ABN 21 347 055 603

Licensing & Registrations

14 Hasler Road OSBORNE PARK WA Telephone 08 9445 5558 Facsimile 08 6314 4792

Email: licreginfo@rwwa.com.au

THOROUGHBRED FARRIER NEW LICENCE APPLICATION

FEE - \$92.00 (PERIOD 01.04.20 TO 31.03.22) FEE - \$46.00 (PERIOD 01.04.21 TO 31.03.22)

2 YEAR LICENCE

PLEASE ATTACH PAYMENT

MR/MRS/MISS/MS	DETAIL	S-PLEAS	E COMPLE	TE
Surname :		Given Names:		
Postal Address:				
Residential Address	:			
Contact Telephone Numbers	Publication	Residential	Business	Mobile
Email Address:			1	
Date of Birth: (Day)_	(Month)	(Year)		
[]√ Tick here if you	u do not want your con	tact details divulged or	published in the Racing	g Ahead Magazine.

APPLICANT MUST ANSWER ALL THESE QUESTIONS

1.	Have you previously held a Farrier's Licence? If YES show details here or attach separate report:	YES/NO
2.	Have you ever been refused a licence or registration or had a licence revoked or cancelled by any Thoroughbred, Harness or Greyhound Racing Controlling Body or Club? If YES show details here or attach separate report:	YES/NO
3.	Have you ever been suspended, disqualified, warned off, fined or listed as a defaulter by any Thoroughbred, Harness or Greyhound Racing Controlling Body or Club? If YES , provide details	YES/NO
4.	Have you been convicted for any criminal offence punishable by fine or imprisonment or have you ever been or are you now subject to a bond or recognizance? If YES provide details including copies of Court Rulings.	YES/NO
5.	Are you or have you ever been declared as bankrupt? If YES show details here or attach a separate report.	YES/NO
5.	Have you previously been licensed in any capacity by any Thoroughbred, Harness or Greyhound Racing Controlling Body? If YES show details here or attach separate report:	YES/NO
6.	Are you self-employed? YES[] NO[]	YES/NO
If Y	es, provide business address	1
If N	o, provide name of employer	
Em	ployer's Address	
7.	Have you achieved a Certificate III in Farriery? YES[] NO [] If yes, attach copy of certificate	YES/NO

Form: LRT-017 Profile Code: N/A

8. Provide the names, telephone number and address of two persons from whom a character reference can be obtained.
(a)
(b)
9. Provide a brief summary of your experience relevant to this application

Privacy - The information collected on this form will be used for the purposes of transacting Racing & Wagering Western Australia (RWWA) business.

PLEASE COMPLETE AND RETURN TO RWWA WITH PAYMENT & REQUIRED ATTACHMENTS LISTED IN "IMPORTANT INFORMATION"

