



# NEW LICENCE APPLICATION – DUAL LICENCE

## (RESTRICTED TRAINER/JOCKEY LICENCE)

(1 year Licence)

1<sup>st</sup> August 2022 to 31<sup>st</sup> July 2023

**FEE: \$523**

1<sup>st</sup> February 2023 to 31<sup>st</sup> July 2023

**FEE: \$261.50**

THESE DETAILS MUST BE COMPLETED BY THE APPLICANT

Mr/Mrs/Ms/Miss Surname: \_\_\_\_\_ Given Names: \_\_\_\_\_

Date of Birth (Day) \_\_\_\_\_ (Month) \_\_\_\_\_ (Year) \_\_\_\_\_

Current Residential Address: \_\_\_\_\_  
(PO Box not accepted) Street/Lot No. Street Name Location Post Code

Current Postal Address: \_\_\_\_\_  
(If different to residential) Location Post Code

Current Phone Numbers Home Business Mobile

Current Email address @

Current Stable address

List Track/Training Centre/s used 1. 2. 3.

TICK IF YOU **DO NOT** WANT YOUR CONTACT DETAILS DIVULGED OR PUBLISHED IN THE RACING AHEAD MAGAZINE.

### ALL APPLICANTS MUST ANSWER ALL THESE QUESTIONS

1.	Are you currently licensed or registered in any capacity by any Racing Controlling Body or Club as a Jockey ?	YES/NO
2.	If <b>YES</b> have you been licensed as a Jockey for a minimum period of 2 years immediately prior to making an application for a Dual Licence?	YES/NO
3.	Have you ever been refused a licence or registration or had a licence revoked or cancelled by any Racing Controlling Body or Club? If <b>YES</b> show details here or attach separate report.	YES/NO
4.	Have you ever been suspended, disqualified, warned off, fined or listed as a defaulter by any Thoroughbred, Harness or Greyhound Racing Controlling Body or Club? If <b>YES</b> show details here or attach separate report:	YES/NO
5.	Have you been convicted for any criminal offence punishable by fine or imprisonment or have you ever been or are you Now subject to a bond or recognizance? If <b>YES</b> contact the Stewards to arrange an interview in order to maintain confidentiality (08) 9445 5503	YES/NO
6.	Are you or have you ever been declared as bankrupt? If <b>YES</b> show details here or attach a separate form.	YES/NO
7.	<b>Do you have any open workers' compensation claims with any employer including a state principal racing authority?</b> If <b>YES</b> , I give permission for RWWA to contact the employer, insurer and/or medical practitioner to discuss my medical history. Please provide details of the Claim including contact details:	YES/NO

**APPLICANT FOR ANY CLASS OF TRAINER LICENCE/PERMIT MUST COMPLETE THIS SECTION**

**DETAILS OF STABLE CONSTRUCTION & SECURITY**

Stable Type:	Barn	WIWO	Open Yards	Yard/Stall
Number of	Boxes	Yards	Paddocks	
Construction of	Roof	Walls	Floor	
Security – Locks Applied to	Barn	Tack Room	Feed Room	Property Page 2/4
Security - Other	Dog/s	Lights	Alarms	Other (specify below)
Other Security Measures				

<b>PLAN OF STABLES</b> (If insufficient space attach separate plan)	<b>STABLE OWNER / USERS DETAILS</b>
	<b>Name of Stable Owner:</b> <hr/> <hr/>
	<b>Address of Stable Owner:</b> <hr/> <hr/>
	Signature of Stable Owner authorizing use of the STABLES and PROPERTY (Delete PROPERTY if not applicable) <hr/>
	Date: _____
	<b>Names of other stable and property users:</b> <hr/> <hr/> <hr/> <hr/>

Applicants for a Trainer licence, Permit to Train or Owner/Trainer permit must list details of the horses they train or intend to train. Stewards may determine suitability to be licensed given the number of horses.

**UNDER DUAL LICENCE APPLICATION NO MORE THAN FIVE (5) HORSES CAN BE LISTED**

Horse Names	Names of Owners or Lessees

## CONDITIONS APPLICABLE TO A TRAINER LICENCE/PERMIT

The following are preconditions to the granting of particular categories of licence or permit to train to a person;

- has practical experience reasonably required to carry on the vocation of a trainer, including (but not limited to) experience in attendance to and performance of duties involving the handling of thoroughbred racehorses at stables, track work, trials and race meetings
- has proper stable facilities providing security and safety for staff and horses
- has adequate financial resources to carry on training activities without material risk of being unable to pay his/her debts as and when they fall due
- is of good reputation and character and is a fit and proper person to hold a licence or permit to train racehorses
- passes the written examination and interview conducted by the Stewards
- Demonstrates to the Stewards his/her competency in horse handling and saddling.
- Must be a permanent resident of Australia

Without in any way limiting the power of RWWA to prescribe terms and conditions in respect of a licence or permit to train, the following categories of licence or permit to train are, unless otherwise determined by RWWA, subject to the conditions specified:

### Open Class Trainer:

- Has had at least 5-years' experience as a trainer under a current B Class or its equivalent in another racing jurisdiction
- Has demonstrated considerable success in training horses to criteria set by RWWA.

### B Class Trainer:

- Has had at least 5-years' experience as a trainer under a permit to train or its equivalent in another racing jurisdiction.

### Permit to Train:

- Has experience in the form of at least 5-years' experience as a jockey, apprentice, stable foreman, assistant trainer, stable employee or any combination thereof and hold a current licence
- Provide at least three references from industry participants, one of which must be from a licensed Open Class or B Class Trainer, attesting to the applicant's skill, competency and general suitability to hold a Permit to Train.
- New applicants for a Permit to Train will only be permitted to train a maximum of five horses for the first 12 month period of their license, or until such time that they demonstrate a suitable level of success and solvency within their business. After such time they may apply to Stewards for an increase in number. In this respect Stewards will review the operations of new trainers after 12-months to ensure they continue to be solvent.

