

RACING AND WAGERING WESTERN AUSTRALIA

ABN 21 347 055 603

# **Licensing & Registrations**

14 Hasler Road, Osborne Park WA 6017 Telephone (08) 9445 5558 Facsimile (08) 6314 4792

Email: licreginfo@rwwa.com.au

# NEW LICENCE/PERMIT APPLICATION – THOROUGHBRED (TRACKWORK RIDER)

(2 year Licence, or part thereof)

Applicants must be 15-years of age or older to be registered as a Trackwork Rider

FEE - \$ 94.00 (PERIOD 01.04.22 To 31.03.24) FEE - \$ 47.00 (PERIOD 01.04.23 To 31.03.24) PLUS URINE SAMPLE - \$34.00 (ALL APPLICATIONS)

NA /NA /NA /NA: O		0:	N	
Mr/Mrs/Ms/Miss Surname:		Give	n Names:	
Date of Birth (Day)	_(Month)(Year)			
	ess:			
(PO Box not accepted)	Street/Lot No.	Street Name	Location	Post Code
Current Postal Address: _ (If different to residential)			Location	Post Code
Current Phone Numbers	Home	Business	Mobile	
Current Email address		@		
Emergency Contact Name		Emergency Contact Numb	er	
List Track/Training Centre/s used	1.	2.	3.	

#### ALL APPLICANTS MUST ANSWER ALL THESE QUESTIONS

1.	Have you previously been licensed or registered in any capacity by any Racing Controlling Body or Club? If <b>YES</b> show details here or attach separate report:	YES/NO
2.	Have you ever been refused a licence or registration or had a licence revoked or cancelled by any Racing Controlling Body or Club? If <b>YES</b> show details here or attach separate report:	YES/NO
3.	Have you ever been suspended, disqualified, warned off, fined or listed as a defaulter by any Thoroughbred, Harness or Greyhound Racing Controlling Body or Club? If <b>YES</b> show details here or attach separate report:	YES/NO
4.	Have you been convicted for any criminal offence punishable by fine or imprisonment or have you ever been or are you Now subject to a bond or recognizance?  If <b>YES</b> contact the Stewards to arrange an interview in order to maintain confidentiality (08) 9445 5503	YES/NO
5.	Are you or have you ever been declared as bankrupt?  If YES show details here or attach a separate form.	YES/NO
6.	If you are not fully employed as a trainer, stable hand or track work rider, state your other employment, employer name/s and employer address/s.	
(a).		
(b)		
7.	Attached a brief summary of your experience relevant to this application.	
8.	Are you currently holding a temporary or working holiday visa?  If <b>YES</b> attach a clear copy of your Visa (including expiry date and visa type)  Note: If your visa expires within the above licence period, your licence will expire on the same day as your visa expires.  Any changes to your visa, please contact Licensing & Registration on 08 9445 5503.	YES/NO

Privacy - The information collected on this form will be used for the purposes of transacting Racing & Wagering Western Australia (RWWA) business.

Form: LRT-023c Page 1/2

#### APPLICANT'S DECLARATION

- 1. I hereby authorise Racing and Wagering Western Australia (RWWA) to check any details of information given in this statement, including but not limited to, criminal convictions, financial commitments, etc. as the Authority in its absolute discretion deems necessary.
- 2. I acknowledge, if I am applying for a trainer's licence/permit that
  - (a) I will take out Workers Compensation insurance when I employ workers ("Workers" as defined in the Act) to assist me in my training activities
  - (b) The training of all Horses/Greyhounds in my care will be under my personal supervision
  - (c) I will notify RWWA immediately whenever a Horse/Greyhound leaves my control or comes under my control where it is my intention to prepare the Horse/Greyhound to participate in trials or races and
  - (d) I will permit the Stewards or Officials of RWWA to enter at any time and inspect my property and to exercise their powers under the Rules.
- 3. If I am applying for a trainer's licence/permit, I acknowledge my application will be rejected if I do not have public liability insurance.
- 4. If I am charged with an offence, by Police or one that is punishable by fine or imprisonment, I undertake to contact the Stewards immediately upon being charged.
- 5. If I have been convicted of an offence punishable by fine or imprisonment in the previous 12-months, I undertake to contact the Stewards (08 9445 5333) immediately and attach to this application a copy of my current Police Clearance Certificate and details of the Court ruling in relation to the offence.
- 6. I shall always conduct and present myself in a professional and proper manner and comply with RWWA Rules of Racing, Official's instructions and the powers afforded to them and that failure to do so can place my current and future involvement in the industry in jeopardy.
- 7. I acknowledge that in the event of becoming disqualified, I remain bound by and subject to, the Rules of Racing for the period of my disqualification, and that my current licence is forfeited and upon expiration of the disqualification I must re-apply for a Licence. I recognize in these circumstances such application may be refused or made subject to special conditions.
- 8. I acknowledge having read and understood the Rules of Racing and further undertake to read Official RWWA Publications so as to keep myself informed of any amendments to the said rules. I agree to be always bound by the Rules in force from time to time and any other lawful Rules, Regulations, Conditions and Directions as may from time to time be formed, made, or given by Stewards or Officials of RWWA.
- 9. I will provide the Licensing & Registrations Department with written advice of any changes to the details I have advised in this application within 48-hours of the change.
- 10. I hereby declare that all particulars stated on this application are true and correct, that I have read all the conditions appearing in this Application and acknowledge and agree to abide by all such conditions.
- 11. I acknowledge that the Stewards are empowered to punish any person who makes any false or misleading statement ordeclaration in respect of any matter in connection with the administration or control of Racing.
- 12. I agree that RWWA may record telephone conversations with you when you contact RWWA or are contacted by RWWA by telephone line in relation to any licence or racing related matter.

Ivirtue of the provisions of	the Oaths, Affidavits and	FULL NA Statutory Declarations Act	ME) make this solemn declaration conscientiously 2005	believing the same to be true and by
Made and subscribed by	the above named and dec	clared		
on	_(Day)	(month)	_ (Year)	Signature of Applicant
At	place			
Before me		Signature of Wit	ness*	Full Name of Witness*
* Witness must be the list of professions from the Oaths, Affidavits and Statutory Declarations Act 2005				

Form: LRT-023c Page 2/3

#### PARENT OR GUARDIAN'S CONSENT

(If applicant is less than 18 years of age – Stablehand or Track Work Rider Only)

Parent or Guardian's Name:					
Talont of Guardian France.	Surname	Given Names			
Relationship to Applicant:					
Address:					
Number Street		Suburb	State	Post Code	
Contact Telephone Numbers	s: Home:	M	obile:		
		PARENT OR GUARDI	AN'S DECLARATION	1	
As the parent or guardian of	the above mention	ed, I consent to him/her being iss	sued with the licence applied for	;	
Signature of Parent or Guard	dian:	Da	ate:		

Form: LRT-023c Page 3/3



# INFORMATION FOR TRACKWORK RIDERS

To ensure that only competent persons are undertaking trackwork activities, Racing and Wagering Western Australia (RWWA) has established a two-tier licensing process for new trackwork riders. Starting with an Exercise Riders licence and progressing to a Fastwork Riders licence when the required skill level has been achieved.