APPLICANT'S DECLARATION

- 1. I hereby authorise Racing and Wagering Western Australia (RWWA) to check any details of information given in this statement, including but not limited to, criminal convictions, financial commitments, etc. as the Authority in its absolute discretion deems necessary.
- 2. I acknowledge, if I am applying for a trainer's licence/permit that
 - (a) I will take out Workers Compensation insurance when I employ workers ("Workers" as defined in the Act) to assist me in my training activities
 - (b) The training of all Horses/Greyhounds in my care will be under my personal supervision
 - (c) I will notify RWWA immediately whenever a Horse/Greyhound leaves my control or comes under my control where it is my intention to prepare the Horse/Greyhound to participate in trials or races and
 - (d) I will permit the Stewards or Officials of RWWA to enter at any time and inspect my property and to exercise their powers under the Rules
- 3. If I am applying for a trainer's licence/permit, I acknowledge my application will be rejected if I do not have public liability insurance.
- 4. If I am charged with an offence, by Police or one that is punishable by fine or imprisonment, I undertake to contact the Stewards immediately upon being charged.
- 5. If I have been convicted of an offence punishable by fine or imprisonment in the previous 12-months, I undertake to contactthe Stewards (08 9445 5333) immediately and attach to this application a copy of my current Police Clearance Certificate anddetails of the Court ruling in relation to the offence.
- 6. I shall always conduct and present myself in a professional and proper manner and comply with RWWA Rules of Racing, Official's instructions and the powers afforded to them and that failure to do so can place my current and future involvement in the industry in jeopardy.
- 7. I acknowledge that in the event of becoming disqualified, I remain bound by and subject to, the Rules of Racing for the period of my disqualification, and that my current licence is forfeited and upon expiration of the disqualification I must re-apply for a Licence. I recognize in these circumstances such application may be refused or made subject to special conditions.
- 8. I acknowledge having read and understood the Rules of Racing and further undertake to read Official RWWA Publications so as to keep myself informed of any amendments to the said rules. I agree to be always bound by the Rules in force from time to time and any other lawful Rules, Regulations, Conditions and Directions as may from time to time be formed, made, or given by Stewards or Officials of RWWA.
- 9. I will provide the Licensing & Registrations Department with written advice of any changes to the details I have advised in this application within 48-hours of the change.
- 10. I hereby declare that all particulars stated on this application are true and correct, that I have read all the conditions appearing in this Application and acknowledge and agree to abide by all such conditions.
- 11. I acknowledge that the Stewards are empowered to punish any person who makes any false or misleading statement ordeclaration in respect of any matter in connection with the administration or control of Racing.
- 12. I agree that RWWA may record telephone conversations with you when you contact RWWA or are contacted by RWWA bytelephone line in relation to any licence or racing related matter.

to be true and by	virtue of the provisions of t	the Oaths, Affidavits ar	_(FULL NAME) make this solemn declaration consci nd Statutory Declarations Act 2005	entiously believing the same
Made and subscribe	ed by the above named an	d declared		
on	(Day)	(Month)	(Year)	Signature of Applicant
At	place			
Before me		Signatui	re of Witness*	_Full Name of Witness*

Witness must be the list of professions from the Oaths, Affidavits and Statutory Declarations Act 2005.

Form: LRT-017 Profile Code: N/A

IMPORTANT INFORMATION

- 1. LICENCE IS FOR A PERIOD OF 2 YEARS, OR PART THEREOF, AND EACH AND EVERY 2 YEARS AFTER THAT.
- 2. PAYMENT MUST BE ATTACHED FOR APPLICATION TO BE PROCESSED.
- 3. APPLICATION MUST BE ACCOMPANIED BY A CURRENT NATIONAL POLICE CLEARANCE, NOT OLDER THAN 6 MONTHS.
- 4. YOU MUST ATTACH A RECENT PHOTO WHICH CAN BE TAKEN WITH YOUR MOBILE.
- 5. YOU MUST ATTACH A FULL COPY OF EITHER ONE OF THE FOLLOWING PROOFS OF ID: BIRTH CERTIFICATE, CERTIFIED EXTRACT OF BIRTH, CURRENT PASSPORT or CURRENT DRIVERS LICENCE)

Form: LRT-017 Profile Code: N/A

On 1 January 2006 the Parliament of Western Australia proclaimed the *Oaths, Affidavits and Statutory Declarations Act 2005* which makes changes to the manner in which oaths, affidavits and statutory declarations are administered and witnessed

Professions that can witness statutory declarations include:

- Academic {post-secondary institution}
- Accountant
- Architect
- Australian Consular Officer
- Australian Diplomatic Officer
- Bailiff
- Bank Manager
- Chartered secretary
- Chemist
- Chiropractor
- Company auditor or liquidator
- Court officer {Judge, magistrate, registrar or clerk}
- Defence Force officer
- Dentist
- Doctor
- Electorate Officer {State WA only}
- Engineer
- Industrial organisation secretary
- Insurance broker
- Justice of the Peace {any State}
- Lawyer
- Local government CEO or deputy CEO
- Local government councillor
- Loss adjuster
- Marriage Celebrant
- Member of Parliament {State or Commonwealth}
- Minister of religion
- Nurse
- Optometrist
- Patent Attorney
- Physiotherapist
- Podiatrist
- Police officer
- Post Officer manager
- Psychologist
- Public Notary,
- Public Servant {State or Commonwealth}
- Real Estate agent
- Settlement agent
- Sheriff or deputy Sheriff
- Surveyor
- Teacher
- Tribunal officer
- Veterinary surgeon



