or warning off, any person that is disqualified or warned off from the date of effect of this rule, shall not be eligible to receive any awards, breeders bonus payments or other such financial payments or prizes that they would otherwise be entitled to in relation to any races from the date of imposition of such penalty. (added GG 15/04/16)

260. Making payments

A disqualified person may during the period of disqualification make payments in respect of a horse entered before the commencement of that period for a race to be run after it expires.

261. Ineligibility of horse

- (1) A horse is ineligible to be nominated for or start in a race if it is owned wholly or in part by a disqualified person or a person whose name appears in the current list of disqualifications published or adopted by a recognised harness racing authority
- (2) The same ineligibility attaches to a horse which is established to the satisfaction of the Controlling Body to be wholly or in part under the care, training, management or supervision of a person mentioned in sub rule (1).

262. Disqualified lessee

- (1) The lease of a disqualified lessee becomes void at disqualification and a horse subject to the lease must be returned to the owner within 14 days thereof unless otherwise determined by the Controlling Body.
- (2) A person who fails to return a horse as required by sub rule (1) is guilty of an offence.

263. Multiple lessees

- (1) If a disqualified lessee is not sole lessee that person's interest in the lease becomes void at disqualification and the persons with continuing legal interests in the matter shall submit a proposal to the Controlling Body for the regulation of those interests.
- (2) Pending the Controlling Body's approval of a proposal, it may make such determination concerning the nomination and start in a race of a horse affected, or likely to be affected, by the proposal as it sees fit.

264. Disqualified lessor

If the lessor of a horse is disqualified such lessor unless the Controlling Body otherwise determines shall not receive or be paid or be entitled to recover from any club or Controlling Body any stake and the lessee shall pay to the Controlling Body any part of the stake to which such lessor would have been entitled by virtue of any agreement between the lessor and the lessee of the horse.

265. Sale of horses

- (1) Unless the Controlling Body otherwise approves, a disqualified person must within 28 days from the date of disqualification or such further period as the Controlling Body may allow, sell by public auction or any other way approved by the Controlling Body, any horse of which that person is the owner.
- (2) A sale must be advertised to the satisfaction of the Controlling Body.

- (3) Where the sale occurs other than in the jurisdiction of the Controlling Body the recognised harness racing authority in the place of sale must be given reasonable notice of sale and it must be advertised to that authority's satisfaction.
- (4) If the Controlling Body is not satisfied that a sale was effected in good faith it may refuse to approve a transfer or lease of a horse subject to the sale whether from the disqualified owner or anyone else.
- (5) If the Controlling Body is satisfied that horses owned by a disqualified person are not being used and will not be used for any purpose connected with the harness racing industry, it may permit the disqualified person not to sell them.
- (6) A person in breach of any provision of this rule or who is guilty of lack of good faith in effecting a sale is guilty of an offence.

266. Transfer of disqualified person's interest

- (1) If a disqualified person is part owner of a horse the owners instead of proceeding to sale may submit a proposal to the Controlling Body for the transfer of the disqualified person's interest.
- (2) Where the owners act under sub rule (1), then pending the Controlling Body's approval of the proposal it may make such determination concerning the nomination and start in a race of a horse affected by the proposal as it sees fit.
- (3) Where the owners proceed to sale, the provisions of rule 265 apply.

267. Disqualification by conviction *(amended GG March 2006)*

- (1) Subject to sub-rule (2) the Stewards may for such period and on such conditions as they think fit, disqualify a person who is found guilty of a crime or an offence in any State or Territory of Australia or in any country. *(amended 1/9/15 & GG 29/12/15)*
- (2) Where a person is convicted of a crime or offence in any State or Territory of Australia or in any country and sentenced to a period of imprisonment Stewards shall disqualify that person for a period that is at least equivalent to the actual sentence imposed. *(amended GG 29/12/15 & GG 29/3/19)*
- (3) Sub-rule (2) shall apply where either part or whole of the period of imprisonment is suspended. *(amended GG 29/12/15)*

LR267A

- (1) *Subject to sub-rule (2) the Controlling Body may for such period and on such conditions as it thinks fit, disqualify a person who is convicted of a crime or an offence in any State or Territory of Australia or in any country.*
- (2) *Any person who is convicted of a crime or an offence in any State or Territory of Australia or in any country and sentenced to a period of imprisonment shall be disqualified by the Stewards for the same period as the term of imprisonment.*
- (3) *To obviate any doubt, sub-rule (2) shall apply where the term of imprisonment is suspended by a court. (LR267A added GG 29/12/15)*

LR267B

For the purposes of these Rules, the Stewards have delegated authority to act in accordance with LR267A(2) and (3) unless the Controlling Body otherwise directs. (added GG 29/12/15)

PART 17

EQUIPMENT AND COLOURS

268. Gear

Gear used in connection with racing shall be approved by the Controlling Body.

269. Application to change gear

In the case of a horse to compete in a race, application to change any gear must be made to the Controlling Body by the connections at a time to be determined by the Controlling Body. *(GG 19th Nov 2002)*

270. Hopples

(Repealed GG 2/12/16)

271. Sulkeys

Only sulkies of the type, dimensions and materials approved by the Controlling Body may be used.

LR 271A. Standards for sulkies

A sulky shall conform to the standards set out in the document adopted by the Australian Harness Racing Council entitled "Standard For Safety and Performance of Sulkies" and sub titled "The Sulky Standard".

272. Colours

- (1) The connections of a horse may make application to the Controlling Body for the registration of colours.
- (2) The application is to be made in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body may determine.
- (3) The application may be refused by the Controlling Body without assigning any reason.
- (4) Subject to sub rule (6) registration shall remain in force for such time as is determined by the Controlling Body.
- (5) Registration may be granted subject to terms and conditions and these may be varied by the Controlling Body during the currency of the registration.
- (6) Registration shall lapse forthwith if a term or condition of registration is not complied with.
- (7) A person shall not start a horse in a race at a meeting unless the driver is dressed in registered colours.
- (8) If for some reason the colours described in the race book are unavailable, club colours approved by the Controlling Body shall be worn.
- (9) Each club shall keep and maintain in good condition not less than 2 sets of approved club colours.
- (10) Colours registered in the name of a person who has been disqualified shall not be used in a race during the period of disqualification.
- (11) Notwithstanding sub rule (7) and sub rule (8) the stewards may give such directions as they think fit about the colours to be worn or the advertising to be displayed in a race.
- (12) A person who fails to comply with sub rule (7) or with a direction given under sub rule (11) or who is responsible, whether wholly or in part, for a breach of sub rule (8) or (10) is guilty of an offence.

273. Offences - gear

- (1) A person shall not use or permit the use of unapproved gear except with the permission of the chairman of stewards.
- (2) A person shall not present to start or start a horse in a race in gear which in the opinion of the Stewards is:- *(section 2) amended GG 29/3/19*
 - (a) Incorrectly fitted
 - (b) Insecure
 - (c) Of inferior quality
 - (d) In poor order or condition
 - (e) Of insufficient strength and quality to cope with the stresses likely to be imposed on it in a race.
- (3) A person shall not without the permission of the Stewards start a horse in a race unless the horse is fitted with a false belly band or retaining straps and throat lash and a breast plate. *(amended GG 6/12/19)*
- (4) A person shall not start a horse in a race fitted with any equipment or gear that covers and/or protrudes past the nostrils of the horse. *(GG 30th December 2003)*
- (5) A person shall not without the approval of the Stewards change the registered gear of a horse *(GG 28th December 2001)*.
- (6) A person shall not present to start or start in a race a horse that is:- *(added 1/03/05)*
 - (a) not wearing the correct number; *(gg Nov11)*
 - (b) not wearing the gear that has been approved by the Stewards for that horse.
- (7) *(added 1/03/05) (repealed and re-numbered as Rule 45(5) GG 2/12/16)*
- (8) A person who fails to comply with any provision of this rule is guilty of an offence *(GG 28th December 2001)*

PART 18

STUDS, SIREs and STUD BOOK

274. Registration of studs and sires

- (1) A person desiring to use a place as a stud may make application for registration of the place to the Controlling Body.
- (2) A person desiring to register a stallion as a sire may make application for registration of the horse to the Controlling Body.
- (3) An application under this rule is to be made within the time, in the manner and form, and be accompanied by such documentation, information and fees as the Controlling Body may determine.
- (4) The Controlling Body may grant registration for such period and upon such terms and conditions as it thinks fit or it may refuse registration.
- (5) Registration may be cancelled by the Controlling Body.
- (6) A person who uses or permits or connives at the use of a place as a stud which is not registered for such use under these rules, or in breach of a term or condition of registration, is guilty of an offence.
- (7) A person who uses or permits or connives at the use of a stallion as a sire when the stallion is not registered as a sire under these rules, or in breach of a term or condition of registration, is guilty of an offence.

275. Sire summary sheet and related matters

- (1) The connections of a sire shall keep a written record of all mares served by the sire.
- (2) The record shall contain the names of mares served, the dates of first and last service and the method of service.
- (3) The connections of the sire shall lodge the record with the Controlling Body before such date as determined by the Controlling Body accompanied by such fees as the Controlling Body determines.
- (4) If a mare is served after lodgement of the record the connections shall, within 28 days of the last date of service, apply to the Controlling Body to add to the record the particulars required under sub rule (2).
- (5) The connections of a sire shall issue to the owner of a served mare a document containing details of the mare and service performed.
- (6) The Controlling Body may from time to time change the information required to be recorded under this rule, the way in which it is recorded and the manner, form and time in which information is to be supplied, materials or documents lodged or issued, or application made to the Controlling Body or other person.
- (7) A person who fails to comply with any provision of this rule is guilty of an offence.

276. Identity verification

- (1) The connections of a mare that is to be served by a sire shall, before service, supply to the studmaster of the sire the mare's registration certificate.
- (2) Subject to sub rule (3), the connections of a sire shall, in respect of each service by the sire, ensure by reference to the registration certificate of the mare being served, that it is the mare whose name will appear in the record kept under rule 275.
- (3) The connections of a sire, not being in possession of a mare's registration certificate, shall not permit the mare to be serviced by the sire unless the mare's identity is verified by the Controlling Body or by reference to a publication of the Controlling Body or the Australian Harness Racing Council containing the mare's identification details.
- (4) A person who fails to comply with any provision of this rule is guilty of an offence.

277. Notification of foaling

- (1) The connections of a standardbred mare shall within such time after foaling as the Controlling Body determines notify the Controlling Body of the foaling and supply particulars of any prominent markings on the foal and its location..
- (2) Notification shall be made on the document provided under rule 275(5) and shall be accompanied by such fees as the Controlling Body may determine.
- (3) If a mare fails to produce a live foal from a service conducted under these rules the connections shall so notify the Controlling Body within 12 months of the last date of service.