New applicants will initially be issued with an Exercise Rider's licence until such time that they can demonstrate a suitable level of horseriding competence for a licence upgrade to be approved. In accordance with health and safety requirements Exercise Riders will only be permitted to ride horses up to ½ pace at the following metropolitan training tracks-

- Ascot
- Belmont
- Larkhill

Exercise Riders may ride fast work at any other training track but only when authorised to do so by a race club representative or the horse trainer / supervisor at private training tracks. However it should be noted that it is the Horse Trainers responsibility to ensure that all Riders possess the appropriate skills and competency to safely complete both slow and fastwork activities as directed. It is the Horse Trainers insurance policy that covers a trackrider should they sustain an injury whilst undertaking trackwork activities at a licensed or private training track.

At no time are Exercise Riders permitted to ride at any speed greater than ½ pace at Ascot, Belmont or Larkhill until they have received a licence upgrade from RWWA up to the level of Fast-work Rider. For a trackwork rider's licence to be upgraded from Exercise Rider to Fast-work Rider, a licensed track-work rider will have to:

- Provide evidence of having previously held a Track-work License that included authorisation to ride fast-work; or
- Submit an application for a licence upgrade (copy Attached) and complete the assessment process there will be no charge
  associated with this licence upgrade if approved.

The established process in Western Australia for obtaining an Exercise Rider's trackwork licence is to:

- Applicant must already be licensed as a stablehand or licensed by another PRA before applying for a trackwork license or at the steward's discretion.
- 2) Complete the application form in full to Licensing & Registrations (fee will be transferred from stablehand license or applicable fee if transferring from another PRA).
- 3) Provide a current national police clearance with an issue date no older than 6 months if 18 or over (if not already licensed as a Stable Hand);
- 4) Complete the Parental/Guardian consent (if applicant is under 18 years of age)
- 5) Provide a medical clearance from a registered doctor on RWWA's Confidential Medical Examination Report form;
- 6) Provide a recent Passport sized photo
- 7) Ensure your application is signed and witnessed
- 8) Attach a full copy of either one of the following proofs of ID: Birth Certificate; Certificate Extract of Birth; current Passport or current Drivers Licence
- 9) Attend an interview with RWWA representatives where questions regarding horse riding, track rules and general safety must be satisfactorily answered;
- Have their riding helmet, body protector (vest) and whip inspected and signed-off by a RWWA representative to ensure all items meet the requirements of applicable Rules of Racing and Australian Standards;
- 11) Contact RWWA to arrange a time to be interviewed and provide a clear urine sample free from banned substances refer to the RWWA Alcohol and Drug Testing Policy (Human) for further information; and
- 12) Demonstrate competent track riding skills in-front of a track steward, RWWA Steward or RWWA Jockey Coach.

On successful completion of the above steps an Exercise Riders licence will be issued.

For additional information please contact the RWWA licensing team on 9445 5558.

On 1 January 2006 the Parliament of Western Australia proclaimed the *Oaths, Affidavits and Statutory Declarations Act 2005* which makes changes to the manner in which oaths, affidavits and statutory declarations are administered and witnessed

Professions that can witness statutory declarations include:

- Academic {post-secondary institution}
- Accountant
- Architect
- Australian Consular Officer
- Australian Diplomatic Officer
- Bailiff
- Bank Manager
- Chartered secretary
- Chemist
- Chiropractor
- Company auditor or liquidator
- Court officer {Judge, magistrate, registrar or clerk}
- Defense Force officer
- Dentist
- Doctor
- Electorate Officer {State WA only}
- Engineer
- Industrial organisation secretary
- Insurance broker
- Justice of the Peace {any State}
- Lawyer
- Local government CEO or deputy CEO
- Local government councilor
- Loss adjuster
- Marriage Celebrant
- Member of Parliament {State or Commonwealth}
- Minister of religion
- Nurse
- Optometrist
- Patent Attorney
- Physiotherapist
- Podiatrist
- Police officer
- Post Officer manager
- Psychologist
- Public Notary,
- Public Servant (State or Commonwealth)
- Real Estate agent
- Settlement agent
- Sheriff or deputy Sheriff
- Surveyor
- Teacher
- Tribunal officer
- Veterinary surgeon



#### RACING AND WAGERING WESTERN AUSTRALIA

# Licensing & Registrations

14 Hasler Road, Osborne Park WA 6017 Telephone (08) 9445 5558 Facsimile (08) 6314 4792

ABN 21347055603

Form: LRF-005

# LICENCE/PERMIT - MEDICAL EXAMINATION REPORT

Part A & B

#### APPLICANTS NOTE:

- (1) Only return part A & B completed (This page) to Licensing & Registrations if you are passed "Fit".
- (2) Your Doctor must retain the completed parts C & D if you are passed "Fit".
- (3) If you are not passed "Fit" and wish to continue with your application you must return all parts completed, to Licensing & Registrations.

Reg	jistrations.					
	А	PPLIC	ANT MUST	COMPLETE	PART "A"	$\overline{}$
PLEASE TIC	K LICENCE/PERMIT APPLIED	,				A
	THOROUGHBRED	Jockey	Apprentice Jockey	Track Work Rider	Trainer Riding Track Work	
	•		Joiney			
	HARNESS	Driver	Trainer & Driver	Trainer	Stable Hand	
	or pension? eg \				/ form of accident or disa ability Pension etc. If so	
prohib any do	ited substance ui ubts, please refe	nder the Ru	iles of Racing for a and discuss with y	ny condition? If so p	d be categorised as a bar provide details. (Should y for before responding.)	ou have
relevant false or cancella currence permit a Racing Clinical relevant retain a	e that all the particular information or proving misleading information of my licence or part immediately adv. Wagering WA (R) reports and X-ray at information to othe licence or permit. Its	lars stated o vided any fals ation, statem or permit. I permit I agre vise the Stew WWA). I also and Patholog r medical per acknowledge	se or misleading information or declaration in also declare should a e to abstain from car wards, and if required so authorise a Medical by reports from any Missonnel to use the information and that I am required to	nchments are complete mation, statement or de this Report or attachr any of the Conditions or rying on any activity re submit myself to examina Practitioner approved be edical Practitioner I have rmation for the purpose	and correct and that I have no claration. I acknowledge that ments I am liable to refusal, contained herein become evi- gulated by or associated with mation by a Medical Practition by RWWA to obtain information or attended. I also authorise as of assessing my suitability the en notification to Licensing &	if I provide any suspension or ident during the h the licence or her approved by on from relevant RWWA to refer to be granted or
_	SIG	SNATURE OF A	PPLICANT		DATE	