### Dual Licence Holder:

- A person holding a Dual Licence is conditional to it being a transitional licence only (ie for Jockeys to move into training), and not a permanent category of licence. Accordingly the Stewards would be entitled to be first satisfied that the applicant has a legitimate intention of transitioning from a Jockey to a trainer prior to approving any applicant for such licence.
- The licence is restricted to a maximum of five (5) horses in training.
- The licence is subject to a maximum time of five (5) years to be reviewed after three (3) years.
- The licence is open only to Jockeys who have held a Jockey's licence for a minimum period of two (2) years immediately prior to making application for such licence
- To maintain a dual licence, the person concerned must ride as a minimum in twenty-four (24) races per season/year.
- A dual licensed jockey riding at any meeting must appoint a delegate for any horses that they are training, and cannot be involved as trainer on the race course until such time as they have concluded all riding commitments. Thereafter once they vacate the Jockey's room they cannot return to that area.
- The general restrictions regarding which horse they are allowed to ride etc as reflected in the amalgamated rules included within LR.80K and LR.80P are to apply.

### Owner/Trainer:

- A person holding an Owner/Trainer permit may train only horses owned or leased solely or in partnership with members of the person's own family. For the purpose of this rule family shall mean: parents, spouse, children and siblings over 18 years. For the purpose of this rule the term "spouse" includes a spouse whether married or de facto. For the purpose of this rule the term "de facto" means where two persons are living together as a couple on a genuine domestic basis and have done so for at least 2-years. Couple does not include co-tenants.

A Trainer licence, Permit to Train or Owner/Trainer permit may be limited as to duration or locality or both. Subject to the previous clause every Trainer licence, Permit to Train and Owner/Trainer permit shall expire on the thirty-first day of July in each year. Should a trainer be unable to attend a race meeting where any horse trained by him is engaged he shall nominate his registered stable foreperson or another licensed trainer to be responsible for such horse at the meeting. A trainer or holder of a permit to train or owner/trainer permit shall not, without having made written application and obtained the consent of the Stewards, stable any horse trained by him or her in any location other than his registered stable address as notified on this application or subsequent renewal.

Where an initial application for a Trainer's licence, Permit to Train or Owner/Trainer's permit is rejected, no further application shall be considered for a period of 6-months from the date of rejection of the first application, and a person who has had a second application for a Trainer's licence, Permit to Train or Owner/Trainer's permit rejected within 12-months of the rejection of the initial application shall have no further application considered for a period of 12-months from the date of rejection of the second application, and should that or any subsequent application be rejected any further application shall not be considered for 12-months thereafter unless, the person is specifically invited to make application.

RWWA may refuse to consider any application for a Trainer's licence, Permit to Train or Owner/Trainer's permit until it is satisfied that any direction or condition that it may require as a prerequisite to the granting of such licence or permit has been satisfied.

Every person holding a Trainer Licence, Permit to Train or Owner/Trainer Permit from RWWA shall apply to RWWA to register every person employed by or assisting him/her in connection with the training or care of racehorses. No employee unless registered shall be retained in employment.

A Trainer or any person holding a Permit to Train or Owner/Trainer permit shall not permit a person who is not the holder of a current Track Work Rider permit to ride track work on a racecourse or training track.

A P P L I C A N T ' S   D E C L A R A T I O N

1. I hereby authorise Racing and Wagering Western Australia (RWWA) to check any details of information given in this statement, including but not limited to, criminal convictions, financial commitments, etc. as the Authority in its absolute discretion deems necessary.
2. I acknowledge, if I am applying for a trainer's licence/permit that
  - (a) I will take out Workers Compensation insurance when I employ workers ("Workers" as defined in the Act) to assist me in my training activities
  - (b) The training of all Horses/Greyhounds in my care will be under my personal supervision
  - (c) I will notify RWWA immediately whenever a Horse/Greyhound leaves my control or comes under my control where it is my intention to prepare the Horse/Greyhound to participate in trials or races and
  - (d) I will permit the Stewards or Officials of RWWA to enter at any time and inspect my property and to exercise their powers under the Rules.
3. If I am applying for a trainer's licence/permit, I acknowledge my application will be rejected if I do not have public liability insurance.
4. If I am charged with an offence, by Police or one that is punishable by fine or imprisonment, I undertake to contact the Stewards immediately upon being charged.
5. If I have been convicted of an offence punishable by fine or imprisonment in the previous 12-months, I undertake to contact the Stewards (08 9445 5333) immediately and attach to this application a copy of my current Police Clearance Certificate and details of the Court ruling in relation to the offence.
6. I shall always conduct and present myself in a professional and proper manner and comply with RWWA Rules of Racing, Official's instructions and the powers afforded to them and that failure to do so can place my current and future involvement in the industry in jeopardy.
7. I acknowledge that in the event of becoming disqualified, I remain bound by and subject to, the Rules of Racing for the period of my disqualification, and that my current licence is forfeited and upon expiration of the disqualification I must re-apply for a Licence. I recognize in these circumstances such application may be refused or made subject to special conditions.
8. I acknowledge having read and understood the Rules of Racing and further undertake to read Official RWWA Publications so as to keep myself informed of any amendments to the said rules. I agree to be always bound by the Rules in force from time to time and any other lawful Rules, Regulations, Conditions and Directions as may from time to time be formed, made, or given by Stewards or Officials of RWWA.
9. I will provide the Licensing & Registrations Department with written advice of any changes to the details I have advised in this application within 48-hours of the change.
10. I hereby declare that all particulars stated on this application are true and correct, that I have read all the conditions appearing in this Application and acknowledge and agree to abide by all such conditions.
11. I acknowledge that the Stewards are empowered to punish any person who makes any false or misleading statement or declaration in respect of any matter in connection with the administration or control of Racing.
12. I agree that RWWA may record telephone conversations with you when you contact RWWA or are contacted by RWWA by telephone line in relation to any licence or racing related matter.

I \_\_\_\_\_ (FULL NAME) make this solemn declaration conscientiously believing the same to be true and by virtue of the provisions of the Oaths, Affidavits and Statutory Declarations Act 2005

Made and subscribed by the above named and declared

on \_\_\_\_\_ (Day) \_\_\_\_\_ (month) \_\_\_\_\_ (Year) \_\_\_\_\_ Signature of Applicant

At \_\_\_\_\_ place

Before me \_\_\_\_\_ Signature of Witness\* \_\_\_\_\_ Full Name of Witness\*

\* Witness must be the list of professions from the Oaths, Affidavits and Statutory Declarations Act 2005



RACING AND WAGERING WESTERN AUSTRALIA

Licensing & Registrations
14 Hasler Road OSBORNE PARK WA 6017
Telephone (08) 9445 5558
Facsimile (08) 6314 4792
Email: licreginfo@rwwa.com.au

GST DECLARATION

Must be completed

FULL NAME .....