- (4) A person who fails to comply with any provision of this rule is guilty of an offence.
- (5) The Controlling Body may from time to time change the details, time and form of notification required under this rule.
- (6) Unless the Controlling Body otherwise determines, a horse is ineligible for registration if notification of its foaling is made after it attains the age of 2 years.

278. Bodily samples

- (1) If so directed by the Controlling Body, the connections of any standardbred horse shall furnish the Controlling Body with any bodily specimen or sample from the horse.
- (2) A person who fails to comply with sub rule (1) is guilty of an offence.

279. Ineligibility to race

Except where the Controlling Body otherwise determines a horse shall be ineligible to race unless the provisions of the rules in Part 18 and Part 19 applicable to or in respect of that horse have been complied with.

280. Incorrect information concerning sire

- (1) The connections of a sire shall not lodge or cause to be lodged with the Controlling Body any record containing information relating to services performed by the sire in respect of serviced mares which is incorrect or incomplete.
- (2) A person who fails to comply with sub rule (1) is guilty of an offence.

Australian Trotting Stud Book

- 280A.**
- (1) The Keeper of the Stud Book shall publish annually the Australian Trotting Stud Book.
 - (2) The Keeper of the Stud Book shall determine the style, form, and layout of the Australian Trotting Stud Book.
 - (3) The Australian Trotting Stud Book shall contain the following Tables:

Table 1 – Sires

Part A – General List

This part shall comprise Standardbred sires that have sired progeny or winners subsequent to the publication of the previous Stud Book.

The entry therein shall include a distinctive number, best racing or time trial performance, colour, year of foaling and pedigree to second generation and the breeder's name and the State of domicile.

The entry shall also include a list of the names of mares that have produced to the sire concerned together with an annual updated list of winners and their best performances.

Qualifying Trial times shall not be recognized.

Part B – Tabulated Pedigrees of Stallions

This section shall include all those stallions at Stud from Australia, New Zealand, North America and Europe.

Table 2 – Dams

Part A – General List

All Standardbred mares producing a foal to a Standardbred sire or having a previously notified foal named or having produced with new or reduced time performance or increased stakemoney subsequent to the publication of the previous Stud Book shall be automatically entered in the next following Stud Book.

The mare's entry will parallel that of a sire's entry and the breeder's name of such of her foals will also be recorded.

Qualifying Trial times shall not be recognised.

Part B – Tabulated Pedigrees of Dams

This section shall include all those dams bred published in Part A of this Table.

Table 3 – Index to Registrations

All horses whose names have been issued and/or approved by the Registrar since the publication of the previous Stud Book shall be entered in the next published Stud Book in the Table called Index to Registrations. The entry shall include the allotted name, colour/sex, year of foaling and the breeding thereof. All such approved names shall also be entered against the dam's record of foalings

- (4) HRA may at its discretion add additional Tables or such information as it considers necessary
- (5) Winning performances in a race and against time endorsed by HRA shall be the only time performances recorded in the Stud Book.
- (6) Times for races less than a mile shall not be recorded
- (7) In the event of non-compliance with Rules 93, 94, 94A, 95, 95A, 95AB or 96 HRA may direct any sire, dam or their progeny be ineligible for either naming or entry in the Stud Book, or set down conditions or require undertakings before a specific horse is named or before a sire, dam or their progeny are entered in the Stud Book.

PART 19

ARTIFICIAL BREEDING

281. Techniques and procedures of artificial breeding

Artificial breeding consists of all techniques and procedures -

- (a) whereby semen can be obtained from a stallion and introduced into a mare;
- (b) relating to the collection, storage, chilling, freezing, transportation and use of semen;
- (c) relating to the extraction of an embryo from a mare, and the placement of an embryo into a mare, and the transfer of an embryo from one mare to another;
- (d) which are determined by the Controlling Body to be techniques or procedures relating to artificial breeding.

282. Licences - artificial breeding

- (1) The owner or lessee of a place who desires to use it as an artificial breeding station may make application to the Controlling Body for a licence.
- (2) A person who desires to practice as an artificial breeding technician may make application to the Controlling Body for a licence.
- (3) An application under sub rule (1) or sub rule (2) shall be made within the time, in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body may determine.
- (4) The Controlling Body may grant a licence for such period and upon such terms and conditions as it thinks fit, or it may refuse to grant a licence.
- (5) The Controlling Body may suspend or cancel a licence for breach of a term or condition.

283. Importation of semen

- (1) A person who desires to import semen from a source outside Australia must comply with the requirements in that regard imposed by the Australian Harness Racing Council.
- (2) A person holding or dealing with semen collected from a stallion not standing in Australia at any time that semen is held or dealt with must comply with the requirements in that regard imposed by the Australian Harness Racing Council
- (3) The Controlling Body may make determinations governing the importation into its jurisdiction of semen from any source or place or the holding or dealing with semen collected from a stallion standing anywhere in Australia or overseas.
- (4) A person who fails to comply with sub rule (1) or sub rule (2) or with a determination made under sub rule (3) is guilty of an offence.

284. Semen controller

- (1) A person may make application to the Controlling body for registration as a semen controller.

- (2) An application under sub rule (1) shall be made within the time, in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body may determine.
- (3) The Controlling Body may grant registration for such period and upon such terms and conditions as it thinks fit or may refuse to grant registration.
- (4) The Controlling Body may cancel registration for breach of a term or condition.
- (5) The Controlling Body may make determinations as to the matters or things which a semen controller shall or may do or not do.
- (6) A semen controller who fails to comply with a determination made under sub rule (5) is guilty of an offence
- (7) A person not registered under this rule who acts or purports to act as a semen controller or who without the Controlling Body's permission does things which the Controlling Body has determined that semen controllers shall or may do, is guilty of an offence.

LR 284A. *Registration of semen controller*

- (1) *Application for registration as a semen controller shall be made on form R284-A.*
- (2) *A semen controller may hold and deal with semen collected from a stallion not standing in Australia during the breeding season.*
- (3) *Sub rule (6) of local rule 90 and sub rules (2), (3), (4), (5), (7) and (8) of local rule 274 apply equally to an applicant for registration as a semen controller, to supporting certificates or documentation required in respect of the applicant or the semen storage facility, to the state and condition of such facility and to a registered semen controller as the case may be.*
- (4) *A semen controller shall keep a record of all incoming semen and its dispersal and shall make such records available to the Controlling Body on request.*

285. *Artificial breeding requirement*

- (1) The Controlling Body may impose requirements relating to artificial breeding.
- (2) The requirements in force from time to time so far as they are applicable, form part of the terms and conditions attaching to licences granted under Rule 282.
- (3) If requirements are infringed then, in addition to any other penalty or consequence, the Controlling Body may refuse to register any progeny from mares however served or from mares which have been the subject of a technique or procedure of artificial breeding, owned by the persons responsible for, or who could or should have prevented the infringement.

LR 285A. *Transported semen*

- (1) *When semen is collected for transportation section 1 of form LR 285-A is to be completed and signed and the form sent to the receiving artificial breeding station with the semen.*
- (2) *When the semen is received and used, section 2 of form LR 285-A is to be completed and signed and sent to the Controlling Body within 7 days of insemination.*
- (3) *Transported semen may be used for artificial breeding only if:*
 - (a) *the collection, storage and transportation of semen from the stallion complies with these rules;*
 - (b) *the studmaster standing the stallion or the person having the control of the semen (for the purpose of this local rule also called "the studmaster") obtains from the controlling body semen transportation certificate in quadruplicate pre-printed with the names of the mare and stallion;*
 - (c) *the studmaster inserts on the certificate the date and time the semen was dispatched;*
 - (d) *the semen is transported in an approved sealed container;*
 - (e) *the sealed container clearly labelled with the stallion's name is forwarded to the person carrying out the insemination.*
 - (f) *the certificate and copies accompanying the transported semen are completed by the person carrying out the insemination by inserting the date and time of insemination together with the registered identification number of the mare at the time of insemination;*

RWWA Rules of Harness Racing.

- (g) *after completion of the certificates by the person carrying out the insemination the original certificate is returned to the issuing Controlling Body, the second copy is retained by the receiving stud, the third copy is returned to the collecting studmaster; and*
- (h) *the horse foaled as a result of insemination by transported semen and the dam thereof are DNA genotyped for parentage verification at the cost of the broodmare owner and the result lodged with the Controlling Body.*
- (4) *Transported semen shall be securely stored at a veterinary surgeon's clinic or at an artificial breeding station or such other premises as may be approved by the Controlling Body for that purpose.*
- (5) *Any transported semen found to be diseased or defective in any way or found to be fraudulently certified shall be destroyed immediately.*

Artificial breeding station

- (6) *The licensee of an artificial breeding station, an artificial breeding technician and a studmaster operating an artificial breeding station shall -*
 - (a) *comply with requirements relating to artificial breeding imposed by departments of the Commonwealth and State Governments;*
 - (b) *conduct artificial breeding wholly within the licensed premises;*
 - (c) *have such facilities and equipment as are deemed by the Controlling Body to be necessary for the conduct of artificial breeding;*
 - (d) *maintain the facilities of and equipment in or used at the licensed premises to the satisfaction of the Controlling Body;*
 - (e) *for each stallion whose semen is collected for artificial breeding within the licensed premises:*
 - (i) *possess and use separate semen handling and collecting equipment and without limiting the generality of the foregoing this shall include a separate liner, reservoir and semen processing vessels;*
 - (ii) *clearly mark each item of semen handling and collecting equipment with the name or identification of the stallion for which it is used;*
 - (f) *clean and sterilise every item of semen handling and collecting equipment before each occasion on which it is used for any purpose;*
 - (g) *store in a separate locker marked with the name or identification of the stallion for which it is used all semen handling and collection equipment when not being used for artificial breeding;*
 - (h) *only permit semen handling and collecting equipment of 1 stallion to be within the licensed premises at any one time save for that equipment which is securely stored in lockers;*
 - (i) *ensure that no stallion enter the artificial breeding station if semen of any other stallion or semen handling and collecting equipment used for any other stallion is within the artificial breeding station save for that equipment which is securely stored in lockers;*
 - (j) *ensure that the semen of only 1 stallion shall be used within the artificial breeding station at any one time;*
 - (k) *ensure the semen from 1 stallion shall not be mixed with semen from any other stallion;*
 - (l) *perform the artificial breeding operation in respect of each mare with gloves, syringes, pipettes which are stored and maintained in a clean, hygienic state and are disposed of after each insemination operation.*

Semen general

- (7) *Semen imported into Australia cannot be used unless a copy of the import permit is first lodged with the Australian Harness Racing Council.*
- (8) *Semen with the exception of that approved for transportation or freezing shall be used immediately upon its collection.*
- (9) *LR285(9) Repealed (GG 17th July 2001)*

Embryo transfer (section 10 amended GG 28/11/14)

(10) (a) Application for approval to perform an embryo transfer procedure is to be made on Form R285B-1.