# EXAMINING DOCTOR MUST CIHECK RELEVANT BOX

# EXAMINING DOCTOR MUST COMPLETE PART"B" FITNESS FOR LICENCE/PERMIT APPLIED FOR

В

I have today personally examined the applicant in accordance with parts C & D of this Report, which included taking into account his/her answer to the questions in Part A "Have you at any time received, applied for or do you currently receive any form of accident or disability benefit or pension? eg Worker's Compensation/ Personal Injury Claim/Disability Pension etc. the , and hereby declare that (please check appropriate box:

	NAME & ADDRESS OF EXAMINING MEDICAL PRACTITIONER	
medic	** Where an applicant requires on-going treatment with medication, or is of ations that are prohibited under the Rules of Racing (see details over) this may rest of a licence and it is therefore appropriate to complete the above section.	
	Symptom/Condition:	
	In my opinion the applicant has the following symptoms or conditions*** that may <a href="UNFIT"><u>UNFIT</u></a> for the issue of the licence/permit applied for and I recommend that the a the RWWA Medical Officer for further examination. (NB: Applicant must return this option is applicable)	pplicant be referred to
	In my opinion the applicant <u>HAS NO SYMPTOMS OR CONDITIONS</u> that <u>MIGH</u> for the issue of the licence/permit applied for and therefore <u>IS FIT</u> without restrict the licence/permit applied for.  Further, I do not consider any further reports or tests are required of this application unfavourable in the applicant's personality as revealed by history, appearance a	tion for the issue of nt. I found nothing

#### MEDICAL EXAMINER NOTE:

- (1) If the examining Doctor cannot declare the applicant's fitness to hold the licence/permit applied for, <u>all parts</u> must be completed and returned to the applicant if he/she wishes to continue with the application. The Examining Doctor may retain a copy.
- (2) If the applicant is under any treatment involving medications/substances prohibited by the RWWA Rules of Racing as outlined on page 3, this may render them unfit for licence, in which case this should be indicated accordingly above.
- (3) If the applicant is passed "Fit" parts, "C" & "D" must be retained by the Examining Doctor.
- Please see EXAMINING DOCTORS NOTE regarding the licence/permit types.
- (5) Use of the words 'Fit" or "Fitness" refers to the "Fitness" of the applicant to carry out the activities regulated by the licence/permit applied for.

#### PROHIBITED/BANNED SUBSTANCES

The respective Rules of Racing for Thoroughbreds and Harness below, outline those substances that are prohibited or banned in riders, drivers, Jockeys, Track Work Riders and other categories specified within the Rules.

The following are a guide to banned substances which also includes "drugs of abuse" within the scope of that expression as used in the document published by Standards Australia AS4308-1995 or its equivalent.

Lysergic acid diethylamide (LSD) (0µg/L);

All barbiturates (0µg/L);

All diuretics (0µg/L):

Probenecid: (0µg/L)

Alcohol (at a concentration in excess of 0.02% on a breath analyser):

All stimulants – substances in this group include, but are not restricted to, Amphetamine (150µg/L): Methylamphetamine (150µg/L): Methylamphetamine (MDA) (150µg/L): Methylamphetamine (MDEA) (150µg/L): Methylamphetamine (MDMA) (150µg/L): Methylamphetamine (MDMA) (150µg/L): Methylamphetamine (0µg/L): Methylamphetamine (100µg/L): Ephedrine (10,000µg/L).

Substances in this group excluded are: Levo-amphetamine: Levo-methylamphetamine: Phenylpropanolamine: Pseudoephedrine.

All anorectics – substances in this group include, but are not restricted to, Phentermine (500µg/L): Diethylpropion (0µg/L): Sibutramide (0µg/L).

All opiates and opioids – substances in this group include, but are not restricted to, Morphine (0µg/L), save as specified by AR.81C): Codeine (0µg/L), save as specified in AR.81C): Oxycodone (0µg/L): Fentanyl (0µg/L): Alfentanil (0µg/L): Pethidine (0µg/L): Methadone (0µg/L): Hydromorphone (0µg/L): Buprenorphine (0µg/L). Substances in this group excluded are: Dihydrocodeine: Dextromethorphan: Pholocodine: Propoxyphene: Tramadol

All dissociative anaesthetics and related substances – substances in this group include, but are not restricted to: Ketamine (0µg/L): Phencyclidine (0µg/L): Tiletamine (0µg/L).

Gamma-hydroxybutyrate (GHB) and pro-drugs of GHB (1,4-butanediol: gammabutyrolactone) (10,000µg/L).

Benzylpiperazine (500 µg/L) and phenylpiperazine (0µg/L) and their derivatives (0µg/L).

Tryptamine derivatives (0µg/L) (e.g. dimethyltryptamine: alphamethyltryptamine: hydroxydimethyltryptamine and related substances)

All benzodiazepines – substances in this group include: but are not restricted to: Diazepam (200µg/L): Nordiazepam (200µg/L): Oxazepam (200µg/L): Alprazolam (100µg/L, as alpha-hydroxyalprazolam): Clonazepam (100µg/L, as 7-aminoclonazepam): Flunitrazepam (100 µg/L, as 7-aminoflunitrazepam): Nitrazepam (100µg/L, as 7-aminonitrazepam): Bromazepam (0µg/L): Clobazam (0µg/L): Flumazenil (0µg/L): Lorazepam (0µg/L): Midazolam ((0µg/L): Triazolam (0µg/L): and substances with similar structure or pharmacological activity – benzodiazepine receptor agonists (zalplon: zolpidem: zopiclone).

#### NOTE

RWWA recognises that some medications which may fall into the above categories are essential for the treatment of substantial illness, condition or ailment suffered by an applicant for licence. Where such medication is prescribed by a medical practitioner who is a recognised specialist in the relevant field of medicine permission may be granted in consultation with the RWWA Medical Officer for that person to be granted a licence. In such circumstances full details of the condition and medication must be outlined to the Stewards for approval prior to the person engaging in activity governed by a licence.

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#### EXAMINING DOCTORS NOTE

KEY PHYSICAL FACTORS REQUIRED OF AN APPLICANT FOR ANY LICENCE/PERMIT

ARE – STRENGTH, MOBILITY, REFLEXES, EYESIGHT AND HEARING.
PLEASE ALSO REFER TO PAGE 3 REGARDING PROHIBITED OR BANNED
SUBSTANCES.