Please tick where applicable
Harness [ ] Thoroughbred [ ] Greyhound [ ]

Section A:

Are you a resident of Australia for income taxation purposes? [ ] Yes Go to section B [ ] No Go to section D

If you have answered No, then we are obligated to withhold amounts under the Foreign Resident Withholding provisions.

Section B:

Is the horse racing activity conducted as a private recreational pursuit or hobby? [ ] Yes Go to section D [ ] No Go to section C

If you have answered Yes, an ABN cannot be provided and you must declare yourself as a Hobbyist.

Section C:

Where the participant is GST registered the following agreement is given:

AGREEMENT FOR THE ISSUE OF RECIPIENT CREATED TAX INVOICES

Between

Racing and Wagering Western Australia ("Recipient") ABN: 21 347 055 603 14 Hasler Road, OSBORNE PARK WA 6017

And

The Signatory (referred to as the "Supplier")

Terms and Conditions

The Supplier and Recipient agree that:

- 1. The Recipient may issue tax invoices in respect of supplies made by the Supplier;
2. The Supplier may not issue tax invoices in respect of supplies made by the Supplier;
3. The Supplier acknowledges that it is registered for GST when it enters into this Agreement and it will notify the Recipient if it ceases to be registered; and
4. The Recipient acknowledges that it is registered for GST when it enters into this Agreement and it will notify the Supplier if it ceases to be registered; and

When you sign this GST Declaration, you are taken to have read this Agreement and agreed to the terms and conditions outlined above.

ABN - related to the horse industry (Applicable for Businesses)
ABN No:
Start Date \_\_\_/\_\_\_/\_\_\_ GST Reg. [x] Yes [ ] No

Should your Hobby/ABN and/or GST registration status change at any time, you must notify us of your new status immediately to enable us to make the correct payments. Should you require clarification on these entity types or taxation rulings, please refer to the ATO website.

Section D: (must be completed by all)

Australian Bank Account Details)

Account Name:
Name of Bank Branch
BSB: Account Number

Signature \_\_\_\_\_

Date \_\_\_/\_\_\_/\_\_\_

RWWA Privacy Policy

Please refer to RWWA's Privacy Policy on www.rwwa.com.au



## THOROUGHBRED LICENCE APPLICATION CHECKLIST

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### All Licence Applications

- Complete the application form in full
- Payment of fees must accompany all applications
- Provide a current (not older than six (6) months) National Police Clearance if 18 or over
- Provide 2 recent Passport sized photos
- Ensure that application is signed and witnessed
- Complete the GST Declaration (not for Stablehands or Track Work Riders)
- Attach a full copy of either one of the following proofs of ID: Birth Certificate, Certificate Extract of Birth, Current Passport or Current Drivers Licence

### Trainers – Permit to Train or Owner/Trainer or Pre Trainer

- If you wish to ride track work on registered tracks a RWWA Confidential Medical Examination Report (not applicable to dual licence)
- Provision of urine sample\* (not applicable to dual licence)
- RWWA Statement of Assets and Liabilities
- Copy of three (3) months of Bank Statements, with a consistent minimum, each month, of \$7,500 in savings
- Two credit references from suppliers (preferably from within the racing industry i.e.; Feed Merchants, Veterinary Surgeon's etc)
- Three references from industry participants, one of which must be from a licensed Open Class or B Class trainer
- Current lease agreement if stables not owned
- Interview by Stewards including a theoretical test of horse knowledge
- Take out Worker's Compensation Insurance for any employees - refer to [www.workcover.wa.gov.au](http://www.workcover.wa.gov.au)
- Certificate of Currency of your Worker Compensation Insurance Cover attached

### Track Work Riders

- RWWA Confidential Medical Examination Report
- Parental/Guardian consent (if applicant is under 18 years of age)
- Provision of urine sample\*
- Interview by Stewards

Please forward your completed documentation and fees to Licensing and Registrations.

\* Please contact RWWA to arrange a time to be interviewed and to provide a urine sample.

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RACING AND WAGERING WESTERN AUSTRALIA

**Licensing & Registrations**  
14 Hasler Road OSBORNE PARK WA  
Telephone (08) 9445 5558  
Facsimile (08) 6314 4792

## **THOROUGHBRED TRAINERS PUBLIC LIABILITY INSURANCE NOTICE**

**It is a Racing and Wagering Western Australia (RWWA) requirement that all licensed trainers in Western Australia have public liability insurance.**

The general purpose of public liability insurance is to provide protection for trainers against liability arising from injury to a third party (or damage to a third party's property) which was caused by the negligence of the trainer.

The premium allocation consists of a base flat fee charged to all WA licensed trainers and included with the licence, and a fee per start allocated to all official races and trials and invoiced to trainers.

RWWA currently participates in a national scheme arranged by the Australian Trainers' Association (ATA) and Jardine Lloyd Thompson Pty Ltd in Melbourne. Details of the policy are:

- The limit of indemnity is \$20 million for Public and Products Liability. A majority of Government bodies and local Councils are now requiring this level of indemnity in cases where trainers lease or have access to land or other property.
- Care, custody and control exposure such as claims made by owners for horses in the trainers' care, custody and control is limited to \$5 million.
- In addition, Professional Indemnity cover is provided for liability arising from errors or omissions resulting from the carrying out by the trainer of his/her professional duties.
- Cover also extends to include some activities incidental to training such as agistment and breeding (excluding service) in some circumstances.
- There is no excess applicable to this policy.
- This policy requires you to inform the ATA immediately upon notice of a claim being made against you and under no circumstances should any admission of liability be made at any time.
- The ATA scheme, as with most public liability schemes, does not provide you with cover for claims made against you by any of your relatives who reside with you.
- Trainer Public Liability Insurance is compulsory for all class of trainers. RWWA has arranged this cover within a national scheme and it is compulsory for all trainers to be part of this scheme. A flat fee is payable with your licence application and **\$ 2.86** (incl GST) per start for official raced and trial will be invoiced.
- The ATA has a web site detailing cover available under the policy, information on how to make a claim and policy documents. Go to ATA website: [www.austrainers.com.au](http://www.austrainers.com.au) and click on Racing Information and Insurance.