(b) Notification of a completed embryo transfer procedure is to be given within seven (7) days of completion and made on Form R285B-2.

(11) A mare who has not attained the age of 2 years or is not registered in Australia cannot be used as a donor for an embryo transfer procedure. (GG 28th April 2000:Amended Dec 10)

(12) A donor mare which has been used in a breeding season for an embryo transfer procedure in respect of which there has been official notification of a 42 day positive test result to such transfer shall not be used in the same breeding season for any method of breeding; (GG28th April 2000:Amended Dec 10)

(13) In a breeding season only 1 fertilised ovum may be transferred from the donor mare to a recipient mare at each breeding cycle until a successful pregnancy results:

(14) A recipient mare must be of a breed type which in the opinion of the veterinary surgeon is compatible.

285A. (15) In a breeding season if more than one foal is born of a donor mare then only the first born foal is eligible for registration. (added GG 11/2/14)

286. Sperm Sorting (added Dec 10)

(1) A foal this is the product of sperm sorting shall not be eligible for registration.

(2) A person who fails to comply with sub-rule (1) is guilty of an offence.

287. Verification procedures

(1) Any stallion whose semen is used for transportation and any stallion and donor mare used for embryo transfer shall be blood typed or DNA genotyped before the commencement of the procedure by the owner of such animal and the result thereof lodged with the Controlling Body where the stallion is registered.

(2) A foal resulting from embryo transfer or the use of transported semen shall be blood typed or DNA genotyped and the result thereof shall be lodged with the Controlling Body where the foal is to be registered.

(3) The Controlling Body may direct the owner, lessee, studmaster, authorised agent or person in charge of an artificial breeding station on which artificial breeding is being conducted to DNA genotype or otherwise test stock for parentage verification.

(4) A person who fails to comply with any provisions of this rule or with a direction given under sub-rule (3) is guilty of an offence.

288. Refusal to register stock

Stock produced by artificial breeding in breach of any provision of a rule in Part 19 may be refused registration by the Controlling Body.

289. Offence

(1) A person who performs a technique or procedure of artificial breeding other than the receipt of semen and the introduction of that semen into a broodmare at a place not licensed for use under these rules as an artificial breeding station is guilty of an offence”

(2) A person who permits or connives at a technique or procedure of artificial breeding other than the receipt of semen and the introduction of that semen into a broodmare being performed at a place not licensed for use under these rules as an artificial breeding station is guilty of an offence.

(3) A person other than a licensed artificial breeding technician who performs a technique or procedure of artificial breeding is guilty of an offence.

(4) A person who performs a technique or procedure of artificial breeding in breach of a term or condition of a licence is guilty of an offence.

(5) If a technique or procedure of artificial breeding other than the receipt of semen and the introduction of that semen into a broodmare is performed at a place not licensed for use under these rules as an artificial breeding station, the owner, lessee, studmaster, authorised agent, or person in charge of that place is guilty of an offence.

- (6) If a technique or procedure of artificial breeding is performed by someone other than a licensed artificial breeding technician, the owner, lessee, studmaster, authorised agent or person in charge of the place where the technique or procedure is performed, is guilty of an offence.
- (7) A person who holds or deals in frozen semen without a licence, registration or other authorisation under these rules relating to such holding or dealing is guilty of an offence.
- (8) A horse involved in the commission by a person of an offence under this rule may be disqualified and/or its registration refused or cancelled.
- (9) A person who knowingly permits or allows the transfer of a fertilised ovum from a donor mare to more than one recipient mare in a breeding season is guilty of an offence. *(added 26/04/05)*

290. Legal use of unlicensed premise

- (1) A procedure of artificial breeding that is required to be performed at an artificial breeding station may be performed at a place not licensed for use under these rules if the Controlling Body so determines.
- (2) Provided a determination made under sub rule (1) is complied with, the owner, lessee, studmaster, authorised agent or person in charge of the place at which the technique of artificial breeding is performed, and the person performing that technique or procedure, is not guilty of an offence.

PART 20

UNPAID FORFEIT LIST

291. The list

The Controlling Body shall keep a list known as the "Unpaid Forfeit List".

292. Notifications

- (1) Clubs, and other persons or bodies determined by the Controlling Body, may notify it of arrears owed to them.
- (2) Notification under this rule shall be made in the manner and form and with such information and other particulars as the Controlling Body determines.
- (3) The Controlling Body may require the notifier to give security including security for the costs and expenses incurred by the Controlling Body.
- (4) The Controlling Body shall not make an entry in the list without giving notification to the person or body by whom such arrears are owed specifying the amount and particulars and affording such person or body an opportunity to be heard.
- (5) Upon being satisfied of the accuracy and completeness of a notification and upon the giving of security, if any, as may be required, the Controlling Body may make an appropriate entry in the list.
- (6) The Controlling Body may make entries in the list of arrears owed to it.
- (7) An entry may be removed from the list at the discretion of the Controlling Body.

293. Payments

- (1) All arrears shall be paid to the Controlling Body.
- (2) If arrears are paid to a club, person or body, the receiver must promptly inform the Controlling Body and pay to it 10% thereof.
- (3) The Controlling Body may keep for its own use out of any amounts received a sum not exceeding 10% of the amount paid.

294. Mode of payment

Unless the Controlling Body otherwise determines payment of all arrears, fees, charges or other amounts under Part 20 shall be made in cash or by bank cheque.

295. Restrictions

- (1) The provisions of this rule apply to or with reference to a person named in the list, or in the equivalent list of a recognised harness racing authority as someone owing arrears, fees, charges or other amounts.
- (2) The person named in the list shall be subject to the same disabilities and penalties as are declared by Rule 259 to apply to persons who are disqualified.

- (3) Where the person is a part owner of the horse, the other owners may submit a proposal to the Controlling Body concerning the training, management, control or superintendence of the horse or its nomination for or start in a race.
- (4) The Controlling Body may waive or vary the restrictions imposed by provisions of this rule subject to such conditions as the Controlling Body sees fit.
- (5) Pending the Controlling Body's approval of a proposal, the stewards may make such determinations concerning the matters mentioned in sub rule (3) as they see fit.
(GG 30th January 2003)

296. Transfer of horse

- (1) Rule 295 does not apply to a horse otherwise within its scope, where a transfer of the horse has been approved by the Controlling Body.
- (2) The transfer may be subject to such conditions as the Controlling Body thinks fit.

PART 21

GENERAL MATTERS

297. Matters related to recognised harness racing authorities

- (1) A person possessing a right, privilege or thing granted by a recognised harness racing authority may, as far as practicable, exercise that right, privilege or thing in accordance with its terms and conditions within the jurisdiction of the Controlling Body.
- (2) A person suspended or placed under disability by a recognised harness racing authority shall, during its currency and as far as practicable, observe within the jurisdiction of the Controlling Body the terms and conditions of the suspension or disability.
- (3) A person intending to participate in a harness racing activity shall give reasonable notice to the Controlling Body or the stewards of any current suspension or disability imposed on the person by a recognised harness racing authority
- (4) The stewards may direct a person to do or not to do something which, in the opinion of the stewards, is consistent with a right, privilege or thing granted, or suspension or disability imposed, by a recognised harness racing authority.
- (5) A person who has been penalised by suspension, disqualification or warning off or placed under a disability by a recognised harness racing authority shall not, during the currency of the penalty, be eligible to apply for any form of licence to any other recognised harness racing authority. *(added 1/03/05)*
- (6) The Controlling Body may make determinations, and the stewards may exercise the powers granted to them by these rules, to ensure or facilitate within the jurisdiction of the Controlling Body
 - (a) the exercise of a right, privilege or thing; or
 - (b) the observation of the terms and conditions of a suspension or disability granted to or imposed on a person by a recognised harness racing authority
- (7) A person who fails to comply with sub rule (2) or sub rule (3) or with a direction given under sub rule (4) is guilty of an offence.
- (8) Notwithstanding the foregoing provisions of this rule, the Controlling Body may determine that one or more of sub rules (1), (2), (3) and (4) do not apply in certain circumstances or to a particular person.
- (9) The Controlling Body may exchange information with a recognized harness racing authority *(added 1/1/18)*

- (10) In this rule a recognised harness racing authority includes members of the International Trotting Association and the officials, officers and Stewards of the authority. (*GG 30th December 2003:Previously number (9) 1/1/18*)

Local Rule 297 Exercise of right, privileges from other harness racing authorities.

- (1) Further to and pursuant to Rule 297 (1), the exercise of a right, privilege or thing granted by a recognised harness racing authority for a period greater than seven (7) days shall require the prior approval of the RWWA Stewards.*
- (2) Application for approval shall be submitted in such manner and shall include such documentation as may be required by the Stewards.*
- (3) A person applying to exercise the rights of a trainer or driver in Western Australia, must be licensed as a trainer or driver by a recognised harness racing authority, without embargo, at the time of application.*
- (4) Where the Stewards, in their sole and absolute discretion approve an application, such approval shall be valid for a period not greater than three (3) months at which time the person shall be required to obtain a RWWA licence in order to continue to exercise that right, privilege or thing.*
- (5) For the purposes of this rule, the calculation of time shall commence from the date a horse trained, driven or otherwise handled by that person first starts in an event.*

298. Matter related to other racing codes

- (1) A person subject to disqualification, suspension or some other disability imposed by an approved body shall during its currency and as far as practicable, observe within the jurisdiction of the Controlling Body the terms and conditions of the disqualification, suspension or other disability as if they applied to the person's harness racing activities.
- (2) A person intending to participate in a harness racing activity shall give reasonable notice to the Controlling Body or the stewards of any current disqualification, suspension or other disability imposed on the person by an approved body
- (3) The stewards may direct a person to do or not to do something which in the opinion of the stewards is consistent with a disqualification, suspension or other disability imposed by an approved body.
- (4) The Controlling Body may make determinations, and the stewards may exercise the powers granted to them by these rules, to ensure or facilitate within the jurisdiction of the Controlling Body the observation of the terms and conditions of a disqualification, suspension or other disability imposed on a person by an approved body as if those terms and conditions applied to the person's harness racing activities.
- (5) A person who fails to comply with sub rule (1) or sub rule (2) or with a direction given under sub rule (3) is guilty of an offence.
- (6) Notwithstanding the foregoing provisions of this rule the Controlling Body may determine that one or more of sub rules (1), (2) and (3) do not apply in certain circumstances or to a particular person.
- (7) In this rule an approved body means an organisation accepted by the Controlling Body as controlling thoroughbred or greyhound racing in a State or Territory of Australia or in part of or the whole of a country and the officials, officers and stewards of such an organisation.