#### THOROUGHBRED APPLICANTS



- <u>Jockey & Apprentice Jockey:</u> Require overall fitness to control a thoroughbred horse whilst riding it in races and trials.
- <u>Track Work Rider:</u> Require overall fitness to control a thoroughbred horse whilst riding it in fast or slow work in close proximity to other riders and horses in training.
- Trainer who rides Track Work: Require overall fitness to control a thoroughbred horse whilst riding it in fast or slow work in close proximity to other riders and horses in training.

#### HARNESS APPLICANTS



- <u>Driver & Trainer/Driver</u>: Requires overall fitness to control a standardbred (Pacer/Trotter) horse whilst driving it from a sulky in races and trials.
- <u>Trainer:</u> Requires overall fitness to control a standardbred (Pacer/Trotter) horse whilst driving it from a sulky in fast or slow work in close proximity to other horses.
- <u>Stable Hand:</u> Requires overall fitness to control a standardbred (Pacer/Trotter) horse whilst driving it from a sulky in fast or slow work in close proximity to other horses.



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RACING AND WARFRING WESTERN AUSTRALIA

ABN 21347055603

CONFIDENTIAL

Part C & D

#### LICENCE/PERMIT - MEDICAL EXAMINATION REPORT

ONCE COMPLETED, AND THE APPLICANT IS DECLARED "FIT", PARTS C & D MUST BE RETAINED BY THE EXAMINING DOCTOR. IF THE EXAMINING DOCTOR CANNOT DECLARE THE APPLICANT "FIT " AND THE APPLICANT WISHES TO CONTINUE WITH THE APPLICANT, ALL PARTS MUST BE COMPLETED AND RETURNED TO THE APPLICANT FOR REFERRAL TO THE RWWA MEDICAL OFFICER.

- THE APPLICANT MUST COMPLETE PARTS A (PAGE 1) AND C (PAGES 4 & 5).
- THE EXAMINING DOCTOR MUST COMPLETE PARTS B (PAGE 2) AND D (PAGES 6 & 7).

(PLEAS	SE PRINT AI	LL DETAILS)						C	
PLEASE TH	CK LICENCE/PER	MIT APPLIED FOR							
THO	ROUGHBRED	Jockey	Apprentice Jockey		Track Work Rider		Trainer Riding Track Work		_
HAR	NESS	Driver	Trainer & Driver		Trainer		Stable Hand		
Mr/Mrs/Ms Surv	Miss		GIVEN	NAMES: _					_
		DATE OF BIRTH (D	ay) (M	lonth)		'ear)			

#### DETAILS OF PERSONAL MEDICAL HISTORY

Have you experienced or do you suffer any of the conditions listed below? (Circle Yes or No)

CO	NDITION		
1.	Nervous disorders including nerves, Depression, nervous breakdown, mental or emotional instability, Anxiety state or attempted suicide.	Yes	No
2.	Headaches or Migraine	Yes	No
3.	Fits, convulsions, turns, blackouts, fainting, giddiness or Epilepsy.	Yes	No
4.	Lung or chest infections, Pneumonia, Bronchitis, Asthma or Tuberculosis.	Yes	No
5.	Heart disease, blood pressure, Rheumatic fever or Angina pectoris	Yes	No
6.	Indigestion, pain after eating, Gastric or Duodenal ulcers, Hiatus Hernia, Gall Bladder disease, recurrent Diarrhoea or Appendicitis.	Yes	No
7.	Kidney or Bladder problems, Cystitis (Inflammation of the bladder) or Stones.	Yes	No
8.	Diabetes, Goitre, Thyroid disease or any disease of the Lymphatic Glands.	Yes	No
9.	Anaemia or blood disease.	Yes	No
10.	Perforated eardrums, deafness, Tinnitus (Noises in the ears), earache, ear discharge or blocked ears.	Yes	No
11.	Sinusitis, frequent head colds, blocked nose, hay fever or allergies	Yes	No
12.	Back, spine or neck injuries or pain or Arthritis.	Yes	No
13.	Fractures or dislocations.	Yes	No
14.	Head injury, concussion or unconsciousness.	Yes	No
15.	Skin disease, Eczema or Dermatitis.	Yes	No
16.	Speech defect.	Yes	No
17.	Surgical procedures or hospital admission.	Yes	No
18.	Any other sickness or injury not mentioned above.	Yes	No
19.	Have you ever made a claim for Workers Compensation?	Yes	No

Form: LRF-005

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Ref. No.	Details
20. <b>TETANUS</b> - What date did you las	receive a Tetanus injection or booster?
21. TOBACCO - How many cigarettes	or other tobacco products do you smoke per day?
22. ALCOHOL - How many standard	Icoholic drinks do you consume per day?
	ils of any oral, injectable or topical medication currently prescribed for you by a Medi
	ed for you by a Medical Practitioner in the past (Also include any herbal preparations you ι erwise). (NB See Page 3 and notation of page 2 regarding impacts for fitness for licence)
1.	2.
3.	4.
5.	6.



#### PHYSICAL EXAMINATION REPORT

THE MEDICAL PRACTITIONER WHO EXAMINED THE APPLICANT MUST COMPLETE & RETAIN PART D (PAGES 5 & 6).

APPLICA	NT'S SURNAME	:			GIVEN NAMES:			
1. <b>Height</b> (Metres Barefoot)	-			ht (Kilograms - derclothes)		3. Body Ma: (Weight÷		ı
EYES						EXAM	INER'S	COMMENTS
4. Lids & Cornea	- Normal?	•		Yes	No			
Visual acuity for	distance			Right	Left			
5. Uncorrected				6/	6/			
6. Corrected				6/	6/	•		
7. Movement – N	ormal			Yes - No	Yes - No			
8. Fields (Confrontation test) - Normal				Yes - No	Yes - No			
9. Are contact len	ises or spec	tacles worn?		No	Yes			
EAR NOSE & TH	IROAT							
10. Nose – Norma	al?			Yes	No			
	Ears			Right	Left			
11. External audit	tory canal –	Normal?		Yes - No	Yes - No			
12. Tympanic me	mbrane – N	ormal?		Yes - No	Yes - No			
13. Conversation Normal?	nal voice @	2.5 metres b	inaural –	Yes - No	Yes - No			
MUSCULO SKEI	LETAL SYS	TEM						
14. Spinal Function	on – Normal	?		Yes	No			
15. Strength & F extremities -		vement in up	per or low	rer Yes	No			
16. Joints - Norm	nal?			Yes	No			
17. Limbs - Norm	al?			Yes	No			
18. Any orthopae	dic applianc	es worn?		No	Yes			
CENTRAL NERV	OUS SYST	ЕМ						
19. Pupillary Refl	exes – Norm	nal		Yes	No			
20. Tendon/Refle	xes – Norma	al		Yes	No			
21. Cranial nerve	s – Normal			Yes	No			
22. Any sign of gr	ross sensory	disturbance	?	No	Yes			
23. Any sign of pa	aresis, tremo	or or tics?		No	Yes			
CARDIO VASCU	LAR SYSTE	М		•				
24. Pulse rhythm	& character	– Normal		Yes	No			
25. Heart sounds	– Normal			Yes	No			
26. Pulse rate:		ВРМ	Normal	? Yes	No			
27. Peripheral pu	lses - Norma	al?		Yes	No			
28. Blood Pressure	Sitting	(Systolic)	1	(Diastolic)	Standing	(Systolic)	1	(Diastolic)
29. Note: If BP is BP afte		140 (Systol as been lyin			Lying	(Systolic)	1	(Diastolic)