RWWA LICENSED PERSONS - USE OF SOCIAL MEDIA POLICY

Introduction

This document outlines the expectations of RWWA, and provides guidance, with regards to the use of Social Media by persons who are licensed within the Racing Industry in all three codes of Racing.

Purpose

Social Media, including blogs, chat rooms, forums, facebook, twitter, youtube and other public media are a popular and ever-changing way for persons to interact with each other and share comment and opinions on issues.

RWWA acknowledges that Social Media is an important medium for persons within the Racing industry to engage with others, voice their opinions and be involved in debate on industry issues.

This Policy provides licensed persons with guidance as to the expectations of RWWA where Social Media comment/discussion/content or publication relates to anything in connection with Racing. It is designed to protect the interests of Racing and RWWA and the persons associated with them.

This Policy requires that when using Social Media persons are clear about their responsibilities in regard to the image of Racing, and ensure that any references to Racing are factually correct and accurate and do not breach confidentiality or integrity requirements. Person must show respect for the individuals and groups with which they may interact.

This Policy does not apply to personal use of Social Media by licensed persons where the user makes no reference to Racing or Racing related issues.

Scope

This Policy applies to all persons defined as Licensed within the Policy.

DEFINITIONS- for the purpose of this Policy:

"Licensed Person" means any Thoroughbred, Harness Racing or Greyhound;

Al Technician Bookmaker's Clerk Driver's Agent Owner Attendant Breeder Farrier Rider's Agent Catcher Handler Stablehand Apprentice Jockey Jockey Bookmaker Driver Strapper Track Rider Veterinary Surgeon Studmaster Trainer

NB: Person's mentioned above that do not hold a specific license with RWWA, are bound by the Rules of Racing in accordance with section 45(6) of the Racing and Wagering Act 2003 and for the purposes of this policy are therefore defined within the policy as "Licensed Persons".

"Racing/Racing Industry" means Thoroughbred, Harness or Greyhound Racing wherever in the world it is conducted.

"RWWA" means Racing and Wagering Western Australia.

"Social Media" means, but is not limited to, the personal or business use of:

- Social networking sites e.g. Facebook, MySpace, Bebo, Friendster and LinkedIn;
- Micro blogging sites e.g. Twitter;
- Weblogs, like Wordpress and Blogger, including corporate blogs, personal blogs or blogs hosted by media outlets;
- Online newspapers allowing comments;
- Wikis and online collaboration sites, like Wikipedia;
- Forums and discussion boards and groups, e.g. Whirlpool, Yahoo! groups or Google groups, including racing and sports related forums;
- Online multiplayer gaming;
- Instant messaging (SMS);
- Video and photo sharing websites e.g. Flickr and You Tube;
- Any Mobile app that allows reviews, comments or geo-tagging.

"Social Media platforms/channels" means Social Media platforms or Social Media channels are the sites used for Social media purposes, like Facebook, Twitter, blogs etc.

"Publish/Publications/Posts" means publishing, publications, posts and posting in this document all mean anything written, uploaded or otherwise published on a Social Media platform.

"Engage/Engagement" means actively publishing content of any type (text, photos, videos etc.) on Social Media channels as a reaction to other online publications.

"Content" means any text, image or video that is ready for publication or has been published on a Social Media platform.