LR298 Effect of Penalties imposed under other Rules

- (1) Where a person is disqualified or warned off under the RWWA Rules of Greyhound Racing or the RWWA Rules of Thoroughbred Racing, thereupon, the person is taken to be a disqualified or warned off person under these Rules.*
- (2) Where a person is declared a defaulter under the RWWA Rules of Greyhound Racing or placed on the forfeit list under the RWWA Rules of Thoroughbred Racing, then, immediately thereupon, the person is taken to be placed on the forfeit list under these Rules.*

- (3) *When imposing a period of suspension on a person under the RWWA Rules of Greyhound Racing or the RWWA Rules of Thoroughbred Racing, the Stewards imposing such penalty may impose the same period of suspension with respect to all licenses held by the person under these Rules.*

299. Scope of rules and related matters

All persons

- (a) licensed under these rules;
- (b) carrying on or purporting to carry on activities related to the harness racing industry; or
- (c) who in some other way are affected by the rules,

are deemed to have knowledge of and be bound by them and of all things done under them.

300. Stewards' powers exercisable by controlling body

The Controlling Body or a person authorised by the Controlling Body may exercise the powers conferred on the stewards or upon the Chairman of Stewards or Deputy Chairman of Stewards, by these rules.

300A Betting

For the period of one (1) hour before the advertised starting time for the first race until the conclusion of the meeting a bookmaker operating or intending to operate at a meeting his agent or any of his employees, shall not enter the areas known or referred to as the birdcage, horse stalls, parade ring or any area reserved by the Club for the use of drivers at the meeting.

(added 1/03/05)

301 Indemnity Against Claims

- (1) A claim at law or in equity shall not be maintainable by a person to whom these rules apply against any steward, authorised person or official in respect of any action performed by such steward, authorised person or official for the purpose of giving effect to all powers and duties under the rules.
- (2) Any steward, authorised person or official performing or exercising powers or duties under the rules shall stand indemnified by the respective Controlling Body against any such claim.

302. Overcoming wrongs and correcting errors

The Controlling body may take whatever measure it considers appropriate -

- (a) to prevent or overcome what it considers to be a corrupt, wrong or unfair practice affecting or likely to affect, any meeting, race or event or any other aspect of the harness racing industry;
- (b) to rectify an error which has occurred because of some mistake or mishap in the administration of these rules whether by itself, the stewards, a club or any person.

303. Instruments and forms

- (1) The Controlling body may create, use and issue any instrument, form or document which it considers necessary or convenient to give effect to these rules.
- (2) The Controlling Body may maintain registers and records for the purposes of these rules in such manner and form as it thinks fit.
- (3) The Controlling Body may determine procedures and impose requirements which it considers necessary or convenient for the administration of these rules.

304. Powers exercisable at discretion

The powers conferred on the Controlling Body by these rules may be exercised from time to time at the Controlling Body's discretion.

305. Appointments, suspension and termination

Where power is conferred on the Controlling Body by these rules to make appointments that power includes the right to suspend someone so appointed, whether with or without remuneration or compensation, and the right to terminate the appointment.

306. Time at which rights cease

Unless a rule, regulation or form provides otherwise licences, registrations, rights and privileges granted under these rules cease at the end of the racing year.

307. Notification

(1) Where the Controlling Body imposes a requirement or makes or gives an order, direction, decision, determination or the like under these rules which is of general application or of general interest to participants in the harness racing industry, it may give notice thereof -

- (a) by publishing it in a recognised harness racing publication;
- (b) by publishing it in the racing calendar;
- (c) by publishing it in a newspaper; or
- (d) by publishing it on a Controlling Body website; or
- (e) by publishing it in some other format of general distribution.

(New subrule (d) added & previous subrule (d) renumbered to (e) GG 11/2/14)

(2) A person is deemed to have notice of anything published pursuant to sub rule (1).

(3) After service has been effected the Controlling Body or stewards, as the case may be, may proceed to deal with the matter including in the absence of the person served.
(added 1/1/10)

308. Service of notices

(1) Service of a notice or any document on a person under these rules may be effected -

- (a) personally;
- (b) by registered, certified or similarly secured letter posted to the person's last known or usual place of abode or business;
- (c) by leaving the notice or document with someone apparently of or above the age of 16 years at such place of abode or business; or
- (d) by such electronic or other means of communication or substituted service as the Controlling Body may determine.

(2) Service on a person who is a partner under a trainer's licence is deemed service on each of the partners.

309. Regard to be had to purpose

In the interpretation of a rule a construction that would promote the purpose or object underlying it, whether expressly stated or not or which would facilitate or extend its application, is to be preferred to a construction that would not promote that purpose or object or which would impede or restrict its application.

LR309. Regulations

The Controlling Body may make regulations -

- (a) to facilitate the administration and operation of these rules;*
- (b) to resolve ambiguities in these rules and to clarify their scope and application,*

and may give effect to any determination, direction, requirement, approval, condition, restriction or the like in the form of a regulation.

310. Fees

(1) The Controlling Body may impose and recover fees in respect of anything done under these rules.

- (2) Without limiting the scope of sub rule (1) fees may be imposed and recovered in respect of any application, grant, approval, notification, report, publication, nomination, registration, inspection, test, examination, inquiry, authority, transfer, licence, lease or permit.
- (3) Information about fees may be given in such manner as the Controlling Body considers appropriate.

311. Advice and other matter

The Controlling Body may-

- (a) act on such advice, information and documentary material as it sees fit and regardless of whether rules, determinations, regulations, or forms made under rules or regulations, have been complied with or properly completed;
- (b) disregard the rights or privileges of anyone who has failed to comply with or complete rules, determinations, regulations, or forms made under rules or regulations.

312. Dictionary and notes

- (1) Expressions used in these rules which are defined in the dictionary have or include as indicated the meanings given to them in the dictionary.
- (2) Notes in the dictionary are explanatory and do not form part of the rules.

313. Singular and plural form

- (1) Words or expressions in the singular form in these rules include the plural form and words or expressions in the plural form include the singular form.
- (2) Sub rule (1) does not apply where it is obvious from the context that either the singular form or the plural form solely applies.
- (3) Words or expressions importing the masculine gender shall be deemed and taken to include the female gender unless the contrary is expressly provided for.
(added GG 22/3/13)

314. Date rules take effect and related matters

- (1) These rules take effect on 1 September 1999.
- (2) The previous rules are repealed on that date.
- (3) The repeal does not affect any then existing right, privilege, obligation, disability, disqualification, suspension or other penalty.
- (4) All inquiries, investigations and similar proceedings on foot at the date of repeal or which subsequently commence in respect of circumstances or events occurring before that date shall be governed by the repealed rules and may continue on or be instituted and proceed as the case may be and decisions may be made and enforced and penalties imposed as if the repealed rules were still in force.

LR 314. Transitional

Notwithstanding the provisions of Rule 314

(1) In this rule, unless the contrary intention appear "old Rules" means the Rules of Harness Racing 1999 in force immediately prior to the coming into effect of these Rules.

(2) The expiry of the old Rules under section 11 of the Racing and Gambling Legislation Amendment and Repeal Act 2003, does not, unless the contrary intention appears-

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- (a) revive anything not in force or existing at the time at which the expiry took effect;*
- (b) affect the previous operation of the old Rules repealed or anything duly done or suffered under the old Rules;*
- (c) affect any right, interest, title, power or privilege created, acquired, accrued, established or exercisable or any status or capacity existing prior to the expiry;*
- (d) affect any duty, obligation, liability, or burden of proof imposed, created, or incurred prior to the expiry;*
- (e) affect any penalty or forfeiture incurred or liable to be incurred in respect of any offence committed against the old Rules;*
- (f) affect any investigation, legal proceeding, inquiry or remedy in respect of any such right, interest, title, power, privilege, status, capacity, duty, obligation, liability, burden of proof, penalty or forfeiture.;*

and any such investigation, legal proceeding, inquiry or remedy may be instituted, continued, or enforced, and any such penalty or forfeiture may be imposed and enforced as if the expiry had not occurred.

***National Rules 315 – 334 which relate to Monté Racing have not been adopted in Western Australia.
(as at Feb 2014)***

PART 22
AUSTRALIAN HANDICAPPING RULES

DATE OF EFFECT

- 1.1 All horses competing at Harness Racing meetings on or after 1 September 2009 shall be classified in accordance with these Rules.
- 1.2 Winners of all races at Harness Racing meetings on or after 1 September 2009 shall incur penalties as provided by these Rules.
- 1.3 A horse that has raced prior to 1 September 2009 shall commence to race thereafter with its classification:
- (a) as at midnight on 31 August 2009 in accordance with the Australian Handicapping Rules 1996 and as thereafter amended, and
 - (b) inclusive of any applicable reductions in class as provided in Rule 17 hereof.

Note: *Throughout these Rules -*

- (a) *the masculine shall include and refer to the feminine.*
- (b) *'dollars' mean Australian dollars or New Zealand dollars interchangeably and each shall be deemed to be of equal value to the other.*

2. DEFINITIONS

- 2.1 **Age Penalty (AP)**
A penalty of one class imposed to adjust the Age Class of a 3YO horse.
- 2.2 **Age Race**
A race that is confined to either 2YO, 3YO or 4YO horses only.
- 2.3 **Automatic Handicap Race**
A standing start race in which each class equates to 10 metres.
- 2.4 **Career Penalty (CP)**
A penalty of one class imposed to adjust the Career Class of a horse.
- 2.5 **Class**
The designated classification of a horse within the Handicapping Scales.
- 2.6 **Classic**
A race for either 2YO, 3YO or 4YO horses of the same age or sex, which is designated as such by the Controlling Body and in which all horses shall start from the front.
- 2.7 **Concession Driver**
A licensed driver who has held a licence for a period of less than five years from the date a Grade B licence was first granted.
- 2.8 **Controlling Body**
The body of persons in control of harness racing in the relevant State or Country.
- 2.9 **Discretionary Handicap**
A race in which handicap marks are allotted by and at the discretion of the handicapper at intervals of five metres and/or ten metres after the ten metre handicap mark.
- 2.10 **Graduation Age Penalty (RAP)**
A penalty of one class imposed to adjust the Graduation Age Class of a 3YO horse.
- 2.11 **Graduation Penalty (RP)**
A penalty of one class imposed to adjust the Graduation Class of a horse.
- 2.12 **Graduation Race**
A race with stakemoney greater than \$2,000 but not exceeding \$4,500. *(amended 1/9/15 & GG 5/6/18)*

2.13 **Heat**

A race held for the purpose of determining which horses from that race shall qualify for a Repechage, Semi-Final, Final or Consolation.

