



RACING AND WAGERING WESTERN AUSTRALIA

ABN 21 347 055 603

RACING AUSTRALIA - REGISTRATIONS

Level 1 Epsom Road

Flemington

VICTORIA 3031

Telephone 1800 870 799

Email: [deputyregistrar@racingaustralia.horse](mailto:deputyregistrar@racingaustralia.horse)

FEE \$287.00



APPLICATION TO REGISTER
A THOROUGHBRED ORDINARY SYNDICATE
(OTHER THAN A COMPANY, FIRM OR STUD)

OFFICE USE ONLY
NAME ALLOCATED

The person/s whose name/s appears in this application hereby apply for approval to racehorses under the RWAA rules of thoroughbred racing as a syndicate named:

The syndicate names requested in order of preference are:

- 1.
2.
3.

Is this syndicate being registered for the purposes of a micro-ownership scheme (i.e. syndicate underpinned by a large number of persons that hold units in a separate instrument)? [Yes / No]

If yes, it is a condition of registration that you agree to comply with Racing Australia's micro-ownership syndicate requirements and each unitholder must agree to Racing Australia's micro-ownership terms and conditions. Please contact RWAA Licensing & Registrations Department for a copy of these documents.

THE FOLLOWING MEMBER OF THE SYNDICATE HAS BEEN APPOINTED AS ITS MANAGER:

MANAGER (Surname) (Given Names)

SIGNATURE: DATE:

TELEPHONE: MOB: EMAIL:

SYNDICATE POSTAL ADDRESS:

THE MANAGER HAS APPOINTED THE FOLLOWING MEMBER/S AS REPRESENTATIVES IN ACCORDANCE WITH CLAUSE 15.

Table with 3 columns: Representative Name, Surname, Given Names. Rows for Representative 1, 2, and 3.

**NAME OF HORSE:** \_\_\_\_\_  
(A completed transfer or lease document for this horse must accompany this application)

**DAM'S NAME:** \_\_\_\_\_

**DATE OF FOALING:** \_\_\_\_\_

**PURCHASE PRICE PAID BY SYNDICATE:** \$ \_\_\_\_\_

**NUMBER OF EQUAL SHARES IN SYNDICATE:** \_\_\_\_\_

**COST OF EACH EQUAL SHARE:** \$ \_\_\_\_\_

**SYNDICATE COMMENCEMENT DATE:** \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

**TOTAL MONTHLY FEE PAID BY EACH MEMBER:** \$ \_\_\_\_\_

**OF WHICH NOT MORE THAN:** \$ \_\_\_\_\_ SHALL BE PAID TO THE MANAGER FOR HIS/HER SERVICE TO THE SYNDICATE.

**NOTE:** Please supply details of any other application for, or registration of this Syndicate with any other Principal Racing Authority.

Please refer to RWWA website for [RWWA's Privacy Statement](#).

## SYNDICATE MEMBERS DECLARATION

AS A SIGNATORY TO THIS APPLICATION I DECLARE THAT I AM OVER 18-YEARS OF AGE AND AM NOT UNDISCHARGED, BANKRUPT OR DISQUALIFIED UNDER ANY RULES OF RACING AND FURTHER DECLARE THAT I HAVE READ AND AGREE IN ALL RESPECTS TO BE BOUND AND COMPLY WITH THE SYNDICATE TERMS AND CONDITIONS UNDER THE RWWA RULES OF THOROUGHBRED RACING. I FURTHER AGREE THAT IF I HAVE BEEN CONVICTED OF OR HAVE A PENDING CHARGE AGAINST ME FOR A CRIMINAL OFFENCE OR HAVE BEEN CONVICTED OF AN OFFENCE UNDER THE RWWA RULES OF RACING (THOROUGHBRED, HARNESS OR GREYHOUND) OR THE RULES OF ANY OTHER RACING JURISDICTION IN THE PAST TEN YEARS, I WILL NOTIFY THE DEPUTY REGISTRAR IN WRITING WITH THIS APPLICATION AND AGREE THAT SUCH NOTIFICATION MUST INCLUDE FULL DETAILS OF THE CONDUCT IN QUESTION.