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RESPIRATORY SYSTEM			<b>EXAMINER'S COMMENTS</b>
30. Respiratory system – Normal?	Yes	No	
DIGESTIVE SYSTEM & ABDOMEN		•	
31. Oropharynx – Normal	Yes	No	
32. Spleen – Normal	Yes	No	
33. Liver – Normal	Yes	No	
34. Other abdominal organs – Normal	Yes	No	
35. Is Hernia present?	No	Yes	
GENITO URINARY	•		
36. Urine - Glucose - Normal?	Yes	No	
Albumin - Normal?	Yes	No	
Other Abnormalities?	No	Yes	
37 Testes – Any abnormality affecting fitness?	No	Yes	
SKIN			
38. Skin - Normal?	Yes	No	
39. Any body marks or scars?	No	Yes	
OTHER			
40. Thyroid gland – Normal?	Yes	No	
41. Lymph glands – Normal?	Yes	No	
42. Speech - Normal?	Yes	No	
FEMALE APPLICANTS			
43. Dysmenorrhoea?	No	Yes	
44. Menorrhagia?	No	Yes	
45. Is the applicant pregnant?	No	Yes	
OTHER			
46. Is there evidence of drug or alcohol abuse?	No	Yes	
	nsider but do	not perform	, fasting blood lipids, glucose & stress ECG.

#### EXAMINING DOCTOR NOTE:

- IF THE APPLICANT IS "FIT", PART "A" AND "B" (PAGE 1) MUST BE COMPLETED AND RETURNED TO THE APPLICANT FOR LODGEMENT WITH HIS/HER APPLICATION. PART "C" AND "D" (PAGES 3 6) MUST BE RETAINED BY THE EXAMINING DOCTOR.
- IF THE APPLICANT IS NOT "FIT" AND WISHES TO CONTINUE WITH THE APPLICATION ALL PARTS MUST BE COMPLETED AND RETURNED TO THE APPLICANT FOR REFEREAL TO THE RWWA MEDICAL OFFICER. THE EXAMINING DOCTOR MAY RETAIN A COPY.
- Please note provisions with respect to prescription medicines or similar which may be contrary to the rules of racing. (see page 3).



#### RWWA LICENSED PERSONS - USE OF SOCIAL MEDIA POLICY

#### Introduction

This document outlines the expectations of RWWA, and provides guidance, with regards to the use of Social Media by persons who are licensed within the Racing Industry in all three codes of Racing.

#### Purpose

Social Media, including blogs, chat rooms, forums, facebook, twitter, youtube and other public media are a popular and ever-changing way for persons to interact with each other and share comment and opinions on issues.

RWWA acknowledges that Social Media is an important medium for persons within the Racing industry to engage with others, voice their opinions and be involved in debate on industry issues.

This Policy provides licensed persons with guidance as to the expectations of RWWA where Social Media comment/discussion/content or publication relates to anything in connection with Racing. It is designed to protect the interests of Racing and RWWA and the persons associated with them.

This Policy requires that when using Social Media persons are clear about their responsibilities in regard to the image of Racing, and ensure that any references to Racing are factually correct and accurate and do not breach confidentiality or integrity requirements. Person must show respect for the individuals and groups with which they may interact.

This Policy does not apply to personal use of Social Media by licensed persons where the user makes no reference to Racing or Racing related issues.

#### Scope

This Policy applies to all persons defined as Licensed within the Policy.

**DEFINITIONS-** for the purpose of this Policy:

"Licensed Person" means any Thoroughbred, Harness Racing or Greyhound;

Al Technician Bookmaker's Clerk Driver's Agent Owner Attendant Breeder Farrier Rider's Agent Apprentice Jockey Catcher Handler Stablehand **Bookmaker** Driver Jockey Strapper Track Rider Studmaster Veterinary Surgeon Trainer

NB: Person's mentioned above that do not hold a specific license with RWWA, are bound by the Rules of Racing in accordance with section 45(6) of the Racing and Wagering Act 2003 and for the purposes of this policy are therefore defined within the policy as "Licensed Persons".

"Racing/Racing Industry" means Thoroughbred, Harness or Greyhound Racing wherever in the world it is conducted.

"RWWA" means Racing and Wagering Western Australia.

"Social Media" means, but is not limited to, the personal or business use of:

- Social networking sites e.g. Facebook, MySpace, Bebo, Friendster and LinkedIn;
- Micro blogging sites e.g. Twitter;
- · Weblogs, like Wordpress and Blogger, including corporate blogs, personal blogs or blogs hosted by media outlets;
- Online newspapers allowing comments;
- Wikis and online collaboration sites, like Wikipedia;
- Forums and discussion boards and groups, e.g. Whirlpool, Yahoo! groups or Google groups, including racing and sports related forums;
- Online multiplayer gaming;
- Instant messaging (SMS);
- Video and photo sharing websites e.g. Flickr and You Tube;
- Any Mobile app that allows reviews, comments or geo-tagging.

"Social Media platforms/channels" means Social Media platforms or Social Media channels are the sites used for Social media purposes, like Facebook, Twitter, blogs etc.

"Publish/Publications/Posts" means publishing, publications, posts and posting in this document all mean anything written, uploaded or otherwise published on a Social Media platform.

"Engage/Engagement" means actively publishing content of any type (text, photos, videos etc.) on Social Media channels as a reaction to other online publications.

"Content" means any text, image or video that is ready for publication or has been published on a Social Media platform.

#### Use of Social Media

Licensed Persons must not use Social Media to:

- Make/Publish any comments, which may be deemed to be detrimental to the image of Racing, or which may bring Racing into disrepute;
- Be disrespectful or abusive to any individuals or communities with which they interact/engage with;
- Use the identity of another licensed person or Racing official;
- Contravene any Rules of Racing;
- Disclose any information that is not readily available to the Public or disclose any confidential information (eg matters which are the subject of an ongoing Stewards inquiry or investigation);
- Publish any information in relation to Racing that is not factual or informed;
- Post material, content or comment, that is obscene, offensive, defamatory, disparaging, racist, threatening, harassing, bullying, discriminatory, hateful or abusive to another person or entity including Racing and RWWA, its employees, officials, other participants, contractors, partners and competitors.