2.14 **Invitation Race**

A race approved by the Controlling Body for which the nominations may be by invitation of the Conducting Club or Controlling Body.

2.15 **Metropolitan Penalty (MP)**

A penalty of one class imposed to adjust the Metropolitan Class of a horse.

2.16 **Metropolitan Race**

A race with stakemoney in excess of the metropolitan threshold amount that is set by a State's Controlling Body for application within the State for the 12 months period commencing 1 September each year.

Note: The metropolitan threshold amounts set by the respective State Controlling Bodies for the 2009/10 racing season are:

New South Wales -	\$11,999
Queensland -	\$ 9,999
South Australia -	\$14,999
Tasmania -	\$14,999
Victoria -	\$14,999
Western Australia -	\$14,999

2.17 **Penalty Free Race**

A race with stakemoney of \$2,000 or less. (ROC 130810) (amended 1/9/15)

2.18 **Preferential Barrier Draw (PBD)**

A predetermined method applied to the barrier draw in races other than Random Barrier Draw (RBD).

2.19 **Race**

Any contest between two (2) or more horses.

2.20 **Race-Front**

One or more specified classes or ages used to determine eligibility for a race. (Inserted ROC 130810)

2.21 **Sires Stake Race**

A race conducted as part of a State's Sires Stakes program.

2.22 **Stakemoney**

The total Prizemoney specified in the published conditions of a race excluding GST when applicable which:

- includes float rebates, time incentives and unconditional bonuses (including cash and the retail value of vouchers, credit, goods and or services); and
- excludes trophies and conditional bonuses (including breeding scheme and Industry Association or Club Membership bonuses where a fee is applicable to be eligible for that bonus). (amended GG 5/6/18)

2.23 **Standard Race**

A race with stakemoney greater than \$3,500 but not exceeding the threshold amount for a Metropolitan Race – see Rule 2.16. (amended 1/9/15)

2.24 **Young Driver**

A licensed driver who has not attained the age of 25 years or as otherwise specified by a Controlling Body.

3. HANDICAPPING PENALTIES - GENERAL

3.1 Metropolitan Penalty (MP) Races

The winner of a Metropolitan race for open-age horses shall incur one Metropolitan Penalty, one Career Penalty and one Graduation penalty except that:

- (a) if the horse is a two year old or three year old it shall also incur such other penalties as provided in Rules 4 and 5 respectively, (IAC Mar12)
- (b) a Metropolitan Penalty shall not be incurred by the winner of:

- (i) a race for 2YO's,
- (ii) a race for 3YO's,
- (iii) a Sires Stake race for 4YO's with stakemoney not exceeding \$50,000.
- (iv) a special race approved by the Controlling Body with no stakemoney restriction for pacers classified CO to C5 (inclusive) or for trotters classified TO or better.

3.2 Career Penalty (CP) Races

The winner of a Standard race for open-age horses shall incur one Career Penalty and one Graduation Penalty except that if such horse is a 3YO it shall also incur one Age Penalty and one Graduation Age Penalty.

3.3 Age Penalty (AP) Races

The winner of a Standard race for 3YO's shall incur one Age Penalty, one Graduation Age Penalty and such other penalties as provided in Rules 5.4 and 9.4 hereof. *(ROC 130810)*

3.4 Graduation Penalty (RP) Races

The winner of a Graduation race for open-age horses shall incur one Graduation Penalty and if such horse is a 3YO it shall also incur one Graduation Age Penalty.

3.5 Graduation Age Penalty (RAP) Races

The winner of a Graduation race for 3YO's shall incur one Graduation Age Penalty and such other penalties as provided in Rules 5.6 and 9.6 hereof. *(ROC 130810)*

3.6 Penalty Exempt Races

The winner of a race in any of the following shall not incur a handicapping penalty/s as provided by these Rules:

- (a) World Drivers Championship.
- (b) Australasian Young Drivers Championship.
- (c) A drivers' series of not more than 6 races in each State as approved by the Controlling Body but limited to not more than three series and including one or more series for Concession Drivers in a racing year.
- (d) Any other driver's championship race or series approved by Harness Racing Australia.

3.7 Penalty Free Race

3.7.1 The winner of a Penalty Free race shall not incur a class penalty.

3.7.2 The winner of a Penalty Free race may at the discretion of the Controlling Body incur a handicap of 10 metres for each subsequent Penalty Free race.

4. 2YO PACERS (IAC Mar 12)

4.1 A 2YO pacer shall commence racing as a 2YO with classifications of 2CO and 2RO.

4.2 The winner of a Metropolitan or Standard race for 2YO's shall incur one Age Penalty and one Graduation Age Penalty.

4.3 The winner of a Standard race for 2YO's or a Standard race with a race-front that combines 2YO's and older horses shall incur one Age Penalty and one Graduation Age Penalty.

4.4 The winner of a Graduation race for 2YO's shall incur one Graduation Age Penalty.

4.5 The winner of a Graduation race for 2YO's or a Graduation race with a race-front that combines 2YO's and older horses shall incur one Graduation Age Penalty.

4.6 A 2YO pacer that wins a race that is not a race for 2YO's or not a race with a race-front that combines 2YO's with older horses shall incur the penalties provided by these Rules.

5. 3YO PACERS

5.1 A 3YO pacer shall commence racing as a 3YO with classifications of 3CO and 3RO.

5.2 The winner of a Metropolitan race for 3YO's shall incur one Age Penalty and one Graduation Age Penalty. *(ROC 130810)*

5.3 The winner of a Standard race for 3YO's or a Standard race with a race-front that combines 3YO's and older horses shall incur one Age Penalty and one Graduation Age Penalty. *(Inserted ROC 130810)*

5.4 A 3YO pacer winning more than two Standard or Metropolitan races shall also incur one Career Penalty and one Graduation Penalty for each subsequent win. *(ROC 130810)*

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- 5.5 The winner of a Graduation race for 3YO's or a Graduation race with a race-front that combines 3YO's and older horses shall incur one Graduation Age Penalty. *(ROC 130810)*
- 5.6 A 3YO pacer winning more than two Graduation races shall also incur one Graduation Penalty for each subsequent win. *(ROC 130810)*
- 5.7 A 3YO pacer that wins a race that is not a race for 3YO's or not a race with a race-front that combines 3YO's with older horses shall incur the penalties provided by these Rules. *(ROC 130810)*

6. 4YO PACERS

- 6.1 A pacer upon attaining the age of four years shall be classified C0 and R0 except that a horse that has won three (3) or more races as a 3YO shall be classified as provided by Rule 5 hereof.
- 6.2 The winner of a Standard race for 4YO's shall incur one Career Penalty and one Graduation Penalty.
- 6.3 The winner of a Metropolitan race for 4YO's shall incur one Metropolitan Penalty, one Career Penalty and one Graduation Penalty except as provided in Rule 3.1(b).

7. PACERS HANDICAPPING SCALES

METROPOLITAN	CAREER		GRADUATION	
Open Age	Open Age	Age (3YO)	Open Age	Age (3YO)
M0		3C0		3R0
M1		3C1		3R1
M2	C0	3C2	R0	3R2
M3	C1	3C3	R1	3R3
M4	C2	3C4	R2	3R4
M5	C3	3C5	R3	3R5
etc.	etc.	etc.	etc.	etc.

Notes: The Metropolitan handicapping scale stands alone as there is no link connecting a metropolitan class with an equivalent increment in another class.

Examples of progressing through the classes, the incremental penalties incurred and the upgraded classes (marked in bold type) are as follows:

- ▶ *Pacer classed C5/R5 wins an R5 race to become C5-R6*
..... it next wins a C5 race to become ... C6-R7
..... it next wins a M0 race to become M1-C7-R8
..... it next wins a C7 race to become M1-C8-R9
..... it next wins a C8 race to become M1-C9-R10
..... it next wins a M1 race to become M2-C10-R11
- ▶ *3YO pacer classed 3C0/3R0 wins a 3R0 race to become 3C0-3R1*
..... it next wins a 3R1 race to become 3C0-3R2
*..... it next wins a 3C0 race to become R1-3C1-3R3**
..... it next wins a 3C1 race to become R2-3C2-3R4
..... it next wins a C0 race to become C1-R3-3C3-3R5
..... it next wins a Metro 3YO race to become C2-R4-3C4-3R6
** The horse has won more than two Graduation races therefore it also incurs an open-age Graduation penalty, see Rule 5.6. A handy tip to note is that when looking at the handicapping scales, a horse's open age class will never be lesser than the equivalent 3YO class.*

(ROC 130810)

8. 2YO TROTTERS

- 8.1 A 2YO trotter shall not be allocated a handicapping classification.
- 8.2 A 2YO trotter shall not incur a handicapping penalty for winning a 2YO race.
- 8.3 A 2YO trotter that wins a race that is not a 2YO race shall incur the penalties provided by these Rules.

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8.4 The eligibility of a 2YO trotter for a 2YO race shall be determined in accordance with the programming conditions of the race.

9. 3YO TROTTERS

9.1 A 3YO trotter shall commence racing as a 3YO with classifications of 3T0 and 3TR0.

9.2 The winner of a Metropolitan race for 3YO's shall incur one Age Penalty and one Graduation Age Penalty. *(ROC 130810)*

9.3 The winner of a Standard race for 3YO's or a Standard race with a race-front that combines 3YO's and older horses shall incur one Age Penalty and one Graduation Age Penalty. *(Inserted ROC 130810)*

9.4 A 3YO trotter winning more than three Standard or Metropolitan races shall also incur one Career Penalty and one Graduation Penalty for each subsequent win. *(ROC 130810)*

9.5 The winner of a Graduation race for 3YO's or a Graduation race with a race-front that combines 3YO's and older horses shall incur one Graduation Age Penalty. *(ROC 130810)*

9.6 A 3YO trotter winning more than three Graduation races shall also incur one Graduation Penalty for each subsequent win. *(ROC 130810)*

9.7 A 3YO trotter that wins a race that is not a 3YO race or not a race with a race-front that combines 3YO's with older horses shall incur the penalties provided by these Rules. *(ROC 130810)*

10. 4YO AND OLDER TROTTERS

10.1 A trotter upon attaining the age of four years shall be classified T0 and TR0 except that a horse that has won four (4) or more races as a 3YO shall be classified as provided by Rule 9 hereof.

10.2 The winner of a Standard race for 4YO's shall incur one Career Penalty and one Graduation Penalty.

10.3 The winner of a Metropolitan race for 4YO's shall incur one Metropolitan Penalty, one Career Penalty and one Graduation Penalty except as provided in Rule 3.1(b).