**No other action is necessary and cover is automatically affected once your licence application has been approved by RWWA for the period covered by your licence.**

# **IMPORTANT NOTICE TO THOROUGHBRED TRAINERS'**

RWWA has amended the Thoroughbred Application for Trainers' to include a condition of licence as follows:

*THROUGHOUT THE TERM OF THIS LICENCE, THE TRAINER MUST EFFECT AND MAINTAIN A WORKERS' COMPENSATION EMPLOYER'S INDEMNITY POLICY WITH A LICENSED WORKERS COMPENSATION INSURER.*

## **THE TRAINER MUST:**

**(A) PAY ALL INSURANCE PREMIUMS ON THE POLICY REFERRED TO IN THIS CONDITION NOT LATER THAN THE DUE DATE FOR PAYMENT SPECIFIED IN THE FIRST PREMIUM NOTICE OR DEMAND FOR PAYMENT; AND**

**(B) PROVIDE TO RWWA, A CERTIFICATE OF CURRENCY WITH THIS COMPLETED LICENCE DOCUMENT.**

The condition of licence has the full support of the ATA and the WARTA.

The condition has been included to ensure that Trainers' have adequate cover to protect themselves from prosecution and in light of the recent changes to the ***Workers' Compensation and Injury Management Act 1981*** (Act) where Trainers' are accountable for Jockeys at unlicensed facilities (trainer's premises, unregistered tracks, bush, beach etc).

Please refer to FACT SHEET ***Workers' Compensation and Injury Management Amendment (Jockeys) Act 2012*** issued by WorkCover WA.

Workers' compensation insurance is mandatory for employers who employ or engage 'workers' as defined by the Act. The following fall under the extended definition as a 'worker' of a trainer, regardless of being paid a wage, in cash or by other means:

- Stable Hand / Foreman
- Strapper
- Trackwork Rider
- Jockey (excluding registered race clubs training tracks)

For information about requirements under the Act, contact WorkCover WA's advisory services on Tel 1300 794 744 or visit the website at: [www.workcover.wa.gov.au](http://www.workcover.wa.gov.au)

To assist Trainers' in meeting their obligations, RWWA has arranged with **Lockton** insurance brokers, a workers' compensation scheme for trainers' who require insurance or would like to transfer their expiring policies into.

The scheme is backed by CGU insurance and offers the benefits of a dedicated team of professionals to assist trainers' with their queries, in addition the scheme can offer an Insurance policy that will provide RWWA with your policy details to satisfy the condition on licence to provide RWWA with a Certificate of Currency.



To obtain information regarding the workers' compensation scheme, please contact:



<p><b>Ross Corvaia</b> <b>Senior Associate</b> <b>Lockton Companies Australia Pty Ltd.</b></p> <p>Level 1, 50 Subiaco Square Road, Subiaco WA Australia 6008</p> <p>Tel: +61 9217 0851 (Direct) /+61 8 9217 0888 (Main) Fax: +61 8 9380 4206 Mobile: +61 401 768 068</p> <p>E-mail: <a href="mailto:Ross.Corvaia@au.lockton.com">Ross.Corvaia@au.lockton.com</a> Website: <a href="http://www.lockton.com">www.lockton.com</a> AFSL: 291 954</p>	<p><b>Anna Coughlan</b> <b>Associate</b> <b>Lockton Companies Australia Pty Ltd.</b></p> <p>Level 1, 50 Subiaco Square Road, Subiaco WA Australia 6008</p> <p>Tel: +61 8 9217 0838 (Direct) /+61 8 9217 0888 (Main) Fax: +61 8 9380 4206</p> <p>E-mail: <a href="mailto:Anna.Coughlan@au.lockton.com">Anna.Coughlan@au.lockton.com</a> Website: <a href="http://www.lockton.com">www.lockton.com</a> AFSL: 291 954</p>
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Lockton can also assist with all your insurance requirements.



## STATEMENT OF ASSETS AND LIABILITIES CONFIDENTIAL DECLARATION

### A S S E T S

Property/s in own name situated at:	
1.	\$
2.	\$
3.	\$
4.	\$
5.	\$
Total Cash in Hand:	\$
Total Cash in Bank:	\$
<i>Attach copy of bank statement/s.</i>	
Savings Account:	\$
<i>Attach copy of bank statement/s</i>	
Other Assets Details	
1.	\$
2.	\$
3.	\$
4.	\$
<b>(A) TOTAL ASSETS</b>	<b>\$</b>

### L I A B I L I T I E S

Total Owing on Property/s:	\$
Total Bank Overdraft:	\$
Total Personal Loans:	\$
Total Credit Card Debt:	
Rent Payments: (Monthly payment = \$ )	12-Months: \$
Hire Purchase Commitments Details:	
1.	\$
2.	\$
Other Liabilities Details: (e.g. veterinarians, feed merchants etc.)	
1.	\$
2.	\$
3.	\$
<b>(B) TOTAL LIABILITIES:</b>	<b>\$</b>
<b>(C) EXCESS OF ASSETS OVER LIABILITIES (A minus B)</b>	<b>\$</b>

### A P P L I C A N T ' S D E C L A R A T I O N

I \_\_\_\_\_ of \_\_\_\_\_  
 Do solemnly make this declaration conscientiously believing the same to be true and by virtue of the provisions of the Oaths, Affidavits and Statutory Declarations Act 2005. Made and subscribed by the above named and declared

This \_\_\_\_\_ day of \_\_\_\_\_ 201\_\_\_\_\_

\_\_\_\_\_ Signature of Applicant

At \_\_\_\_\_

Before me \_\_\_\_\_ Signature of Witness

Name and Qualification \_\_\_\_\_

- Witness must be the list of professions from the Oaths, Affidavits and Statutory Declarations Act 2005.