Licensed Persons when using Social Media must:

- Adhere to the Terms of Use, and seek to conform to the cultural and behavioral norms, of the Social Media platform being used;
- Identify themselves as a licensed person if they are referring to any matter involving RWWA, its people, its officials, other licensed persons or competitors and/or other racing related individuals or organizations;
- Not publish/post any content, in relation to Racing, that is false, misleading or unsubstantiated;
- Respect copyright, privacy, financial disclosure and other applicable laws;
- Be polite and respectful of other individuals and communities opinions;
- Ensure that anything published is free from harassment regarding racial, ethnic, religious, physical or sexual characteristics or sexual orientation;
- Not make an assumption that their identity as a Licensed Person, within the Racing Industry, may not be revealed.

A breach of this Policy may result in that person, or persons, being called to appear before the RWWA Stewards to face the possibility of disciplinary action. As a result of these proceedings a Charge(s) may be laid under the Rules of Racing with penalties of fines, suspensions, disqualifications or cancellations of licenses being invoked.

Any queries in relation to this Policy should be directed to the RWWA Stewards Department.

(Paragraph in italics added to "Licensed Person" definition 25/2/13)

# DISCRIMINATION / SEXUAL HARASSMENT STATEMENT



#### DISCRIMINATION AND SEXUAL HARASSMENT

RWWA wishes to remind all industry participants of their rights and responsibilities with regard to discrimination and sexual harassment.

Discrimination and sexual harassment are unacceptable and unlawful forms of behaviour.

All people have the right to work in an environment that is free of sexual harassment, victimisation and discrimination.

RWWA, as the regulatory body for racing in Western Australia, provides support services, to promote working environments which are free of sexual harassment and discrimination. These services include the following:

Contact with Industry Representatives

Availability of course material for trainees and apprentice jockeys

Contact with independent bodies, eg. Human Rights and Equal Opportunities Commission, Anti-Discrimination Commission

A range of options exists to ensure all those involved in the industry have at least one channel of complaint with which they feel comfortable.

RWWA will not tolerate sexual harassment and discrimination in the Western Australian racing industry.

Our Stewards will enforce the Rules of Racing and will refer complaints to appropriate authorities which may include the police where appropriate.

#### WHAT IS DISCRIMINATION?

Discrimination occurs when someone is treated unfairly or badly compared to others, often because they are seen as different. It is against the law to discriminate against people because of:

- Sex (whether male or female)
- Marital or parental status
- Race
- Age
- Impairment (whether physical, intellectual, psychiatric or mental disability, injury or illness, including whether they are HIV+ or use a guide dog, wheelchair or some other remedial device)
- Religion
- Political belief or activity
- Trade union activity
- Lawful sexual activity (whether they are gay, lesbian, heterosexual or bisexual)
- Pregnancy or breastfeeding

It is also against the law to discriminate against a person because they associate with or are related to someone who has any of these attributes or personal characteristics.

Unlike sexual harassment, which is against the law in all circumstances, discrimination is only against the law if it covers one or more of the grounds listed above and it occurs in any of the following areas:

- Employment
- Accommodation
- Education
- · Provision of goods and services
- Access to places and vehicles

- · Club membership and affairs
- Application forms
- Advertising
- Superannuation and insurance
- Sport

Additionally, the Racial Hatred Act covers public acts which are done because of race, colour, national or ethic origin of a person and are reasonably likely to offend, insult, humiliate or intimidate that person or group.

#### WHAT IS SEXUAL HARASSMENT?

Sexual harassment is any unwanted, unwelcome or uninvited behaviour of a sexual nature that makes a person feel humiliated, intimidated or offended.

Sexual harassment can take many different forms and may include physical contact, verbal comments, jokes, propositions, the display of offensive material or other behaviour, which creates a sexually hostile working environment.

Examples of sexual harassment include:

- lewd jokes, comments or innuendo
- · sexual comments, advances or propositions
- unzipping or undoing attire
- · offensive, obscene language or crude gestures
- persistent questions or insinuations about a person's private life
- display of sexist literature
- nude/pornographic pictures (including screensavers)
- offensive, obscene language or crude gestures
- obscene telephone calls, faxes or emails

Sexual harassment can involve behaviour that would also be an offence under the criminal law. For example, physical assault, indecent exposure, sexual assault, stalking or obscene communication.

Sexual harassment is <u>not</u> behaviour that is based on mutual attraction, friendship and respect. If the interaction is consensual, welcome and reciprocated it is not sexual harassment.

#### COULD DISCRIMINATION OR SEXUAL HARASSMENT AFFECT YOU? YES

**Everybody** who is engaged in activities related to the Western Australian Racing Industry must be aware of their responsibilities and rights with regard to discrimination, including sexual harassment.

**Everybody** includes owners; trainers; jockeys; RWWA's employees; contractors; trainees and all other associated persons who are engaged in activities in all areas of the racing industry in Western Australia.

#### WHAT ARE YOUR RIGHTS?

- Everyone has the right to work in an environment which is free of harassment
- Sexual harassment is against the law
- Sexual harassment is against the Rules of Racing
- Confidential complaint procedures are available

#### WHAT DOES THE LAW SAY?

**Sexual harassment is prohibited in Australia** by the Federal *Sex Discrimination Act* and anti-discrimination laws in all States and Territories. The *Sex Discrimination Act* prohibits sexual harassment and other forms of discrimination in areas such as employment, the provision of services, education and accommodation.

Sexual harassment is a legally recognised form of sex discrimination. Sexual harassment can be a breach of an employer's common law duty to take reasonable care for the health and safety of employees. It can also be a breach of occupational health and safety legislation.

#### WHAT ARE THE RULES OF RACING?

Stewards are empowered to investigate misconduct or allegations of misconduct and to lay charges and impose penalties under certain Rules of Racing.

#### WHO IS RESPONSIBLE?

#### Are individual persons responsible? YES.

- Persons, including employers, employees and contract workers are personally liable for their own acts of sexual harassment
- A person is personally liable for any act of victimization or discrimination
- A person is personally liable for causing, instructing, inducing, aiding or permitting another to discriminate (including discrimination involving sexual harassment)

#### Are employers responsible? YES.

- An employer is vicariously liable\* for any acts of harassment or discrimination committed by employees or agents in connection
  with their duties unless "all reasonable steps" were taken by the employer to prevent harassment and discrimination occurring
- Lack of awareness that an employee or agent sexually harassed or discriminated against another will not discharge and employer's vicarious liability\*

\*Vicarious liability is an employer's legal responsibility for wrongs committed by employees in the course of work.

