



HARNES CLAIMING RACE AUTHORIZATION
(AUTHORITY TO NOMINATE & TRANSFER A HORSE)

NAME OF HORSE: _____ REG. CERT. No: [] [] [] [] []

DATE: _____
MINIMUM CLAIMING PRICE: \$ _____ INCLUDES GST YES [] NO []
To be shown in multiples of \$1000

AUTHORITY EXPIRY DATE: ____/____/____ 1 IS THE HORSE SUBJECT TO A CURRENT LEASE? YES [] NO []
DEFAULT EXPIRY DATE 12-MONTHS FROM THE DATE OF APPLICATION
(RULE75A) 2 FILLY/MARE SERVICE DATE: ____/____/____ THE FILLY/MARE IS PREGNANT YES [] NO []

- 1. I/We hereby give authority for the above named horse to be entered in and accepted for claiming races conducted under the RWAA Rules of Harness Racing 2004, 2 and agree to notify the RWAA Registrar immediately the filly/mare is served.
2. I/We hereby authorize the trainer who has lodged a current Stable Return in respect of the above horse, to enter the horse for Claiming Races and to vary the Claiming Price of the horse to an amount not less than the minimum Claiming Price shown above.
3. I/We declare that I/We own the horse solely and there is no other person/s having any share or interest in the horse except as described in Clause 5 below, and I/We shall relinquish ownership of the horse or any other interest I/We may have in the horse by my/our signature/s on this Authority in the event of a successful claim. In such event, this document becomes and forms part of the Transfer of Ownership documents.
4. I/We hereby agree to indemnify RWAA and/or and claimant/s against any action which may result from a transfer or ownership caused by the said horse being claimed.
5. I/We declare that I/We will make payment of the outstanding contingency or encumbrance applicable to the sale of this horse in the event of a successful claim.

Contingency or Encumbrance Details

Where the horse is the subject of a current lease the lessee/s must also sign below. Any other person who has a legal interest in the horse must also sign (Affix another completed Authority if there is insufficient space below for all parties to sign. In such case number this Authority Part "A" and the second Authority Part "B").

- 6. 1 Where the horse is the subject of a current lease I/We the Lessee/s hereby agree that subject to Rule 75(2) (i) & (m) the Lease Agreement shall be cancelled (determined) immediately the horse is claimed.

ALL OWNERS (INCLUDING LESSEES) MUST COMPLETE THE DETAILS BELOW (ALL SUCH DETAILS MUST BE WITNESSED)

Table with 4 columns: OWNER DETAILS, OWNER DETAILS, LESSEE DETAILS, LESSEE DETAILS. Rows 1-5 for Owners 1-5 and Lessees 1-5.



CLAIMING RACE RULES (RWAA Rules of Harness Racing 2004)

72. Claiming races

- (1) The Controlling Body may determine a race to be a claiming race.
- (2) Subject to Rule 77 a horse cannot be claimed unless a claiming race is conducted and an official result declared.
- (3) An official who is in any way involved in the conduct of a claiming race is ineligible to claim a horse from that race.

73. Entitlement to claim

- (1) In or with respect to a claiming race a person shall not -
 - (a) claim directly or indirectly a horse owned, trained or driven by that person;
 - (b) claim a horse for another person unless authorised in writing to do so;
 - (c) enter into an agreement to claim or not to claim or to prevent or attempt to prevent a person from claiming a horse.
- (2) A person who fails to comply with any provision of this rule is guilty of an offence.

74. Claim by syndicate

Notwithstanding rule 73 a horse owned by a syndicate or otherwise jointly owned may, where the syndicate or joint ownership arrangement is being dissolved, be claimed by a member of that syndicate or party to the joint ownership arrangement.