**NOTE:** THE SYNDICATE MANAGER MUST BE THE FIRST PERSON NAMED.  
NOT MORE THAN 20 PERSONS MAY BE MEMBERS.

	SURNAME	GIVEN NAMES	NO SHARE	DOB	SIGNATURE
<b>MANAGER (1)</b>				/ /	
POSTAL ADDRESS					TELEPHONE
<b>MEMBER (2)</b>				/ /	
POSTAL ADDRESS					TELEPHONE
<b>MEMBER (3)</b>				/ /	
POSTAL ADDRESS					TELEPHONE
<b>MEMBER (4)</b>				/ /	
POSTAL ADDRESS					TELEPHONE
<b>MEMBER (5)</b>				/ /	
POSTAL ADDRESS					TELEPHONE
<b>MEMBER (6)</b>				/ /	
POSTAL ADDRESS					TELEPHONE
<b>MEMBER (7)</b>				/ /	
POSTAL ADDRESS					TELEPHONE
<b>MEMBER (8)</b>				/ /	
POSTAL ADDRESS					TELEPHONE
<b>MEMBER (9)</b>				/ /	
POSTAL ADDRESS					TELEPHONE
<b>MEMBER (10)</b>				/ /	
POSTAL ADDRESS					TELEPHONE

	SURNAME	GIVEN NAMES	NO SHARE	DOB	SIGNATURE	
<b>MEMBER (11)</b>				/ /		
POSTAL ADDRESS					TELEPHONE	
<b>MEMBER (12)</b>				/ /		
POSTAL ADDRESS					TELEPHONE	
<b>MEMBER (13)</b>				/ /		
POSTAL ADDRESS					TELEPHONE	
<b>MEMBER (14)</b>				/ /		
POSTAL ADDRESS					TELEPHONE	
<b>MEMBER (15)</b>				/ /		
POSTAL ADDRESS					TELEPHONE	
<b>MEMBER (16)</b>				/ /		
POSTAL ADDRESS					TELEPHONE	
<b>MEMBER (17)</b>				/ /		
POSTAL ADDRESS					TELEPHONE	
<b>MEMBER (18)</b>				/ /		
POSTAL ADDRESS					TELEPHONE	
<b>MEMBER (19)</b>				/ /		
POSTAL ADDRESS					TELEPHONE	
<b>MEMBER (20)</b>				/ /		
POSTAL ADDRESS					TELEPHONE	

THE ABOVE SIGNATORIES TO THIS APPLICATION HEREBY AUTHORISE THE APPOINTED MANAGER TO EXECUTE REGISTRATION DOCUMENTS ON BEHALF OF THIS SYNDICATE.

# **SYNDICATE TERMS AND CONDITIONS**

**Important Note:** Racing & Wagering WA (RWWA) accepts no responsibility for any problems that may arise out of the way Syndicates are managed or operated. Accordingly if any Syndicate Member feels aggrieved by the management or conduct of a particular Syndicate he or she must be prepared to resolve the matter privately or take such legal steps as his or her private legal advisors may advise. In this regard the attention of Syndicate Members is drawn to Rule 69M of the RWWA Rules of Thoroughbred Racing: *69M. A Principal Racing Authority shall not have any responsibility for the due observance by the persons concerned of any Syndicate agreement or any other instrument relating to a Syndicate or for the performance of any legal or equitable obligations of any members of a Syndicate.*

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## 1. Definitions:

- (a) "The Syndicate" means the Syndicate as defined by the RWWA Rules of Thoroughbred Racing (herein after referred to as "the Rules") and all the members thereof (hereafter referred to as "Members").
- (b) "The Principal Racing Authority" means any Principal Racing Authority as defined by the Rules.
- (c) "The Syndicate's Horse(s)" means the horse(s) registered as or to be registered by the Syndicate.
- (d) "The Manager" means the person required under the Rules to act for and represent the Syndicate in all respects.
- (e) "Monthly Fees" means the Calendar Monthly expenses payable in respect of the Syndicate shares held and payable on account of and including breaking in, stabling, training, racing, floating and other transportation, spelling, agistment, insurance, veterinary services, Jockeys fees (other than Prize money percentages), gear, colours, bulletins and other communications, calling of Meetings, stamp duties, postage, management, printing of accounts, and all other costs associated with the Syndicate.
- (f) "Ordinary Resolution" means —
  - (i) A resolution passed by Members holding a majority of shares at a duly convened meeting – or
  - (ii) A resolution contained in a letter presented to RWWA signed by Members holding a majority of shares.
- (g) "Shares" means the shares in the Syndicate.
- (h) "Special Resolution" means —
  - (i) A resolution passed by Members holding in aggregate at least seventy five per cent (75%) of Shares at a duly convened meeting – or
  - (ii) A resolution contained in a letter presented to RWWA signed by Members holding in aggregate at least seventy five per cent (75%) of the Shares.
- (i) "Duly Serving" means either delivering personally to the person concerned or sending it by prepaid post to the person to be served at the address shown in the Register maintained by the Manager.

- 2. (a) The application to register the Syndicate shall be submitted by the Manager for approval and due registration by RWWA and thereafter the Manager shall forward to the Members a copy of this Agreement.
- (b) No Disqualified Person (within the meaning of that term as provided in the Rules shall be eligible to become or to continue as a Member of the Syndicate.

- 3. (a) The Monthly Fees for each Share shall become due and payable to the Manager by each of the Members on the first day of EACH and every MONTH during the currency of the Syndicate. For the purposes of this Agreement a bank account shall be established and operated by the Manager in the Syndicate's name, together with such other signatories as are from time to time decided by Ordinary Resolution.
- (b) From the Monthly Fees the Manager shall pay all of the Syndicate expenses including payment to the Manager for his services to the Syndicate of an amount agreed upon by the syndicate members each calendar month.
- (c) The Monthly Fees may be varied by the Manager by Duly Serving notice in writing on the Members specifying the reason for and the nature of the variations.

- 4. (a) The Manager for the time being shall be the member first nominated on the Syndicate Application form.
- (b) Subject to Clause 4(c) and any Ordinary or Special Resolutions which are not inconsistent with this Agreement and the Rules, the Manager has complete power to manage the Syndicate's Horse(s) on behalf of the Syndicate.

(c) The Syndicate shall from time to time by Ordinary Resolution determine if and when the Syndicate's Horse(s) (or any progeny) shall commence their racing career, retire for breeding or stud duties, be gelded, or sold or change trainer.

(d) Without limiting the generality of Clause 4(b) the Manager's duties include —

- (1) The keeping of a Register noting:
  - (i) The names and addresses of the Members and the number of shares held by each, and
  - (ii) Dates of entries in the Register.
- (2) Keeping the accounts of the Syndicate and if requested by the members, send a copy thereof to the Members every THREE Months.
- (3) Ensuring that all fees owing by the Members are paid and that at all times the Members are financial.
- (4) Insuring and keeping insured the Syndicate's Horse(s) to protect the best interests of the Members.
- (5) Preparation and distribution of monthly bulletins.
- (6) Completing and lodging with RWWA in the form from time to time prescribed the particulars of such additional horse acquired by the Syndicate immediately after each additional horse is acquired, together with the prescribed fee.