11. HANDICAPS FOR TROTTERS 3YO AND OLDER

In a standing start race for trotters 3YO and older, the horses shall be handicapped as follows unless otherwise specified by a Controlling Body:

- 3T0 class horses front mark
- 3T1 class horses - 10 metres
- 3T2 class horses - 20 metres
- 3T3 class horses - 30 metres
- etc.
- T0 class horses - 30 metres
- T1 class horses - 40 metres
- etc.

(ROC 130810)

12. TROTTERS HANDICAPPING SCALES

METROPOLITAN	CAREER		GRADUATION	
Open Age	Open Age	Age (3YO)	Open Age	Age (3YO)
TM0		3T0		3TR0
TM1		3T1		3TR1
TM2		3T2		3TR2
TM3	T0	3T3	TR0	3TR3
TM4	T1	3T4	TR1	3TR4
TM5	T2	3T5	TR2	3TR5
TM6	T3	3T6	TR3	3TR6
etc.	etc.	etc.	etc.	etc.

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Notes: The Metropolitan handicapping scale stands alone as there is no link connecting a metropolitan class with an equivalent increment in another class.

Examples of progressing through the classes, the incremental penalties incurred and the upgraded classes (marked in bold type) are as follows:

- ▶ Trotter classed **T3-TR6** wins a **TR5+** race to become **T3-TR7**
..... it next wins a **T5*** race to become**T4-TR8**
..... it next wins a **T4+** race to become**T5-TR9**
..... it next wins a **TM0** race to become **TM1-T6-TR10**
..... it next wins a **T5+** race to become **TM1-T7-TR11**
* racing out of class

- ▶ **3YO** trotter classed **3T2/3TR3** wins a **3TR** race to become **T0-TR1-3T2-3TR4***
..... it next wins a **3T** race to become**T0-TR2-3T3-3TR5**
..... .. it next wins a **3T** race to become**T1-TR3-3T4****-
3TR6
..... it next wins a **T0+** race to become ... **T2-TR4-3T5-3TR7**
..... it next wins a **Metro 3YO** race to be **T3-TR5-3T6-3TR8**

* The horse has won more than three Graduation races therefore also incurs an open-age Graduation penalty, see Rule 9.6

** The horse has won more than three Standard or Metropolitan races therefore also incurs an open-age Career penalty and an open-age Graduation penalty, see Rule 9.4. A handy tip to note is that when looking at the handicapping scales, a horse's open age class will never be lesser than the equivalent 3YO class. (ROC 130810)

13. TROTTERS COMPETING IN PACERS RACES

13.1 A trotter may compete in a race programmed for pacers and shall be classified in accordance with the following class equivalents unless otherwise specified by a Controlling Body:

- T0 to T9 shall equate to C0
- T10 shall equate to C1
- T11 shall equate to C2
- T12 shall equate to C3
- etc.
- TM3 to TM6 shall equate to M0
- TM7 or TM8 shall equate to M1
- TM9 or better shall equate to M2.

13.2 A trotter that wins a Standard race for pacers shall incur one pacers Career Penalty, one trotters Career Penalty, one pacers Graduation Penalty, one trotters Graduation Penalty and if the horse is a 3YO it shall also incur one pacers Age Penalty, one trotters Age penalty, one pacers Graduation Age Penalty and one trotters Graduation Age penalty

13.3 A trotter that wins a Metropolitan race for pacers shall incur one pacers Metropolitan Penalty, one trotters Metropolitan Penalty and it shall also incur the penalties provided in Rule 13.2 hereof.

14. HANDICAPPING - MISCELLANEOUS

14.1 Events conducted as a Series of Races

An event may be conducted as a combination of related races ("the Series") that may include a Prelude/s, Heat/s, Repechage/s, Semi-Finals, Final and Consolation/s in conjunction therewith provided that:

- (a) The winner of a race of the Series shall incur the penalties provided by these Rules.
- (b) The penalties for a win in a race of the Series shall not apply in other races of the Series.
- (c) The penalties provided by these Rules for a win in a race that is not part of the Series shall apply in races of the Series unless otherwise specified in the published conditions of the Series.
- (d) The penalties provided by these Rules for a win in a race of the Series shall apply in a race that is not part of the Series.

14.2 Wins Out of Class

A horse that wins a race for which the front is better than its classification shall incur the penalties provided by these Rules irrespective of the front of the race.

Note: Examples of the penalties incurred for wins out of class (with the upgraded classes marked in bold type) are:

- ▶ *3YO pacer classed 3C0-3R0 wins a C0 race to become **C1-R1-3C1-3R1***
- ▶ *Pacer classed C3-R3 wins an M0 race to become **M1-C4-R4***
- ▶ *3YO trotter classed 3T1-3TR2 wins a T0 race to become **T1-TR1-3T2-3TR3***
- ▶ *Trotter classed T2-TR6 wins a T5+ race to become **T3-TR7***

14.3 **Dead-Heats**

A horse shall not incur a handicapping penalty/s as provided by these Rules for a dead-heat for the first placing in a race.

14.4 **Time Trials**

A horse that wins a race conducted as a Time Trial shall incur the penalties provided by these Rules.

14.5 **Conversion of Gait**

A horse which has had its gait converted shall have its classification adjusted as though it had won its races at the gait to which it has been converted.

14.6 **Claiming Races**

The winner of a Claiming Race shall incur the penalties provided by these Rules.

15. **CLASSIFICATION OF HORSES FOR WINS OUTSIDE AUSTRALIA**

15.1 A horse cleared into Australia after midnight on 31 August 2009 by a Controlling Body in another country shall be classified in respect of any race won in any other country in accordance with these Rules as at 1 September 2009 irrespective of the date of such win.

15.2 The handicapping classification of any horse cleared by a Controlling Body in another country and registered to race in Australia shall be calculated:

- (a) From its lifetime performances recorded on the Clearance or Export Certificate provided by such Controlling Body.
- (b) With the inclusion of not more than ten (10) non-winning performances.

15.4 Stakemoney as shown on the Clearance or Export Certificate won by a horse in New Zealand, United States of America, Canada or other dollar denominated country shall be deemed to be the equivalent dollar amount in Australian Dollars.

15.5 Stakemoney as shown on the Clearance or Export Certificate won by a horse in any other country outside Australia other than New Zealand, United States of America, Canada or other dollar denominated country shall be converted to its equivalent in Australian dollars calculated at the date of its most recent clearance from a country outside Australia.

16. **PENALTIES FOR DISQUALIFIED AND PROMOTED HORSES**

If a horse wins a race ("the subject race") and is subsequently disqualified as the winner of the subject race, other than as a result of a protest on the day of the race, then:

- (a) The horse that was placed second in the subject race shall be promoted to first ("the promoted horse") and the owner thereof shall receive the specified stakemoney for first placing in the race.
- (b) The disqualified horse shall not incur any penalty in respect of the subject race. *(amended 1/1/16)*
- (c) If the disqualified horse has won a race or races following the subject race and prior to its disqualification it shall incur the penalties provided by these Rules applicable to the other race or races which it has won, and:- *(amended 1/1/16)*
 - (i) the horse shall then be reclassified in accordance with Rule 16(b).
 - (ii) For the obviation of doubt, the horse shall be deemed eligible for such other race or races which it has won.
- (d) If the promoted horse has not won any other race following the subject race and prior to its promotion it shall incur the penalties provided by these Rules for winning the subject race.
- (e) If the promoted horse has won a race or races following the subject race and prior to its promotion it shall incur the penalties provided by these Rules applicable to the other race or races which it has won and upon its promotion or after the conduct of any race for which it is at

that time an acceptor (whichever shall last occur). It shall also incur the penalties provided by these Rules applicable to the subject race.

17. REDUCTIONS IN CLASS

17.1 A horse 3YO or older that is registered to race in Australia shall have all its classifications simultaneously reduced by one class upon ten (10) consecutive race starts without a win except that a reduction in class/s shall only be made for horses classified better than:

- (a) Pacers – 3R1, 3C1, R1, C1, M1;
- (b) Trotters – 3TR1, 3T1, TR1, T1, TM1.

Note: Examples of Reductions in Class (drop backs) with the reduced classes in bold type, are as follows:

- ▶ 3YO pacer classed 3C1-3R2 would drop back to 3C1-**3R1**
- ▶ 4YO+ pacer classed C5-R5 would drop back to **C4-R4**
- ▶ 4YO+ pacer classed M1-C8-R9 would drop back to M1-**C7-R8**
- ▶ 3YO trotter classed 3T2-3TR3 would drop back to **3T1-3TR2**
- ▶ 4YO+ trotter classed T7-TR8 would drop back to **T6-TR7**
- ▶ 4YO+ trotter classed TM2-T9-TR10 would drop back to **TM1-T8-TR9**

17.2 A dead-heat for the first placing shall not count as a win.

17.3 No time limits shall apply to the accrual of 10 consecutive race starts.

18. CONCESSION DRIVERS

18.1 A Concession Driver may, with the approval of the Controlling Body, claim a concession (subject to the exceptions hereinafter set out) in each of the first five years from the date of first being granted a Grade B licence.

18.2 The Controlling Body may at any time withdraw its approval for a Concession Driver to claim a concession.

18.3 A horse driven by a Concession Driver claiming a concession shall have its classification reduced by one class for that race except that:

- (a) a concession may only be claimed for horses classified better than R1, TR1, C1, T1, M1 or TM1 unless otherwise specified by a Controlling Body.
- (b) a Controlling Body may specify races in which a concession cannot be claimed.
(ROC 130810)

18.4 The maximum number of wins allowed in a racing year before a Concession Driver cannot claim a concession in that year shall be specified by the Controlling Body but shall be a total/s that is inclusive of all wins in such year irrespective of whether or not a concession was claimed.

18.5 A dead-heat for the first placing shall not count as a win.

18.6 When a Concession Driver wins his final concession claim race in a year at a meeting where he has further driving engagements, the driver will be permitted to claim the relevant concession for the remainder of such meeting and any other meeting on the same day.

19. LOCAL HANDICAPPING RULES

19.1 A local handicapping rule of a Controlling Body shall not affect the classification of any horse under these Rules and shall be applicable only in respect of a race programmed by such Controlling Body.

19.2 Classification of a horse under a local handicapping rule of a Controlling Body shall be kept separate from the horse's classification under these Rules which shall be maintained and kept current by such Controlling Body.