#### HOW TO MAKE A COMPLAINT

Seek a complaint channel that you both trust and feel comfortable with. Investigate internal complaint channels. External complaint channels include:

- Human Rights and Equal Opportunities Commission
- State Equal Opportunities Commission
- Sexual Assault Resource Centre



# **RWWA LICENSING POLICY**

The RWWA Integrity Assurance Committee (IAC) did resolve on the 20 August 2007 to adopt the following policy.

#### **RWWA Licensing Policy**

In determining whether a person is suitable, appropriate or a "fit and proper person" to hold a license, or remain licensed with RWWA, the RWWA Board or its delegate may take into account any relevant matter relating to the person and including the following:

- (a) That the person has satisfactorily met and continues to meet, the knowledge, ability and experience requirements relevant to that category of licence as determined from time to time by the licensing body.
- (b) Any conviction of the person of any offence under any Rules of Racing not restricted to these RWWA Rules of Racing; and
- (c) Any conviction of the person of an offence punishable by imprisonment regardless of whether imprisonment was applied;
  - (i) against another law of the Commonwealth; or
  - (ii) against a law of a State or Territory; and
- (d) Whether the person is, or has been, an undischarged bankrupt or is taking advantage of the laws relating to bankruptcy; and
- (e) Whether any false or misleading information or document has been provided in relation to the person at the time of application or renewal of licence;
- (f) Whether the person has previously been refused a licence by any licensing authority;
- (g) Whether or not the person is likely to breach a licence condition;
- (h) Whether the person has omitted to disclose or has not fully disclosed information that is relevant to an application for licence or renewal;
- (i) Whether the person has any association with persons or groups that are undesirable to the reputation of the racing industry; and
- (j) Without limiting any other paragraph, whether the person has failed to pay an amount or such other account for which the person is or was liable for as confirmed by an order of a Court or Magistrate having jurisdiction in such matters.

Any person found at any time to not be a "fit and proper person" may, in accordance with the relevant Rules of Racing, have any licence or registration held by them at time cancelled or have any application for licence or registration refused.

#### WHO CAN YOU CONTACT?

The RWWA Racing Integrity Division at

14 Hasler Road

OSBORNE PARK WA 6017 Phone: (08) 9445 5570

**Greyhound Racing Stewards** 

Phone: (08) 9445 5237

**Thoroughbred Racing Stewards** 

Phone: (08) 9445 5570

Harness Racing Stewards Phone: (08) 9445 5157

#### **HUMAN RIGHTS AND EQUAL OPPORTUNITY COMMISSION**

Level 3, 175 Pitt Street, Sydney SYDNEY NSW 2000 Phone: (02) 9284 9600 Facsimile: (02) 9284 9611 General Enquiries: 1300 369 711 Complaints Hotline: 1300 656 419 Email:complaintsinfo@humanrights.gov.au Web: http://www.humanrights.gov.au

#### **EQUAL OPPORTUNITY COMMISSION**

Level 2, Westralia Square 141 St Georges Terrace PERTH WA 6805 Phone: (08) 9216 3900 Facsimile: (08) 9216 3960

Country Callers (FREECALL): 1800 198 149 Email: eoc@equalopportunity.wa.gov.au

Web: http://www.eoc.wa.gov.au

#### SEXUAL ASSAULT RESOURCE CENTRE (SARC)

Phone: (08) 9340 1820 Facsimile: (08) 9381 5426

Crisis Line (24 hours): (08) 9340 1828 Counselling Line (24 hours): (08) 9340 1899 Country Callers (FREECALL): 1800 199 888

#### **CRISIS CARE**

Department of Community Development Counselling Line (24 hours): (08) 9223 1111 Country Callers (FREECALL): 1800 199 008

#### **LIFELINE WA**

57 Murray Street PERTH WA 6000

Counselling Line (24 hours): 131 114 Web: <a href="http://www.lifelinewa.org.au">http://www.lifelinewa.org.au</a>



# **Licensing & Registrations**

14 Hasler Road OSBORNE PARK WA Telephone (08) 9445 5558

# **HOW TO PAY**

Phone

Please call the telephone number on your document

**Credit Card** 

Or

Accepted Credit Cards:

--
MasterCard

Please complete the details below then detach the slip and return it with your completed application

**Detach and Return with your application** 

ГШШП	
RACING AND WAGERING WESTERN AUSTRALIA	CREDIT CARD AUTHORISATION SLIP
Credit Card Type please tick ✓ □ VISA □ N	MASTERCARD
Card No.	Card Expiry Date /
Name on Card	Amount \$
Card Holders Signature	
l agree to RWWA charging m	ny credit card account for the amount shown above
Account No Contact Telep	phone Number Invoice No/s

Form: LRF-001



## Information for Trackwork Licence Applicants

Thank you for applying for a Trackwork Licence. This information will assist you in your preparation for an interview which is now part of the application process to determine the applicant's knowledge about track work in addition to demonstrating riding skills.

RWWA has a 2 tier licensing process for new Trackwork Riders. New applicants will be issued with an Exercise Rider licence. At the following public tracks Exercise Riders will only be permitted to ride horses up to ½ pace

- Ascot
- Belmont
- Lark Hill
- Bunbury
- Kalgoorlie

Exercise Riders may ride fast work at any other training track, however if they ride work at any of the above named tracks, they must not ride at any pace greater than ½ pace until they have received an upgraded licence to that of Fastwork Rider.

To be upgraded to a Fastwork Rider, an Exercise Rider will have to either -

- Provide evidence of having previously held a Trackwork Licence and ridden fastwork or.
- Complete an application for an upgrade there are no time restrictions for this
  application. Once a rider has the skills required to ride fast work, they are
  encouraged to apply. There is no charge for an upgrade.

Attached is an outline of the types of information you are expected to know and it is recommended that you read through this document to confirm that you will be able to demonstrate your knowledge.

# Assessment of the Applicant for a Trackwork Rider Licence

New licence applicants are required to demonstrate competency against the requirements of Ride Horses in Trackwork from the Racing Training Package. This unit is concerned with the competencies related to riding horses at trackwork and include:

- 1. Protect the welfare of people and horses
  - 1.1. All safety requirements, rules and regulations for rider and horse, both general, those pertaining to safety and those pertaining to particular venues are followed.
  - 1.2. Gear is checked for safety and adjusted prior to riding
- 1.3. Problems which may result in dangerous riding situations are identified
- 2. Organise commitments
  - 2.1. Commitments to trainers and others are systematically recorded
  - 2.2. Obligations relating to commitments to ride are known and understood
- 3. Apply track riding skills
  - 3.1. Trainer or foreman's instructions are followed.
  - 3.2. Riding skills are appropriate to a variety of trackwork situations
  - 3.3. Horses are warmed up and down form working pace and are exercised in a balanced manner in all required gaits
  - 3.4. Pace of the horse is judged and maintained for required times and distances according to the trainers instructions
  - 3.5. Performance of the horse, including any irregularities, is evaluated and reported to the trainer or foreman
  - 3.6. Horses are entered to and ridden form the barrier stalls in a safe manner
  - 3.7. Aids are used in a professional manner within the Rules of Racing and in accordance with trainer's instructions.