- (7) Notifying RWVA in writing of every change in the membership of a Syndicate (including a death of a Member), every change in the percentage of a Member's interest in the Syndicate, and every change in the Member's registered address, within fourteen days of the change.
- (8) Ensuring that any new Member joining the Syndicate shall execute a Standard Syndicate Agreement and forward it to RWVA concerned together with the prescribed fee.
- (9) Punctual compliance in all respects with the requirements of RWVA from time to time in force relating to syndicated horses.
- (10) Ensuring that at all times the syndicate is fully subscribed.
- (11) Ensuring that the Syndicate's horse(s) remain in the State in which the Syndicate was registered unless the members of the Syndicate so decide by an Ordinary Resolution.
- (e) The Manager may retire thirty (30) days after Duly Serving the Members with a notice of his intention so to do and he may be removed by Ordinary Resolution.
- (f) Upon retirement or removal of the Manager, the person named after the name of the Manager on the Certificate of Registration of the first horse registered by the Syndicate shall be the new Manager of the Syndicate unless the Members by Ordinary Resolution appoint another one of their number to be the Manager. The Syndicate shall immediately notify RWVA upon the resignation or removal of the Manager or the appointment of a new Manager.
5. All prize moneys received by the Syndicate shall be distributed by the Manager on a monthly basis to the Members PRO-RATA to the number of Shares held by each of them PROVIDED THAT the Manager may set off against any Members entitlement any amounts then outstanding by that Member on account of Monthly Fees.
6. The Syndicate's Horse(s) shall be available for inspection at all reasonable times after arrangements for any such inspection have been made by the Member with the Manager.
7. The Manager shall acquire from the relevant Racing Club such enclosure passes as may be available for any race meeting at which the Syndicate's Horse(s) is engaged and such passes shall be issued on a rotational basis to any Member who may require them.
8. Shares may be offered for sale during the currency of the Syndicate provided that the remaining Members shall have the first option to purchase them. In the event that the Manager disposes of such Shares for a Member a commission of not more than 8% may be due and payable to the Manager therefore.
9. (a) The Manager may at any time call a meeting of Members.  
 (b) The Manager shall call a meeting of Members within fourteen (14) days of receiving a requisition in writing signed by Members holding in the aggregate at least twenty-five (25%) of the total number of Shares.  
 (c) A Member's requisition must state clearly the objectives of the meeting and the terms of any resolution proposed to be submitted to the meeting.  
 (d) The Manager shall call meetings by Duly Serving Members with a circular giving fourteen (14) days clear notice of the meeting and specifying:  
     (i) the time and place of the meeting  
     (ii) the objectives of the meeting and the terms of any resolution proposed to be submitted to the meeting, and  
     (iii) a statement that postal votes for any resolution will be accepted and that Members may appoint a proxy to attend in their stead.  
 (e) The quorum for meetings shall be Members who hold in the aggregate at least twenty-five per cent (25%) of the Shares, present personally or by proxy.  
 (f) Each Share shall carry the right to one vote.  
 (g) Any Member may at or after a meeting inspect all documents relating to the giving of votes on any matter determined at that meeting.
10. In the event that any Member fails to pay the Monthly Fees payable by him hereunder within one month after being Duly Served with a written notice demanding payment the Manager shall cause the defaulting Member Share(s) in the Syndicate to be de-registered and such shareholding shall then be offered for sale. Any moneys remaining after settlement of the amounts due by the defaulting Member shall become due and payable to the defaulting Member and such Member shall do all things necessary to give effect to this clause.
11. Shares may be held in more than one name and will be registered with the Manager accordingly. However, this Agreement must be executed by each of the joint owners.
12. The terms and conditions of this Agreement may only be amended by Special Resolution.
13. The Members hold their respective shares in the Syndicate as beneficial owners and tenants in common of the Syndicate's Horse(s) and all other assets of the Syndicate.
14. (a) Every Syndicate shall be terminated if:  
     (i) the Syndicate's horse(s) has been sold or otherwise disposed of on behalf of the Syndicate or has died, or  
     (ii) the Syndicate so resolves by Special Resolution.

- (b) Upon termination of the Syndicate the Manager shall sell or otherwise convert the assets of the Syndicate into cash and divide the proceeds (less all proper costs and outgoings and any provision for liabilities) among the Members in proportion to the number of Shares held by them respectively, after deducting from a Member's Share of these proceeds any Monthly Fees then due but unpaid by that Member, and the Syndicate's registration with RWWA shall be cancelled.
15. The Manager may appoint at any time up to three Members who in addition to himself shall be registered on the Certificate of Registration of the Syndicate (such persons to be known as Syndicate Representatives). The first named person on the Certificate of Registration of the Syndicate shall be the Manager. The Syndicate Representatives shall act in accordance with all Ordinary and Special Resolutions of the Members which are not inconsistent with this Agreement and the Rules of Racing.
16. (a) A Syndicate Representative may resign thirty (30) days after Duly Serving the Members with a notice of his intention so to do and he may be removed by Ordinary Resolution.  
(b) Upon resignation or removal of one or more of the Syndicate Representatives, the Syndicate Members may by Ordinary Resolution appoint one or more of their number to be Syndicate Representative/s. The Syndicate shall immediately notify RWWA of the resignation, removal or appointment of a Syndicate Representative.
17. The Members hereby indemnify the Manager and the Syndicate Representatives in their capacity as Manager and Syndicate Representatives for all payments required in respect of the fees and expenses associated with any Syndicate Horses.