SCHEDULE 1

Dictionary

“agricultural show” *[deleted May 07]*

"Anabolic Androgenic Steroid Clearing Certificate" means a certificate from a person or drug testing laboratory approved by the Controlling Body certifying that a sample is free of anabolic androgenic steroids or that any anabolic androgenic steroids that are present are at or below the relevant concentration set out in Rule 188A(2). *(added 1/9/14)*

“approved instrument” means an apparatus known as the Beckman EL-ISE auto analyser or Beckman DxC600 analyser. *(GG 25th May 2001: Beckman DxC600 approved by HRA September 2010 & GG 16/9/11)*

“arrears” mean moneys owed for not less than 30 days;

“Artificial breeding” means artificial as defined by Australian Harness Racing Rule 281 *(added GG 6/12/19)*

“artificial breeding station” means a place where artificial breeding is attempted or carried on;

“Australian Harness Racing Council” or “Council” means the body incorporated under the Australian Capital Territory Associations Incorporation Ordinance 1953, under the name Australian Harness Racing Council Incorporated;

“Australian Racing Board” means the Australian Racing Board Limited constituted in accordance with AR208 Australian Rules of Racing. *(added GG 22/3/13)*

“Australian Trotting Stud Book” or “Stud Book” means the annual Stud Book comprising a record of all Standardbreds active in breeding and racing and all horses named subsequent to the publication of the previous Stud Book, together with such information and records deemed necessary by the Keeper of the Stud Book. *(added GG 6/12/19)*

“authorised agent” and “authorised person” means a person recognised as such by the Controlling Body;

“birdcage” means an enclosure or place on a racecourse where horses are stabled, marshalled or paraded for events;

“Bet” or “betting” means to make either directly or indirectly a monetary investment on the outcome of a race. *(added GG 11/2/14)*

“body” includes a corporation, a body corporate, an unincorporated association and a recognised harness racing authority;

“Breeder” means : *(Added GG 6/12/19)*

(a) In the case of a foal which is the result of an embryo transfer, the registered owner or lessee of the donor mare at the time the embryo is flushed from such donor mare and impregnated into a recipient mare.

(b) In all other cases, the registered owner or lessee of the dam at the time of last date of service.

“competing” for the purposes of insurance has the following applications -

a driver, who is not also the trainer of a horse or the trainer’s agent, is deemed to be competing in a race from the time that the driver first sits in the sulky of the horse for the purpose of parading the horse for the race until the reins of the horse are taken by the trainer or the trainer’s agent following the finish of a race; a driver, who is also the trainer of a horse or the trainer’s agent, is deemed to be competing in a race from the time that the driver first sits in the sulky of the horse for the purpose of parading the horse for the race until the horse leaves that part of the racecourse on which the race takes place following the finish of the race;

“Connections” includes the persons who are the owners or lessees of a horse or who otherwise have a legal interest in it, a trainer, a manager of a syndicate, a joint ownership manager, a stud master, and the authorised agents of such persons. *(gg Nov11)*

“Controlling Body” means *(amended GG 29/9/17)*

(a) Save and except for paragraph (b) an organisation which by convention, recognition or law is or is deemed to be in control of harness racing in a State or Territory of Australia or in part of or the whole of a country; and

(b) in respect of the State of Queensland, the Racing Queensland Board unless a rule provides for the Controlling Body to perform a function that is a function of the Queensland Racing Integrity Commission under the Racing Integrity Act 2016 (Qld) in which case the Queensland Racing Integrity Commission must perform the function.

“determination” includes requirements, orders, directions, decisions, and the like, made, given or imposed on or about any subject matter, person, group or class of persons, or any club, organisation or body, with or without sanctions attaching thereto;

RWWA Rules of Harness Racing

“Disqualification” means a penalty that imposes the restrictions contained in Part 16

“Driver” means a person who is on a racecourse, racetrack or a registered or unregistered training track for the purpose of driving or riding a horse. *(amended 29/12/15 & GG 6/12/19)*

”Drivers Agent” means a person licensed by RWWA who by contract or any other arrangement or agreement assists a driver in the organisation and/or the obtaining of driving engagements. *(gg Mar12)*

“Drug of Abuse” means: *(repealed 29/12/15)*

“family interest” refers to a situation other than a bet on a horse in a race, where if a steward or handicapper were to exercise a power under the rules, the spouse, domestic partner, parent, sibling or child of, or person residing with, the steward or handicapper would have, or be likely to have, a financial or other significant interest in the outcome;

“Foal Died” is a foal that is stillborn, dies during birth or does not stand and suckle after birth.*(Added GG 6/12/19)*

“Foreign Horse” means a horse foaled outside Australia. *(Added GG 6/12/19)*

“handicapper” means a person appointed by the Controlling Body to handicap;

“harness racing association” or “association” means any organisation which represents harness racing clubs or other bodies which promote or conduct races or meetings;

“harness racing club” or “club” means any body, other than a Controlling Body, which promotes or conducts races or meetings;

“horse” means a horse bred, cared for, managed, trained, raced or otherwise dealt with for purposes connected with the harness racing industry;

“Interest” in respect of a horse for any purpose under these Rules includes membership of a syndicate which owns or leases a horse or has any interest direct or indirect in a horse. *(added 1/03/05)*

“jurisdiction” means that country or State or Territory of Australia in which a Controlling Body or recognised harness racing authority has by law the power to control and regulate harness racing;

“Keeper of the Stud Book” is the person appointed by Harness Racing Australia to keep records of sires, mares and foalings and to publish annual editions of the Australian Trotting Stud Book in accordance of the Australian Harness Racing Rules. *(added GG 6/12/19)*

“Legal Practitioner” means a person who has been admitted to practice law pursuant to the relevant Statute of a Controlling Body jurisdiction.

“licence” includes permit

“list” means the unpaid forfeit list except where some other list is identified in the rules;

“Live Foal” is a foal that stands and suckles after birth. *(added GG 6/12/19)*

“Log Book” means any book or register including an electronic format used for the purposes of recording the information required by Rule 190B *(added 1/03/05; amended Nov 07)*

“meeting” means a meeting at which harness racing horses race or participate in events or were scheduled to do so and includes official trials and training at tracks registered by a Controlling Body.*(amended 1/03/05)*

“Microchip” means an electronic identifier transponder of a type approved by the Controlling Body encoded with a unique unalterable number. *(added GG 29/9/17)*

“official” includes an official of a club

“person” includes an individual, a syndicate, a corporation, a body corporate, and an unincorporated association;

“place” means the official finishing position of a horse in a race *[added May 07]*

“race” means a race or official trial or time trial or event in which harness horses race or participate *(GG 3rd October 2003)*

“racecourse” or “course” means a place at which harness racing horses race or participate in events, and includes all the land and improvements - such as stands, seats, stables, enclosures, rooms, offices, betting and recreational areas, carparks - used in connection with the conduct or observation of races, meetings or events by persons engaged in the harness racing industry or by members of the public;

“racetrack” or “track” means that part of a racecourse on which harness racing horses race;

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"Race day" or "day of the race" means the period commencing at midnight on the day of the race for which the horse is nominated and concluding at the time the horse is lawfully removed from the racecourse after competing in the race for which it was nominated. *(added GG 11/2/14)*

"racing year" means the period commencing 1st September in a calendar year and ending 31st August in the following calendar year;

"recognised harness racing authority" means an organisation accepted by the Controlling Body as controlling the harness racing industry in a State or Territory of Australia or in part of or the whole of a country;

"Registered training establishment" means a property approved by a Controlling Body to be used for the purposes of conducting harness racing activities. *(added GG 22/3/13)*

"Registrar" is the person appointed by Harness Racing Australia to supervise the allocation of names of sires, dams and progeny. *(added GG 6/12/19)*

"reprimand" includes caution and means an official censure or rebuke *(added Nov 07)*

"Sample" means a sample or specimen of saliva, urine, perspiration, breath, blood, tissue, skin, hair or any other excretion, bodily fluid, tissue or matter taken from a person. *(added GG 6/12/19)*

"semen" includes frozen, and chilled semen;

"sprint lane" means a clearly identified inward expansion of the inside of the straight immediately preceding the winning post;

"standardbred" when used with reference to a horse means a horse which is the progeny of a registered sire and registered dam;

"start" means the point at which the starter declares by whatever means determined by the Controlling Body the commencement of the race. *(added 1/1/10)*

"stewards" means stewards appointed by the Controlling Body;

"stud" means a place where the breeding of horses is attempted or carried on;

"Suspension" – (i) In relation to a licensed person means the temporary or permanent withdrawal of all rights provided by any licence issued by a Controlling Body.

(ii) In relation to a horse means the temporary or permanent withdrawal of its right to participate in any race.

(iii) In relation to a non-licensed person means the temporary or permanent withdrawal of a right to participate in a facet of the Harness Racing Industry. *(added 20/10/06)*

"suffering" mean to intentionally hurt or cause pain, distress or hardship to a horse *(added 26/05/05)*

"swab" means the taking, or a procedure for the taking, of blood, urine, saliva, or other matter or sample or specimen from a horse for the purpose of testing for the presence of a prohibited substance and "positive swab" means that the swab, when tested, reveals such a presence;

"TAB" means the organisation appointed or established by or under the law in the jurisdiction of the Controlling Body to regulate off course betting and when used in connection with a race or meeting means off course betting so regulated;

"therapeutic substance" means a prohibited substance to which a screening limit applies and which is promulgated as such from time to time by Harness Racing Australia and published on the websites of Harness Racing Australia and a Controlling Body; *(GG 9th August 2002)(amended GG 29/3/19)*

"trainer" includes a person who though not holding a licence granted under these rules trains a horse or does other things which are only done or usually done by trainers;

"training" includes the preparation, education and exercising of a horse to race, but not the mere process of keeping a horse in good health;

"training track" A track that is registered with a Controlling Body and authorised for use as a training track for horses. *(added 1/03/05)*

"warned off" or "warning off" means a decision or penalty prohibiting a person from entering any racecourse or place under the control of a club or the Controlling Body and a person "warned off" shall be subject to the same prohibitions as a disqualified person mentioned in rule 259 sub rule (1). *(GG 27/10/00)*

Notes:

- (1) Part headings and brief descriptions in bold type are inserted in the rules solely for ease of reference and do not form part of the text.

- (2) Offence provisions are found throughout the rules and are not confined to those in Part 14 or under the bold type heading “Offences”. An offence provision is identified by use of the words in it, or with reference to it, that failure to comply or contravention of it makes a person guilty of an offence.
- (3) In the interpretation of these rules nothing turns on the fact that in some instances capital letters may be used and in others letters in lower case.