Use of Social Media

Licensed Persons must not use Social Media to:

- Make/Publish any comments, which may be deemed to be detrimental to the image of Racing, or which may bring Racing into disrepute;
- Be disrespectful or abusive to any individuals or communities with which they interact/engage with;
- Use the identity of another licensed person or Racing official;
- Contravene any Rules of Racing;
- Disclose any information that is not readily available to the Public or disclose any confidential information (eg matters which are the subject of an ongoing Stewards inquiry or investigation);
- Publish any information in relation to Racing that is not factual or informed;
- Post material, content or comment, that is obscene, offensive, defamatory, disparaging, racist, threatening, harassing, bullying, discriminatory, hateful or abusive to another person or entity including Racing and RWWA, its employees, officials, other participants, contractors, partners and competitors.

Licensed Persons when using Social Media must:

- Adhere to the Terms of Use, and seek to conform to the cultural and behavioral norms, of the Social Media platform being used;
- Identify themselves as a licensed person if they are referring to any matter involving RWWA, its people, its officials, other licensed persons or competitors and/or other racing related individuals or organizations;
- Not publish/post any content, in relation to Racing, that is false, misleading or unsubstantiated;
- Respect copyright, privacy, financial disclosure and other applicable laws;
- Be polite and respectful of other individuals and communities opinions;
- Ensure that anything published is free from harassment regarding racial, ethnic, religious, physical or sexual characteristics or sexual orientation;
- Not make an assumption that their identity as a Licensed Person, within the Racing Industry, may not be revealed.

A breach of this Policy may result in that person, or persons, being called to appear before the RWWA Stewards to face the possibility of disciplinary action. As a result of these proceedings a Charge(s) may be laid under the Rules of Racing with penalties of fines, suspensions, disqualifications or cancellations of licenses being invoked.

Any queries in relation to this Policy should be directed to the RWWA Stewards Department.

(Paragraph in italics added to "Licensed Person" definition 25/2/13)

DISCRIMINATION / SEXUAL HARASSMENT STATEMENT



DISCRIMINATION AND SEXUAL HARASSMENT

RWWA wishes to remind all industry participants of their rights and responsibilities with regard to discrimination and sexual harassment.

Discrimination and sexual harassment are unacceptable and unlawful forms of behaviour.

All people have the right to work in an environment that is free of sexual harassment, victimisation and discrimination.

RWWA, as the regulatory body for racing in Western Australia, provides support services, to promote working environments which are free of sexual harassment and discrimination. These services include the following:

Contact with Industry Representatives

Availability of course material for trainees and apprentice jockeys

Contact with independent bodies, eg. Human Rights and Equal Opportunities Commission, Anti-Discrimination Commission

A range of options exists to ensure all those involved in the industry have at least one channel of complaint with which they feel comfortable.

RWWA will not tolerate sexual harassment and discrimination in the Western Australian racing industry.

Our Stewards will enforce the Rules of Racing and will refer complaints to appropriate authorities which may include the police where appropriate.

WHAT IS DISCRIMINATION?

Discrimination occurs when someone is treated unfairly or badly compared to others, often because they are seen as different. It is against the law to discriminate against people because of:

- Sex (whether male or female)
- · Marital or parental status
- Race
- Age
- Impairment (whether physical, intellectual, psychiatric or mental disability, injury or illness, including whether they are HIV+ or use a guide dog, wheelchair or some other remedial device)
- Religion
- Political belief or activity
- Trade union activity
- Lawful sexual activity (whether they are gay, lesbian, heterosexual or bisexual)
- Pregnancy or breastfeeding

It is also against the law to discriminate against a person because they associate with or are related to someone who has any of these attributes or personal characteristics.

Unlike sexual harassment, which is against the law in all circumstances, discrimination is only against the law if it covers one or more of the grounds listed above and it occurs in any of the following areas:

- Employment
- Accommodation
- Education
- Provision of goods and services
- Access to places and vehicles

- Club membership and affairs
- Application forms
- Advertising
- Superannuation and insurance
- Spor

Additionally, the Racial Hatred Act covers public acts which are done because of race, colour, national or ethic origin of a person and are reasonably likely to offend, insult, humiliate or intimidate that person or group.

WHAT IS SEXUAL HARASSMENT?

Sexual harassment is any unwanted, unwelcome or uninvited behaviour of a sexual nature that makes a person feel humiliated, intimidated or offended.