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### **APPOINTMENT OF THE SYNDICATE MANAGER**

The syndicate shall appoint a manager pursuant to RWWA Rules of Thoroughbred Racing.

**"Manager"** means the first-named person recorded by the Registrar of Racehorses in the official ownership records including the transfer or lease (if leased) of a horse, or if the horse is owned or leased by a syndicate, the person first-named in the Certificate of Registration of the syndicate, subject always to the provisions of A.R. 57(1). If the horse is owned or leased by more than one syndicate, the first-named person appearing in the Certificate of Registration of the first-named syndicate shall be deemed to be the manager.

#### **AR.57.**

- (1) The manager may be removed or replaced by a memorandum signed by the joint owners or lessees or syndicate members representing a majority interest in the horse.
- (2) The manager of a horse shall, alone of the joint owners, lessees or syndicate members be entitled to:
- (a) enter, nominate, accept or scratch such horse for any race;
  - (b) engage a jockey to ride such horse for any race;
  - (c) receive any prize money or trophy won by such horse; or
  - (d) act for and represent the joint owners, lessees or syndicate members in relation to the horse in all respects for the purpose of these Rules.
- (3) The entry or nomination of every such horse for any race shall state thereon the name of the manager.
- (4) The trainer of any such horse who enters, nominates, accepts or scratches such horse shall be deemed to have done so with the authority of the manager and all other nominators.

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Please refer to RWWA website for [RWWA's Privacy Statement](#).

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# RWWA LICENSED PERSONS - USE OF SOCIAL MEDIA POLICY

## Introduction

This document outlines the expectations of RWWA, and provides guidance, with regards to the use of Social Media by persons who are licensed within the Racing Industry in all three codes of Racing.

## Purpose

Social Media, including blogs, chat rooms, forums, facebook, twitter, youtube and other public media are a popular and ever-changing way for persons to interact with each other and share comment and opinions on issues.

RWWA acknowledges that Social Media is an important medium for persons within the Racing industry to engage with others, voice their opinions and be involved in debate on industry issues.

This Policy provides licensed persons with guidance as to the expectations of RWWA where Social Media comment/discussion/content or publication relates to anything in connection with Racing. It is designed to protect the interests of Racing and RWWA and the persons associated with them.

This Policy requires that when using Social Media persons are clear about their responsibilities in regard to the image of Racing, and ensure that any references to Racing are factually correct and accurate and do not breach confidentiality or integrity requirements. Person must show respect for the individuals and groups with which they may interact.

This Policy does not apply to personal use of Social Media by licensed persons where the user makes no reference to Racing or Racing related issues.

## Scope

This Policy applies to all persons defined as Licensed within the Policy.

## DEFINITIONS- for the purpose of this Policy:

“Licensed Person” means any Thoroughbred, Harness Racing or Greyhound;

AI Technician	Bookmaker's Clerk	Driver's Agent	Owner
Attendant	Breeder	Farrier	Rider's Agent
Apprentice Jockey	Catcher	Handler	Stablehand
Bookmaker	Driver	Jockey	Strapper
Studmaster	Track Rider	Trainer	Veterinary Surgeon

*NB: Person's mentioned above that do not hold a specific license with RWWA, are bound by the Rules of Racing in accordance with section 45(6) of the Racing and Wagering Act 2003 and for the purposes of this policy are therefore defined within the policy as "Licensed Persons".*

“Racing/Racing Industry” means Thoroughbred, Harness or Greyhound Racing wherever in the world it is conducted.