On 1 January 2006 the Parliament of Western Australia proclaimed the ***Oaths, Affidavits and Statutory Declarations Act 2005*** which makes changes to the manner in which oaths, affidavits and statutory declarations are administered and witnessed

Professions that can witness statutory declarations include:

- Academic {post-secondary institution}
- Accountant
- Architect
- Australian Consular Officer
- Australian Diplomatic Officer
- Bailiff
- Bank Manager
- Chartered secretary
- Chemist
- Chiropractor
- Company auditor or liquidator
- Court officer {Judge, magistrate, registrar or clerk}
- Defence Force officer
- Dentist
- Doctor
- Electorate Officer {State – WA only}
- Engineer
- Industrial organisation secretary
- Insurance broker
- Justice of the Peace {any State}
- Lawyer
- Local government CEO or deputy CEO
- Local government councillor
- Loss adjuster
- Marriage Celebrant
- Member of Parliament {State or Commonwealth}
- Minister of religion
- Nurse
- Optometrist
- Patent Attorney
- Physiotherapist
- Podiatrist
- Police officer
- Post Officer manager
- Psychologist
- Public Notary,
- Public Servant {State or Commonwealth}
- Real Estate agent
- Settlement agent
- Sheriff or deputy Sheriff
- Surveyor
- Teacher
- Tribunal officer
- Veterinary surgeon

## **PROHIBITED SUBSTANCE RACE DAY SAMPLE COLLECTION (SC) PROCEDURE**

Trainers should be aware of the following information and requirements for their nominated witness regarding race day sample collection procedures.

### **WITNESS FOR A TRAINER**

- The Trainer's witness must hold a licence or permit with RWWA. (Therefore, unless an owner of the horse holds a licence or permit with RWWA he/she cannot act as a witness for the Trainer).
- A horse selected for post-race SC may be permitted to drink water from a hose or freshly drawn water in a bucket. The horse should **not** be given any medication or be permitted to drink water from a bucket that has been left unattended and possibly adulterated.
- The witness must stay with the horse for the entire SC procedure.
- The witness must observe the sample collection, packaging and documentation.
- The witness must be available, at all stages, to answer questions from the Sample Collection Officer.
- The correct labeling, signing and packaging of all samples and paperwork is vital.
- The witness must sign the Sample Identity card where designated.
- The witness may ask questions regarding the procedure to be satisfied that the sample collection, packaging and documentation are in accordance with the standard operating procedures.
- The use of mobile telephones, drinking, smoking, and eating are not permitted in the sample collection area.

**DR. JUDITH MEDD  
RWWA RACING INDUSTRY VETERINARIAN  
NOVEMBER 2010**



# RWWA LICENSED PERSONS - USE OF SOCIAL MEDIA POLICY

## Introduction

This document outlines the expectations of RWWA, and provides guidance, with regards to the use of Social Media by persons who are licensed within the Racing Industry in all three codes of Racing.

## Purpose

Social Media, including blogs, chat rooms, forums, facebook, twitter, youtube and other public media are a popular and ever-changing way for persons to interact with each other and share comment and opinions on issues.

RWWA acknowledges that Social Media is an important medium for persons within the Racing industry to engage with others, voice their opinions and be involved in debate on industry issues.

This Policy provides licensed persons with guidance as to the expectations of RWWA where Social Media comment/discussion/content or publication relates to anything in connection with Racing. It is designed to protect the interests of Racing and RWWA and the persons associated with them.

This Policy requires that when using Social Media persons are clear about their responsibilities in regard to the image of Racing, and ensure that any references to Racing are factually correct and accurate and do not breach confidentiality or integrity requirements. Person must show respect for the individuals and groups with which they may interact.

This Policy does not apply to personal use of Social Media by licensed persons where the user makes no reference to Racing or Racing related issues.

## Scope

This Policy applies to all persons defined as Licensed within the Policy.

**DEFINITIONS-** for the purpose of this Policy:

**"Licensed Person"** means any Thoroughbred, Harness Racing or Greyhound;

AI Technician	Bookmaker's Clerk	Driver's Agent	Owner
Attendant	Breeder	Farrier	Rider's Agent
Apprentice Jockey	Catcher	Handler	Stablehand
Bookmaker	Driver	Jockey	Strapper
Studmaster	Track Rider	Trainer	Veterinary Surgeon

*NB: Person's mentioned above that do not hold a specific license with RWWA, are bound by the Rules of Racing in accordance with section 45(6) of the Racing and Wagering Act 2003 and for the purposes of this policy are therefore defined within the policy as "Licensed Persons".*

**"Racing/Racing Industry"** means Thoroughbred, Harness or Greyhound Racing wherever in the world it is conducted.

**"RWWA"** means Racing and Wagering Western Australia.

**"Social Media"** means, but is not limited to, the personal or business use of:

- Social networking sites e.g. Facebook, MySpace, Bebo, Friendster and LinkedIn;
- Micro blogging sites e.g. Twitter;
- Weblogs, like Wordpress and Blogger, including corporate blogs, personal blogs or blogs hosted by media outlets;
- Online newspapers allowing comments;
- Wikis and online collaboration sites, like Wikipedia;
- Forums and discussion boards and groups, e.g. Whirlpool, Yahoo! groups or Google groups, including racing and sports related forums;
- Online multiplayer gaming;
- Instant messaging (SMS);
- Video and photo sharing websites e.g. Flickr and You Tube;
- Any Mobile app that allows reviews, comments or geo-tagging.

**"Social Media platforms/channels"** means Social Media platforms or Social Media channels are the sites used for Social media purposes, like Facebook, Twitter, blogs etc.

**"Publish/Publications/Posts"** means publishing, publications, posts and posting in this document all mean anything written, uploaded or otherwise published on a Social Media platform.

**"Engage/Engagement"** means actively publishing content of any type (text, photos, videos etc.) on Social Media channels as a reaction to other online publications.

**"Content"** means any text, image or video that is ready for publication or has been published on a Social Media platform.

## Use of Social Media

Licensed Persons must not use Social Media to:

- Make/Publish any comments, which may be deemed to be detrimental to the image of Racing, or which may bring Racing into disrepute;
- Be disrespectful or abusive to any individuals or communities with which they interact/engage with;
- Use the identity of another licensed person or Racing official;
- Contravene any Rules of Racing;
- Disclose any information that is not readily available to the Public or disclose any confidential information (eg matters which are the subject of an ongoing Stewards inquiry or investigation);
- Publish any information in relation to Racing that is not factual or informed;
- Post material, content or comment, that is obscene, offensive, defamatory, disparaging, racist, threatening, harassing, bullying, discriminatory, hateful or abusive to another person or entity including Racing and RWWA, its employees, officials, other participants, contractors, partners and competitors.