Sexual harassment can take many different forms and may include physical contact, verbal comments, jokes, propositions, the display of offensive material or other behaviour, which creates a sexually hostile working environment.

Examples of sexual harassment include:

- lewd jokes, comments or innuendo
- · sexual comments, advances or propositions
- unzipping or undoing attire
- offensive, obscene language or crude gestures
- persistent questions or insinuations about a person's private life
- display of sexist literature
- nude/pornographic pictures (including screensavers)
- offensive, obscene language or crude gestures
- obscene telephone calls, faxes or emails

Sexual harassment can involve behaviour that would also be an offence under the criminal law. For example, physical assault, indecent exposure, sexual assault, stalking or obscene communication.

Sexual harassment is <u>not</u> behaviour that is based on mutual attraction, friendship and respect. If the interaction is consensual, welcome and reciprocated it is not sexual harassment.

COULD DISCRIMINATION OR SEXUAL HARASSMENT AFFECT YOU? YES

Everybody who is engaged in activities related to the Western Australian Racing Industry must be aware of their responsibilities and rights with regard to discrimination, including sexual harassment.

Everybody includes owners; trainers; jockeys; RWWA's employees; contractors; trainees and all other associated persons who are engaged in activities in all areas of the racing industry in Western Australia.

WHAT ARE YOUR RIGHTS?

- · Everyone has the right to work in an environment which is free of harassment
- Sexual harassment is against the law
- Sexual harassment is against the Rules of Racing
- Confidential complaint procedures are available

WHAT DOES THE LAW SAY?

Sexual harassment is prohibited in Australia by the Federal *Sex Discrimination Act* and anti-discrimination laws in all States and Territories. The *Sex Discrimination Act* prohibits sexual harassment and other forms of discrimination in areas such as employment, the provision of services, education and accommodation.

Sexual harassment is a legally recognised form of sex discrimination. Sexual harassment can be a breach of an employer's common law duty to take reasonable care for the health and safety of employees. It can also be a breach of occupational health and safety legislation.

WHAT ARE THE RULES OF RACING?

Stewards are empowered to investigate misconduct or allegations of misconduct and to lay charges and impose penalties under certain Rules of Racing.

WHO IS RESPONSIBLE?

Are individual persons responsible? YES.

- · Persons, including employers, employees and contract workers are personally liable for their own acts of sexual harassment
- A person is personally liable for any act of victimization or discrimination
- A person is personally liable for causing, instructing, inducing, aiding or permitting another to discriminate (including discrimination involving sexual harassment)

Are employers responsible? YES.

- An employer is vicariously liable* for any acts of harassment or discrimination committed by employees or agents in connection
 with their duties unless "all reasonable steps" were taken by the employer to prevent harassment and discrimination occurring
- Lack of awareness that an employee or agent sexually harassed or discriminated against another will not discharge and employer's vicarious liability*

*Vicarious liability is an employer's legal responsibility for wrongs committed by employees in the course of work.

HOW TO MAKE A COMPLAINT

Seek a complaint channel that you both trust and feel comfortable with. Investigate internal complaint channels. External complaint channels include:

- Human Rights and Equal Opportunities Commission
- State Equal Opportunities Commission
- Sexual Assault Resource Centre



RWWA LICENSING POLICY

The RWWA Integrity Assurance Committee (IAC) did resolve on the 20 August 2007 to adopt the following policy.