“RWWA” means Racing and Wagering Western Australia.

“Social Media” means, but is not limited to, the personal or business use of:

- Social networking sites e.g. Facebook, MySpace, Bebo, Friendster and LinkedIn;
- Micro blogging sites e.g. Twitter;
- Weblogs, like Wordpress and Blogger, including corporate blogs, personal blogs or blogs hosted by media outlets;
- Online newspapers allowing comments;
- Wikis and online collaboration sites, like Wikipedia;
- Forums and discussion boards and groups, e.g. Whirlpool, Yahoo! groups or Google groups, including racing and sports related forums;
- Online multiplayer gaming;
- Instant messaging (SMS);
- Video and photo sharing websites e.g. Flickr and You Tube;
- Any Mobile app that allows reviews, comments or geo-tagging.

“Social Media platforms/channels” means Social Media platforms or Social Media channels are the sites used for Social media purposes, like Facebook, Twitter, blogs etc.

“Publish/Publications/Posts” means publishing, publications, posts and posting in this document all mean anything written, uploaded or otherwise published on a Social Media platform.

“Engage/Engagement” means actively publishing content of any type (text, photos, videos etc.) on Social Media channels as a reaction to other online publications.

“Content” means any text, image or video that is ready for publication or has been published on a Social Media platform.

## Use of Social Media

Licensed Persons must not use Social Media to:

- Make/Publish any comments, which may be deemed to be detrimental to the image of Racing, or which may bring Racing into disrepute;
- Be disrespectful or abusive to any individuals or communities with which they interact/engage with;
- Use the identity of another licensed person or Racing official;
- Contravene any Rules of Racing;
- Disclose any information that is not readily available to the Public or disclose any confidential information (eg matters which are the subject of an ongoing Stewards inquiry or investigation);
- Publish any information in relation to Racing that is not factual or informed;
- Post material, content or comment, that is obscene, offensive, defamatory, disparaging, racist, threatening, harassing, bullying, discriminatory, hateful or abusive to another person or entity including Racing and RWWA, its employees, officials, other participants, contractors, partners and competitors.

Licensed Persons when using Social Media must:

- Adhere to the Terms of Use, and seek to conform to the cultural and behavioral norms, of the Social Media platform being used;
- Identify themselves as a licensed person if they are referring to any matter involving RWWA, its people, its officials, other licensed persons or competitors and/or other racing related individuals or organizations;
- Not publish/post any content, in relation to Racing, that is false, misleading or unsubstantiated;
- Respect copyright, privacy, financial disclosure and other applicable laws;
- Be polite and respectful of other individuals and communities opinions;
- Ensure that anything published is free from harassment regarding racial, ethnic, religious, physical or sexual characteristics or sexual orientation;
- Not make an assumption that their identity as a Licensed Person, within the Racing Industry, may not be revealed.

**A breach of this Policy may result in that person, or persons, being called to appear before the RWWA Stewards to face the possibility of disciplinary action. As a result of these proceedings a Charge(s) may be laid under the Rules of Racing with penalties of fines, suspensions, disqualifications or cancellations of licenses being invoked.**

Any queries in relation to this Policy should be directed to the RWWA Stewards Department.

*(Paragraph in italics added to "Licensed Person" definition 25/2/13)*







RACING AND WAGERING WESTERN AUSTRALIA  
ABN 21 347 055 603

# HOW TO PAY

Phone





Please call the telephone number on your document

Or


Credit Card

Accepted Credit Cards:

Please complete the details below then detach the slip and return it with your completed application

Detach and Return with your application



RACING AND WAGERING WESTERN AUSTRALIA

**CREDIT CARD AUTHORISATION SLIP**

Credit Card Type please tick  VISA  MASTERCARD

Card No.					Card Expiry Date /
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Name on Card \_\_\_\_\_ Amount \$ \_\_\_\_\_

Card Holders Signature \_\_\_\_\_ Date \_\_\_\_\_

I agree to RWAA charging my credit card account for the amount shown above

Contact Telephone Number. \_\_\_\_\_