Licensed Persons when using Social Media must:

- Adhere to the Terms of Use, and seek to conform to the cultural and behavioral norms, of the Social Media platform being used;
- Identify themselves as a licensed person if they are referring to any matter involving RWWA, its people, its officials, other licensed persons or competitors and/or other racing related individuals or organizations;
- Not publish/post any content, in relation to Racing, that is false, misleading or unsubstantiated;
- Respect copyright, privacy, financial disclosure and other applicable laws;
- Be polite and respectful of other individuals and communities opinions;
- Ensure that anything published is free from harassment regarding racial, ethnic, religious, physical or sexual characteristics or sexual orientation;
- Not make an assumption that their identity as a Licensed Person, within the Racing Industry, may not be revealed.

**A breach of this Policy may result in that person, or persons, being called to appear before the RWWA Stewards to face the possibility of disciplinary action. As a result of these proceedings a Charge(s) may be laid under the Rules of Racing with penalties of fines, suspensions, disqualifications or cancellations of licenses being invoked.**

Any queries in relation to this Policy should be directed to the RWWA Stewards Department.

*(Paragraph in italics added to "Licensed Person" definition 25/2/13)*

# DISCRIMINATION / SEXUAL HARASSMENT STATEMENT



## DISCRIMINATION AND SEXUAL HARASSMENT

RWWA wishes to remind all industry participants of their rights and responsibilities with regard to discrimination and sexual harassment.

**Discrimination and sexual harassment are unacceptable and unlawful forms of behaviour.**

All people have the right to work in an environment that is free of sexual harassment, victimisation and discrimination.

RWWA, as the regulatory body for racing in Western Australia, provides support services, to promote working environments which are free of sexual harassment and discrimination. These services include the following:

Contact with Industry Representatives  
Availability of course material for trainees and apprentice jockeys  
Contact with independent bodies, eg. Human Rights and Equal Opportunities Commission, Anti-Discrimination Commission

A range of options exists to ensure all those involved in the industry have at least one channel of complaint with which they feel comfortable.

**RWWA will not tolerate sexual harassment and discrimination in the Western Australian racing industry.**

Our Stewards will enforce the Rules of Racing and will refer complaints to appropriate authorities which may include the police where appropriate.

## WHAT IS DISCRIMINATION?

**Discrimination occurs when someone is treated unfairly or badly compared to others, often because they are seen as different. It is against the law to discriminate against people because of:**

- Sex (whether male or female)
- Marital or parental status
- Race
- Age
- Impairment (whether physical, intellectual, psychiatric or mental disability, injury or illness, including whether they are HIV+ or use a guide dog, wheelchair or some other remedial device)
- Religion
- Political belief or activity
- Trade union activity
- Lawful sexual activity (whether they are gay, lesbian, heterosexual or bisexual)
- Pregnancy or breastfeeding

It is also against the law to discriminate against a person because they associate with or are related to someone who has any of these attributes or personal characteristics.

Unlike sexual harassment, which is against the law in all circumstances, discrimination is only against the law if it covers one or more of the grounds listed above and it occurs in any of the following areas:

- Employment
- Accommodation
- Education
- Provision of goods and services
- Access to places and vehicles
- Club membership and affairs
- Application forms
- Advertising
- Superannuation and insurance
- Sport

Additionally, the Racial Hatred Act covers public acts which are done because of race, colour, national or ethnic origin of a person and are reasonably likely to offend, insult, humiliate or intimidate that person or group.

## WHAT IS SEXUAL HARASSMENT?

**Sexual harassment is any unwanted, unwelcome or uninvited behaviour of a sexual nature that makes a person feel humiliated, intimidated or offended.**

Sexual harassment can take many different forms and may include physical contact, verbal comments, jokes, propositions, the display of offensive material or other behaviour, which creates a sexually hostile working environment.

Examples of sexual harassment include:

- lewd jokes, comments or innuendo
- sexual comments, advances or propositions
- unzipping or undoing attire
- offensive, obscene language or crude gestures
- persistent questions or insinuations about a person's private life
- display of sexist literature
- nude/pornographic pictures (including screensavers)
- offensive, obscene language or crude gestures
- obscene telephone calls, faxes or emails

**Sexual harassment can involve behaviour that would also be an offence under the criminal law.** For example, physical assault, indecent exposure, sexual assault, stalking or obscene communication.

Sexual harassment is not behaviour that is based on mutual attraction, friendship and respect. If the interaction is consensual, welcome and reciprocated it is not sexual harassment.

## **COULD DISCRIMINATION OR SEXUAL HARASSMENT AFFECT YOU? YES**

**Everybody** who is engaged in activities related to the Western Australian Racing Industry must be aware of their responsibilities and rights with regard to discrimination, including sexual harassment.

**Everybody** includes owners; trainers; jockeys; RWWA's employees; contractors; trainees and all other associated persons who are engaged in activities in all areas of the racing industry in Western Australia.

## **WHAT ARE YOUR RIGHTS?**

- Everyone has the right to work in an environment which is free of harassment
- Sexual harassment is against the law
- Sexual harassment is against the Rules of Racing
- Confidential complaint procedures are available

## **WHAT DOES THE LAW SAY?**

**Sexual harassment is prohibited in Australia** by the Federal *Sex Discrimination Act* and anti-discrimination laws in all States and Territories. The *Sex Discrimination Act* prohibits sexual harassment and other forms of discrimination in areas such as employment, the provision of services, education and accommodation.

Sexual harassment is a legally recognised form of sex discrimination. Sexual harassment can be a breach of an employer's common law duty to take reasonable care for the health and safety of employees. It can also be a breach of occupational health and safety legislation.

## **WHAT ARE THE RULES OF RACING?**

**Stewards are empowered to investigate misconduct or allegations of misconduct and to lay charges and impose penalties under certain Rules of Racing.**

## **WHO IS RESPONSIBLE?**

**Are individual persons responsible? YES.**

- Persons, including employers, employees and contract workers are personally liable for their own acts of sexual harassment
- A person is personally liable for any act of victimization or discrimination
- A person is personally liable for causing, instructing, inducing, aiding or permitting another to discriminate (including discrimination involving sexual harassment)

**Are employers responsible? YES.**

- An employer is vicariously liable\* for any acts of harassment or discrimination committed by employees or agents in connection with their duties unless "all reasonable steps" were taken by the employer to prevent harassment and discrimination occurring
- Lack of awareness that an employee or agent sexually harassed or discriminated against another will not discharge and employer's vicarious liability\*

\***Vicarious liability** is an employer's legal responsibility for wrongs committed by employees in the course of work.