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- 118 - syndicate name: improper use
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- 120 trainer disqualified: horse must be transferred to race.
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- 30, 31 unfitness to race
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- 186 effect of appeals and judicial proceedings
- 181 manner of conduct
- 178 on lodgment of protest
- 184, 185 rehearings
- 182 representation
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- 115 - acts for joint owners
- 115 - appointment

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- 49 stewards may judge

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- 109,110 lodgment of notification

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- 90 application
- 91 offences

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- 69 cannot have less than 2 starters

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21,22	allocation of dates
46	ambulance officers
41,42	appointed times
53,56	cancellation of race or meeting
34,35	conditions and restrictions
48	consumption of products by persons at meetings
36	distances
29	divisions
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131,132,133	- barrier positions
140	- causing delay
141	- false start
130	- how drawn up
137,138,139	- positioning
45	inspection to establish identity of horse
49	judges
58	lighting failure during race
23,24	nominations
33	notification of win
32	number of starts at one meeting
37,38,39,40	officials
53	postponement of meeting
27	programs
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59	rerun of race
57	scratchings: may be rescinded if meeting postponed
26	security of horses
28	starters: numbers and positions
55,56	transfer of horse to another race
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- 23 nomination details
- 23 horses not eligible for nomination

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- 306 matters of general interest by publication
- 307 form of service

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- 33 where horse handicapped for race wins another before race run.

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- lodgment of objection
- 175 - questioning eligibility of horse to start.
- 177 - against judge's placing
- 176 lodgment of protest against placing
- offences
- 175 - failing to lodge objection when in possession of information
- 180 - frivolous objection or protest
- 179 stakes and bets
- 178 stewards inquiry

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- alcohol
- 252 - present in body
- 250,251 - under influence
- artificial breeding (see entries under artificial breeding)
- 231 assault and interference
- 254 assumed name: use of
- 234,235 betting: illegal
- 76 claiming races: variety of matters
- colours
- 272 - disqualified person
- driver not dressed in
- steward's directions
- 244,253 communicating illegally or improperly
- 240,241 corruption
- 243 detrimental behaviour
- disqualified person
- 230 - associating with
- 262 - failure by disqualified lessee to return horse
- 265 - failure to observe conditions on sale of horses
- 236 - employing improperly
- driver

RWWA Rules of Harness Racing

- 173 - betting
- 168,169 - careless, unacceptable, and other improper ways of driving
- 148 - coercing
- 233 - conversing with
- 158 - engagements: failure to fulfil
- horse
- 154,155 - breaking gait
- 160 - driven incorrectly in preliminary
- 162,163 - matters generally at start and during race
- not
- 159,272 - dressed in registered colours
- 165 - maintaining proper course
- 161 - obeying clerk of course
- 156 - using whip properly
- 157 - qualified or eligible to drive
- 147 - racing on merits
- 149 - racing to win
- 171 - obstruction on track
- 172 - production of licence
- 166,167 - sprint lane
- 158 - substituting another driver without permission
- 165,170 - sulky
- 44 - tactics: change of without approval
- drugs
- 194 - holding unlawfully
- 252 - present in body
- 250,251 - under the influence
- 125 handicapper improperly influencing
- horse
- 106 - advertisement for sale: failure to comply with conditions
- 86 - allowing to start from wrong position
- bleeding attacks
- 101 - failure to notify
- 101 - furnish false certificate
- 101 - racing improperly
- 102 - blindness: improperly racing
- 278 - bodily sample: not furnished as directed
- brand
- 94 - failure to clip relevant area
- 94 - failure to rectify indistinct identification
- 217 - concealment of identity

RWWA Rules of Harness Racing

- disease or death
- 104,105 - failure to notify
- 105 - failure to verify death
- 105 - improper disposition of carcass
- 218 - failure to care for properly
- 89 - failure to report matter affecting horse's performance in race
- 277 - foal: failure to notify foaling
- 216 - fraudulent nomination
- 214 - impeding in a race
- 219 - inconsistent running
- 87,212 - ineligible: nominating or starting
- 213 - infliction of suffering
- 220 - leading with other than rearing bit
- 109,110 - lease: failure to notify
- 211 - left unattended on course
- 98 - limb neurectomy: horse not to race
- 276 - mare: improperly identified before service
- 215 - manipulating gear improperly
- 100 - medical or surgical procedures: failure to notify
- 122 - name of horse
 - abandoning or changing improperly
 - causing belief that name is other than on registration certificate
 - causing horse to race under name not shown on registration certificate
- 111 - ownership change: failure to notify
- 190 - presented for race not free of prohibited substance
- 193,196 - prohibited substance: administration
- 96 - registration certificate
 - erasing particulars
 - failure to produce on demand
- 121 - sale of: impeding testing by stewards
- 88 - withdrawn after declaration of acceptances or handicaps
- 275 sire summary sheet - improperly kept or lodged
- sires
- 275 - failure to keep or lodge record of service
- 280 - furnishing false information
- 276 - mare: failure to identify
- 274 - use of unregistered sire
- 278 standardbred horse: failure to supply bodily sample as directed
- 193 stomach tubing improperly
- unnamed horse
- 122 - causing someone to believe horse named

	unnamed or unregistered horse
122	- nominating or starting in a race
	improper behaviour in connection with
245	- encouraging or persuading others to do something improper
246	- failing to notify breach of rules
221	- nominating or starting a horse
248	- publication of malicious or intimidatory character
249	- seeking improperly to influence decision
247	- seeking to intimidate
222	- withdrawing a horse
	information
209	- furnishing false information
206	- furnishing wrong information about a driver
208	- improperly divulging
207	- improperly obtaining or seeking
	inquiries
187	- abuse of stewards
	- failure to answer questions
	- failure to attend
	- failure to comply with order
	- failure to undergo inspection
	- frustration of inquiry
244	- improper communication
91	licences: carrying on activity without one
	money or inducements
228	- accepting improperly
227	- giving or offering improperly
229	- not informing stewards of improper offer or gift
	objection
175	- failing to lodge
180	- frivolous
40	officials: betting and conflict of interest
	other racing codes
298	- failure to give reasonable notice of disabilities imposed
	- failure to obey directions given by stewards
	- failure to observe within the jurisdiction disabilities imposed by other racing codes
	orders
239	- acting contrary or without
238	- failure to comply
121	owner: failure to match identify on sale of horse
223,224	protective gear and helmet: not wearing
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- racecourse
 - 202 - horse driven on by unlicensed person
 - 203 - horse trained on by unlicensed person
 - 192 - possession of prohibited substance on
 - 232 - possession of weapons on
 - 205 - unauthorised person on track
 - 204 - unlicensed person carrying on stable hand's duties
 - recognised harness racing authority
 - 297
 - failure to give reasonable notice of disabilities imposed
 - failure to obey directions given by stewards
 - failure to observe within the jurisdiction disabilities imposed by recognised harness racing authorities
 - 237 registration: failure to do so
 - 145 starter: conflict of interest
 - 146 starting gate: riding on
 - 274 stud: use of unregistered premises
 - syndicates
 - 118 - improperly asserting to be manager
 - 118 - improperly use syndicate name
 - 116 - manager failing to notify syndicate change
 - time
 - 210 - non compliance with time for notification of driver
 - trainer
 - 119 - failure to notify relinquishment of training
 - 89 - failure to report matter affecting horse's performance in race
 - 225 - improper care and preparation of horses
 - 226 - permitting unlicensed persons to work on course
 - 121 - sale of horse: failure to match identify
 - 12 unregistered clubs: involvement with
 - 11 unregistered shows: promoting races
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- 78 Controlling Body: determination of race to be official trial
- OTHER RACING CODES**
- (see under inter-state and overseas jurisdictions)
- OUTSIDE DRAW STAND**
- 134 Stewards may make horse ODS
- OWNERSHIP**
- 108 register
 - 111 registration of change
- PENALTIES**
- 256 conditions to be satisfied to prove offence.
 - 257 cumulative penalties

- 258 horse: giving of directions
- 256 suspension
- 256 waiver
- 256 when attaching

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- 79 determination of race to be performance against time
- 82 horse breaks during performance
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- 27 to be approved by Controlling Body

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- 199 dead heats
- 197 percentages
- 200 refund where horse disqualified
- 198 winner only
- 201 withheld due to objection, testing etc.

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- 188,196 Controlling Body: determinations
- 194 Drugs: held unlawfully
- 191 evidentiary certificates
- 195 - disqualification
- 190 - presentation free of prohibited substances
- 193 - stomach tubing
- 189 - testing by stewards
- 192 - possession by person on course

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- 6 appointment: powers

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- 85 must be approved by Controlling Body

REQUIRED RACING STANDARD

- 148 coercing driver
- 150 laying of charges
- 147 racing on merits
- 149 racing to win

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- 304 appointments under: includes right to suspend and terminate appointments
- 299 persons deemed to have knowledge of and be bound by
- 303 powers under: exercisable at discretion

- 309 regulations: power to make
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- 307 form of

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- 3 registration

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- 277 notification of foaling
 - mares
- 275 - record of mares served
- 276 - verifying identity before service
- 280 - offence: false information furnished by connections
- 274 - registration
- 275 - sire summary sheet
- 278 - standard bred horse: bodily samples

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- 166,167 when horse shall not enter

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- 90 application for licence

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- 25 to be lodged when horse comes under trainer's control

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- 126 appointment
- 145 conflict of interest
- 141 false start
 - horse
- 140 - causing delay
- 127 - list of starters
- 138 - misbehaving
- 139 - wrong position
- 146 riding on starting gate
- 136 securing fair start
- 135 starter's order

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- horses
- 138 - behaviour
- 140 - causing delay
- 141 - false start

- 130 - how draw up
- 128,137,138,139 - positioning
- 128,140 - starter or non-starter
- 142,143 starting barriers
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- 14 appointment
- approvals, directions
- 272 - colours, advertising
- 39 - deputies of officials
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- 192 - possession of drugs on course
- 232 - possession of weapons on course
- 57 - rescission of scratchings if meeting postponed
- 142,143 - starting barriers
- 59 - withdrawal of horse where race rerun
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- 18 attendance at meetings
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- disqualifying horses
- 154,155 - breaking gait
- 65 - ineligible to compete
- 62 - non payment of fees
- 174 - where driver found guilty or certain offences
- drivers
- 159 - confiscating items of dress
- 152 - driving priorities: alteration
- 172 - produce licence on demand
- horses
- 140 - declaring non starter
- 139 - determining position
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- 121,189 - testing for prohibited substance
- 103 - vice in: barring from racing
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- 178 - on lodgment of protest
- 181 - procedure

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- 90 application for licence

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- 274 registration

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- 117 restrictions on racing where member disqualified.
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- 115 - appointment
- 118 - false assertion that person manager
- 116 - notification of change in syndicate
- 118 name: improper use
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- 305 at which rights cease

TIME KEEPING

- 50 times of finishers to be taken and entered

TIME TRIALS

- 78 Controlling Body: determination of race to be time trial

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- 5 certificate of dimensions
- 4 inspection

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- 90 application for licence
- 190 person left in charge of a horse
- 119 relinquishment of training a horse
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- 291 list
- 292 notification of arrears
- 293,294 payments
- 295 restrictions on listed persons
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