RWWA Licensing Policy

In determining whether a person is suitable, appropriate or a "fit and proper person" to hold a license, or remain licensed with RWWA, the RWWA Board or its delegate may take into account any relevant matter relating to the person and including the following:

- (a) That the person has satisfactorily met and continues to meet, the knowledge, ability and experience requirements relevant to that category of licence as determined from time to time by the licensing body.
- (b) Any conviction of the person of any offence under any Rules of Racing not restricted to these RWWA Rules of Racing; and
- (c) Any conviction of the person of an offence punishable by imprisonment regardless of whether imprisonment was applied;
 - (i) against another law of the Commonwealth; or
 - (ii) against a law of a State or Territory; and
- (d) Whether the person is, or has been, an undischarged bankrupt or is taking advantage of the laws relating to bankruptcy; and
- (e) Whether any false or misleading information or document has been provided in relation to the person at the time of application or renewal of licence;
- (f) Whether the person has previously been refused a licence by any licensing authority;
- (g) Whether or not the person is likely to breach a licence condition;
- (h) Whether the person has omitted to disclose or has not fully disclosed information that is relevant to an application for licence or renewal;
- (i) Whether the person has any association with persons or groups that are undesirable to the reputation of the racing industry; and
- (j) Without limiting any other paragraph, whether the person has failed to pay an amount or such other account for which the person is or was liable for as confirmed by an order of a Court or Magistrate having jurisdiction in such matters.

Any person found at any time to not be a "fit and proper person" may, in accordance with the relevant Rules of Racing, have any licence or registration held by them at time cancelled or have any application for licence or registration refused.

WHO CAN YOU CONTACT?

The RWWA Racing Integrity Division at

14 Hasler Road

OSBORNE PARK WA 6017 Phone: (08) 9445 5570

Greyhound Racing Stewards

Phone: (08) 9445 5237

Thoroughbred Racing Stewards

Phone: (08) 9445 5570

Harness Racing Stewards

Phone: (08) 9445 5157

HUMAN RIGHTS AND EQUAL OPPORTUNITY COMMISSION

Level 3, 175 Pitt Street, Sydney SYDNEY NSW 2000 Phone: (02) 9284 9600

Prione: (02) 9284 9600
Facsimile: (02) 9284 9611
General Enquiries: 1300 369 711
Complaints Hotline: 1300 656 419
Email:complaintsinfo@humanrights.gov.au

Web: http://www.humanrights.gov.au

EQUAL OPPORTUNITY COMMISSION

Level 2, Westralia Square 141 St Georges Terrace PERTH WA 6805 Phone: (08) 9216 3900 Facsimile: (08) 9216 3960

Country Callers (FREECALL): 1800 198 149 Email: eoc@equalopportunity.wa.gov.au Web: http://www.eoc.wa.gov.au

SEXUAL ASSAULT RESOURCE CENTRE (SARC)

Phone: (08) 9340 1820 Facsimile: (08) 9381 5426

Crisis Line (24 hours): (08) 9340 1828 Counselling Line (24 hours): (08) 9340 1899 Country Callers (FREECALL): 1800 199 888

CRISIS CARE

Department of Community Development Counselling Line (24 hours): (08) 9223 1111 Country Callers (FREECALL): 1800 199 008

LIFELINE WA

57 Murray Street PERTH WA 6000

Counselling Line (24 hours): 131 114 Web: http://www.lifelinewa.org.au



Licensing & Registrations
14 Hasler Road OSBORNE PARK WA
Telephone 08 9445 5558
Fax 08 6314 4792

ABN 21 347 055 603

HOW TO PAY



Please call the telephone number on your document

Or

Credit Card

Please complete the details below then detach the slip and return it with your completed application

Detach and Return with your application

CING AND WAGERING WESTERN AUSTRALIA	CREDIT CARD	AUTHORISATION SLIP	
Credit Card Type please tick ✓	□ VISA □ MASTER	CARD	
Card No.		Card Expiry Date	
ame on Card		Amount \$	
rd Holders Signature		Date	



Need support now? We're here to help 24/7



Racing and Wagering WA in conjunction with PeopleSense has made available a free, independent and confidential mental health and wellbeing support service to Licenced Racing Trainers, Harness Drivers, Stable Hands, Stable Forepersons, Track Work Riders, Greyhound Attendants and Licenced Farriers/Riders Agents.

Racing Assist offers 24 hour phone support and up to six free face-to-face counselling sessions run by trained professionals.

> 100% PRIVATE & CONFIDENTIAL 1300 307 912

> > racingassist.com.au