## **HOW TO MAKE A COMPLAINT**

Seek a complaint channel that you both trust and feel comfortable with. Investigate internal complaint channels. External complaint channels include:

- Human Rights and Equal Opportunities Commission
- State Equal Opportunities Commission
- Sexual Assault Resource Centre



RACING AND WAGERING WESTERN AUSTRALIA

## **RWWA LICENSING POLICY**

The RWWA Integrity Assurance Committee (IAC) did resolve on the 20 August 2007 to adopt the following policy.

### ***RWWA Licensing Policy***

*In determining whether a person is suitable, appropriate or a “fit and proper person” to hold a licence, or remain licensed with RWWA, the RWWA Board or its delegate may take into account any relevant matter relating to the person and including the following:*

- (a) That the person has satisfactorily met and continues to meet, the knowledge, ability and experience requirements relevant to that category of licence as determined from time to time by the licensing body.*
- (b) Any conviction of the person of any offence under any Rules of Racing not restricted to these RWWA Rules of Racing; and*
- (c) Any conviction of the person of an offence punishable by imprisonment regardless of whether imprisonment was applied;*
  - (i) against another law of the Commonwealth; or*
  - (ii) against a law of a State or Territory; and*
- (d) Whether the person is, or has been, an undischarged bankrupt or is taking advantage of the laws relating to bankruptcy; and*
- (e) Whether any false or misleading information or document has been provided in relation to the person at the time of application or renewal of licence;*
- (f) Whether the person has previously been refused a licence by any licensing authority;*
- (g) Whether or not the person is likely to breach a licence condition;*
- (h) Whether the person has omitted to disclose or has not fully disclosed information that is relevant to an application for licence or renewal;*
- (i) Whether the person has any association with persons or groups that are undesirable to the reputation of the racing industry; and*
- (j) Without limiting any other paragraph, whether the person has failed to pay an amount or such other account for which the person is or was liable for as confirmed by an order of a Court or Magistrate having jurisdiction in such matters.*

*Any person found at any time to not be a “fit and proper person” may, in accordance with the relevant Rules of Racing, have any licence or registration held by them at time cancelled or have any application for licence or registration refused.*



## WHO CAN YOU CONTACT?

**The RWWA Racing Integrity Division at**  
70 Grandstand Road  
ASCOT WA 6104  
Phone: (08) 9445 5333

**Greyhound Racing Stewards**  
Phone: (08) 9445 5237

**Thoroughbred Racing Stewards**  
Phone: (08) 9445 5570

**Harness Racing Stewards**  
Phone: (08) 9445 5157

### **HUMAN RIGHTS AND EQUAL OPPORTUNITY COMMISSION**

Level 3, 175 Pitt Street, Sydney  
SYDNEY NSW 2000  
Phone: (02) 9284 9600  
Facsimile: (02) 9284 9611  
General Enquiries: 1300 369 711  
Complaints Hotline: 1300 656 419  
Email: [complaintsinfo@humanrights.gov.au](mailto:complaintsinfo@humanrights.gov.au)  
Web: <http://www.humanrights.gov.au>

### **EQUAL OPPORTUNITY COMMISSION**

Level 2, Westralia Square  
141 St Georges Terrace  
PERTH WA 6805  
Phone: (08) 9216 3900  
Facsimile: (08) 9216 3960  
Country Callers (FREECALL): 1800 198 149  
Email: [eoc@equalopportunity.wa.gov.au](mailto:eoc@equalopportunity.wa.gov.au)  
Web: <http://www.eoc.wa.gov.au>

### **SEXUAL ASSAULT RESOURCE CENTRE (SARC)**

Phone: (08) 9340 1820  
Facsimile: (08) 9381 5426  
Crisis Line (24 hours): (08) 9340 1828  
Counselling Line (24 hours): (08) 9340 1899  
Country Callers (FREECALL): 1800 199 888

### **CRISIS CARE**

Department of Community Development  
Counselling Line (24 hours): (08) 9223 1111  
Country Callers (FREECALL): 1800 199 008

### **LIFELINE WA**

57 Murray Street  
PERTH WA 6000  
Counselling Line (24 hours): 131 114  
Web: <http://www.lifelinewa.org.au>



RACING AND WAGERING WESTERN AUSTRALIA

ABN 21 347 055 603

Licensing & Registrations
14 Hasler Road OSBORNE PARK WA
Telephone (08) 9445 5558
Facsimile (08) 6314 4792

HOW TO PAY

Phone



Please call the telephone number on your document

Or

Credit Card



Please complete the details below then detach the slip and return it with your completed application

Detach and Return with your application

CREDIT CARD AUTHORISATION SLIP form containing fields for Card No., Card Expiry Date, Name on Card, Amount, Card Holders Signature, Date, Account No., Contact Telephone Number, and Invoice No/s.



RACING AND WAGERING WESTERN AUSTRALIA



## RIDER SAFETY AT TRACKWORK

Racing & Wagering Western Australia (RWAA) and The Western Australian Turf Club (Perth Racing) jointly advises all licensed personnel that **ALL** riders riding track work at Ascot or Belmont Park Racecourses or Lark Hill must comply with the following requirements:

### **Safety Lighting:**

Every person must, when mounted on a horse during darkness, have affixed to their skull cap a safety warning light or lights which is clearly visible from both the right and left hand sides.

### **High Visibility Apparel:**

Every person must, at all times when mounted on a horse, wear an orange coloured high visibility vest. The recommended vest is the orange coloured EVIZ brand – High Visibility Vest (manufactured by Elliott Australia). Other brands as designated and approved by Perth Racing may also be permitted.

### **Non Compliance:**

Any rider not appropriately equipped will **NOT** be permitted to ride track work.

### **Purchase of equipment:**

Suitable safety lighting and the high visibility apparel can be purchased from the trainers stand at the track in the mornings. Bio John will also be carrying the range.