75. Conditions for claiming races

- (1) The terms, conditions and procedures relating to the nomination of horses in a claiming race, the organisation and conduct of the race and the claiming of a horse from the race, shall be as determined by the Controlling Body.
- (2) Subject to any determination made under sub rule (1) the following applies -
 - (a) The amount of the claiming price plus the fees payable in respect of the transfer of registration must be paid by the claimant before the commencement of the race to the Controlling Body or Club conducting the race by either:
 - (i) Lodging a bank cheque marked "A/C Payee Only" or
 - (ii) Establishing an appropriate credit arrangement.
 - (b) a current claiming race authorisation form duly completed and signed by the owner must be on file with the Controlling Body before the close of nominations for the claiming race in which the owner's horse is to be a starter;
 - (c) the claiming price for a horse in a claiming race is to be printed in the race book;
 - (d) A claim must be made on the approved form and be placed in the claim box not less than 15 minutes before the advertised starting time of the race and once made cannot be withdrawn.
 - (dd) A person shall not lodge more than one claim in a claiming race;
 - (e) the claim box shall be under the control of the chief steward;
 - (f) the chief steward shall open the claim box no earlier than 15 minutes before the start of the race and no later than immediately after the race and determine the claims;
 - (g) should more than 1 claim be made for the same horse the successful claimant shall be determined by the chief steward by conducting a ballot;
 - (h) a claimed horse with its head collar or halter and a detailed schedule in writing of the harness used on the horse and without altering or removing the horse's shoes, shall be delivered immediately by the original owner or his trainer or authorised agent to the successful claimant upon authorisation of the chief steward;
 - (i) every horse claimed shall race in the claiming event in the interest and for the account of the person who owned it at the time of acceptance for the race but, subject to paragraph (m) of this sub rule, title to the horse shall vest in the person who becomes the successful claimant immediately upon the start of the race and regardless of death or injury to the horse during the race;
 - (j) the chief steward may require a claimant to declare that he or she is claiming the horse on the claimant's own account;
 - (k) for 30 days after claiming, a horse is ineligible to start in a race in the interest and for the account of the person who owned it at the time of acceptance for the claiming race, nor during that period shall the horse remain in or return to the ownership, care or management of that person unless it be reclaimed out of another claiming race;
 - (kk) for 30 days after claiming, a horse shall not remain in or return to the care or management of the trainer who trained it at the time of claiming except with the permission of the Chairman of Stewards, unless it be reclaimed out of another claiming race;
 - (l) any eligible horse in the declared field for a claiming race including emergencies can be claimed;
 - (ll) where a horse is declared to race in a claiming race and is subsequently withdrawn on veterinary advice, the trainer shall at the time of its withdrawal produce a veterinary certificate stating precisely the reason for the withdrawal.
 - (lll) where a horse is declared to race in a claiming race and is subsequently withdrawn, the trainer shall if so directed by the stewards bring the horse to the racecourse
 - (m) if a claimed horse returns a positive swab, the claimant may repudiate the claim within seven days of the claimant being notified by the Controlling Body that the first analysis of the swab has reported the presence of a prohibited substance or before the horse has its next start following the notification, whichever is the sooner and deliver the horse to the person who was the owner at the time of its acceptance for the claiming race;
 - (n) where a horse is accepted for a claiming race, no transfer of ownership shall have any legal effect during the period commencing from date of acceptance and terminating upon the declaration of an official result in the claiming race;
 - (o) the club conducting a claiming race shall pay the price to the former owner as soon as possible after the transfer to the successful claimant has been registered by the Controlling Body.

75A. Application Form – Claiming Race

- (1) The owner, lessee and any other person with an interest in a horse to be nominated for a claiming race must:-
 - (a) complete form R75-A and lodge it with the Controlling Body;
 - (b) if the horse to be nominated is a filly or mare, declare whether the horse has been served or is pregnant and the term of the pregnancy as at the time of nomination.
- (2) The amount of the claiming price plus the fees payable in respect of the transfer of registration must be paid by the claimant to the Controlling Body or Club conducting the race by either:
 - (i) lodging a bank cheque marked "A/C Payee Only" or
 - (ii) establishing an appropriate credit arrangement.



76. Offences - claiming races

- (1) A person who fails to comply with paragraph (h) or paragraph (j) of rule 75(2) is guilty of an offence.
- (2) A person who deals with a horse contrary to the requirements of paragraph (k) of rule 75(2) is guilty of an offence.
- (3) Where a claimant repudiates a claim under paragraph (m) of rule 75 (2) the owner of the horse at the time of its acceptance for the claiming race -
 - (a) is liable to reimburse the claimant for moneys spent on the horse's care and sustenance;
 - (b) shall hold the claimant indemnified against any claim for the horse's care and sustenance and also any claim arising out of the death of, or injury to, the animal not directly attributable to the negligence of the claimant.
- (4) A person who fails to comply with any provision of sub rule (3) is guilty of an offence.
- (5) A person who refuses to accept delivery of a horse pursuant to paragraph (m) of rule 75(2) is guilty of an offence.
- (6) If a person fails to comply with the requirements of sub-paragraph (b) of Rule 75A (1) and the horse is claimed, the claimant may repudiate the claim within seven (7) days of the claimant providing a veterinary certificate to the Controlling Body that the horse is pregnant with such certificate to be provided to the Controlling Body within fourteen (14) days of the claim.
- (7) Where a horse is involved in an offence arising under this rule, the Controlling Body may take such action with respect to the horse as it thinks fit.

77. Claims in non-claiming races

- (1) With the approval of the Controlling Body a horse may be claimed in a race which is not a claiming race.
- (2) Where approval is given under sub rule (1) the provisions of rules 73, 74, 75 and 76 apply to the race in question unless the Controlling Body makes any alterations to suit the requirements of a particular race.

77A Claims in Heats and Finals

- (1) A horse may not be claimed in a heat.
- (2) A horse which has qualified for the final is eligible to be claimed irrespective of whether the horse is in the declared field for the final or not and whether the horse participates in the final or not.

OWNER / LESSEE NOTE

COMPLETE CLAUSE 5 HEREIN IF THERE IS AN OUTSTANDING ENCUMBRANCE OR CONTINGENCY ON THIS HORSE