**BRAD LEWIS**  
**CHIEF STEWARD THOROUGHBRED RACING**  
**16 September 2005**

# NOTICE FROM THE WESTERN AUSTRALIAN TURF CLUB

## REGULATIONS APPLICABLE TO THE RACECOURSES & TRAINING GROUNDS UNDER THE CONTROL OF THE WESTERN AUSTRALIAN TURF CLUB.

The Western Australian Turf Club's Ascot and Belmont Park Racecourses are recognized as Official Training Tracks by Racing and Wagering Western Australia (RWWA) and are subject to both the Rules of Racing and the By-laws of the Club. Listed below are specific regulations applicable to both Ascot and Belmont Park Racecourses. The Committee may change these guidelines from time-to-time.

### T r a i n e r s

1. No horse shall be brought onto Ascot and/or Belmont Park Racecourses unless it is under the charge of:
  - a) a trainer who holds a licence or permit issued by RWWA.
  - b) a visiting trainer who holds a clearance from another Principal Club or Association.
2. No trainer or other authorized licensed person shall permit a horse under their charge to be on the property at either Ascot or Belmont Park Racecourses unless that horse is attended by a licensed person at all times.
3. No trainer or person in charge of a horse shall occupy any scraping box for a longer time than may be necessary for the purpose of stripping and saddling such horse before working, and of dressing and unsaddling after work.

### H o r s e s

4. All horses found loose on the lands of the Club will be impounded.
5. Horses being exercised on race days shall only be walked in areas set aside for such purpose.
6. Horses exercising in breaking-in saddles may only work on tracks restricted to slow work.
7. No riderless horse may be led or exercised on the course proper (grass) or on any training track (sand/viscoride).
8. Walking exercise for horses on the lands of the Club is prohibited except in the areas provided for such purpose. Trotting and cantering in areas set aside for walking is forbidden, except where permission is otherwise given.
9. The grass tracks shall be used only for the purpose of fast work. Horses working on grass tracks must keep to the areas marked off for work.
10. Horses must pass over track crossings in single file, unless being led.
11.
  - a) Horses being worked slowly on any training track must be ridden on the inside of the track, and horses doing fast work shall pass on the outside.
  - b) Horses entering tracks must give way to horses working through.
  - c) Horses entering tracks must proceed directly to the inside of the track.
12. All horses shall be taken anti-clockwise to the point from which their gallop is intended to start. All horses must enter tracks from specified openings.
13. Horses pulling up must keep to the outside of the track. When one horse is being passed by another, the horse overtaking shall go to the outside, and the horse being passed shall pull to the inside.
14. Special permission must be obtained to work horses clockwise on any track.
15. Horses galloping or working on the training tracks must not work more than five abreast, or such other number as the Stewards or Track Supervisor may from time to time direct.
16. No horse shall be allowed on any part of a training track unless it is wearing a bridle or head collar with a bit attached.
17. Horses suffering from any infectious diseases, or any complaint causing discharges from the nostrils, are not permitted on any training track.

## R i d e r s

18. Only persons licensed as a jockey, apprentice, track rider or trainer with permission to ride are permitted to ride.
19. No rider shall use any spurs on a racecourse or training track ground which are not approved by the Stewards. Roller or Rowel spurs will not be permitted. Shanks must be of a smooth metal and shall not be sharpened.
20. Every rider must, when mounted on a horse during darkness, have affixed to their skull cap a safety warning light or lights that is clearly visible from both the right and left hand sides.
21. Every rider must, at all times, when mounted on a horse, wear an orange coloured high visibility vest. The recommended vest is the orange coloured EVIZ brand – High Visibility Vest (manufactured by Elliott Australia). Other brands as designated and approved by the WATC may also be permitted.
22. Riders who are not wearing suitable safety lighting and the approved high visibility apparel will not be permitted to ride track work. (20, 21, 22 added 9 September 2005).

## T r i a l s

22. The following horses will be eligible:
- a) Horses referred back to trials by Stipendiary Stewards.
  - b) Horses which have not raced or trialed in the preceding 12 weeks.
  - c) All two years old horses
  - d) All un-raced horses.
  - e) Nominations for trials close at 9.30am on the Thursday preceding the trial day.
  - f) All horses must race in colours.

## S U P E R V I S E D J U M P O U T S

- 22A The following horses will be eligible:
- a) Un-raced horses.
  - b) Horses referred by the Stipendiary Stewards.
  - c) Horses which have not raced in the preceding 6 weeks.

### 22B OTHER:

- a) The intention to use blinkers for racing purposes must be specified at time of nomination.
- b) Horse to have trialed to the satisfaction of the Stewards and/or raced prior to the jump-out.
- c) Document of description to be made available prior to the jump-out.
- d) Horse to be ridden by a licensed jockey or apprentice having had more than fifty (50) race rides.
- e) Horses ridden by non permit apprentices or trainee apprentices who have been given permission to ride in trials.
- f) All jump-out entries close at 9.30am on the day appointed.
- g) Supervised jump outs will be charged the same as a grass track coupon.

## G E N E R A L

23. No person shall be permitted between the fences, or on the training tracks or courses, or to stand about the gates of the same while horses are being worked, except the owners and trainers of such horses, officials and employees of the Club, and any other person authorized by Committee and/or RWWA.
24. No person shall bring a dog unless it is a seeing eye or hearing dog onto Ascot and/or Belmont Park racecourses. Other dogs may be impounded.
25. The Committee of the Club and/or RWWA or any official authorized to act on its behalf, may at any time at their discretion, restrict the use of any training ground to any particular area or to any particular day or time.
26. All fees for use of Ascot and/or Belmont Park Racecourses must be paid prior to horses being worked.
27. Notwithstanding that it or he may have acted negligently or in breach of any contract, or in breach of any statutory duty neither the Club nor the Chairman nor any member of the Committee nor any officer, agent, independent contractor or employee of the Club shall be under any liability to any person for any loss, damage injury (including death) of or to any horses or person while on any premises of the Club (including property vested in the Chairman under the Act) or while on any approach thereto or while using any facility provided by the Club or while in the custody or control or under the direction of any officer, agent, independent contractor or employee of the Club acting in the course of his duty as such officer, agent, independent contractor or employee.

**EFFECTIVE 9 SEPTEMBER 2005** (Replaces all previous versions)