## **Interview of Applicant**

The rules and safety requirements of being a Track Rider will be assessed. It is recommended that all applicants acquaint themselves with the Rules of Racing – these can be accessed at <a href="https://www.rwwa.com.au">www.rwwa.com.au</a>.

The interview of the applicant will determine that the applicant has knowledge and understanding of the following:

#### Gear -

Knowledge of riding gear:

- Boots flat sole with heel
- Skull cap that meets specification no AS/NZS.3838 1998 and is no older than 5 years old or has not been involved in a fall causing concussion nor has been damaged or modified in any way
- An approved Riding vest
- Spurs only ball type spurs with minimum ball diameter of 10mm
- Whips must be of a design approved by the stewards.

#### Track rules -

Track rules differ from track to track – it is essential that the applicant is aware of this and if the applicant is anticipating riding on Metropolitan tracks knowledge of all Metropolitan track rules must be demonstrated.

- Identify entrances and exits from track
- Rules for giving way and crossing the track
- Which tracks are used for slow and fast work
- Which side of track you pass another horse
- How many horse can work together (on each track)
- Location of 1st Aid and track officials etc
- Times of track opening and closure
- Personal lighting requirements prior to sunrise
- Warning siren(s) their meaning and actions which must take place
- Who to contact if you wish to report damage or other hazards on the track or lodge a complaint during trackwork (eg if other riders are not following rules or a riding recklessly)

#### Checking horses gear -

#### Bridle

- Bit is not too tight or loose
- Keepers and runners in place and buckles done up
- Reins not worn
- Extra gear (eg nose band, blinkers, pacifiers etc are correctly fitted)

#### Saddle

- Saddle cloth and packing is in place
- Girth is tight surcingle fitted and tight
- Stirrup leathers are attached safely and not worn
- Safety stirrup irons are not too small
- Stirrup leathers are adjusted to appropriate length for horses level of education and work to be done.

#### Examples of Dangerous situations -

- Track hazards and surfaces
- Loose or out of control horses
- Horses going in the reverse direction
- Fallen horses, riders
- Broken reins and / or other gear
- Horses new to track (particularly young horses)
- Vehicles and machinery
- Moveable and false rails
- Weather (thunderstorms, lightning, wind)

#### Following Instructions -

- Understand the instructions being given
- Ask questions to clear up any point you don't understand
- Rephrase or repeat the instruction in your own words so that the trainer can check your understanding
- Remember the instructions given
- note the paces the horse is to be worked at
- note the distances
- note which track or tracks the horse is to be worked on
- Accurately carry out the instructions
- Be aware of your horse's position on the track in relation to the distance markers while you are working the horse.

#### Reporting on horses performance in trackwork -

When you report back to a trainer it needs to be accurate and honest.

- If you have ridden the horse before, is anything out of character
- Lameness or any other unlevelness
- Coughing or respiratory noise
- Whether or not its action was free and unrestricted
- How long it took to get back to normal breathing
- How hard the horse worked into the bit and what degree of effort it was making
- Any behavioral problems such as
- Hanging in / out
- Leg interference (shoes hitting)
- Waywardness
- Excessive pulling
- Head carriage (high / low)

#### Rules Applicable to Trackwork Rider

Key:

AR. – indicates Australian Rule of Racing LR - indicates Local Rule of Racing

AR.8. To assist in the control of racing, Stewards shall be appointed according to the Rules of the respective Principal Racing Authorities, with the following powers:- (a) To make, alter, or vary all or any of the arrangements for the conduct of any race meeting under their control. (b) To require and obtain production and take possession of any books, documents and records relating to any meeting. [amended 20.11.02] (c) To enter upon and control all lands, booths, buildings, stands, enclosures, and other places used for the purposes of the meeting, and to expel or exclude any person from the same. (d) To regulate and control, inquire into and adjudicate upon the conduct of all officials and licensed persons, persons attendant on or connected with a horse and all other persons attending a racecourse. [amended 20.11.02] (e) To punish any person committing a breach of the Rules. [amended 20.11.02] (f) To determine all questions arising or objections made in reference to racing at the meeting. (g) To order the examination of any horse for the purpose of ascertaining its age or identity, or for any other purpose connected with the Rules. (h) To disqualify any horse entered for any race at a meeting which is removed from the course contrary to the orders of the Committee of the Club or the Stewards, or which is not produced at their request. [amended 20.11.02] (i) To require any nominator to satisfy them that he and any horse nominated by him is subject to no disability under the Rules. (j) To take or cause to be taken any sample from any horse and to make or cause to be made any test to determine whether any prohibited substance is present in the system of the horse. [paragraph replaced 20.11.02] (jj) To take or cause to be taken from any rider either prior to or after riding in any race, trial or trackwork any sample, and to make or cause to be made any test to determine whether any banned substance defined in AR.81B is present in such sample. [paragraph replaced 1..5.02] [amended 20.11.02] (k) (i) To take possession on the course or elsewhere of any horse for any of the purposes aforesaid and to detain such horse for such period as they consider necessary. (ii) On any course (whether a race meeting is being conducted thereon or not) to search any licensed person or any gear or equipment used by or about to be used by him and to take possession of any article or thing found as a result of such search which the Steward or Stewards making such search believe could afford evidence of a breach of or an offence under these Rules. (I) To order down any rider without assigning any reason and if they think fit to substitute another rider. (II) To adjudicate on the claim by any rider that a nominator or trainer of a horse had refused to honour a riding engagement, and to make an order regarding the engagement and/or any compensation considered appropriate. [paragraph added 1.2.01] (m) To prohibit any horse from starting in any race. (n) To order the removal from any horse of any shoes, racing plates, equipment or gear which has not been approved or is in their opinion unsuitable, unsafe or ineffective. [amended 20.11.02] (o) To order any rider to alter the length of his stirrups. [amended 20.11.02] (x) To publish in any newspaper or elsewhere any punishment imposed or any decision made by them in the exercise of

(x) To publish in any newspaper or elsewhere any punishment imposed or any decision made by them in the exercise of their powers under these Rules. (y) To exercise any other powers and duties laid down for them by the Principal Racing Authority concerned. (z) [deleted 20.11.02]

AR.8A. The powers given the Stewards under A.R. 8 (j), (l), (n), (o) and (u) may be exercised by the Chairman of Stewards, or the Steward acting as such, at any meeting, save and except that the power to punish under A.R. 8 (n) may be exercised only by the Stewards. [amended 20.11.02]

AR.8B. The Stewards shall have the power at any time to enter upon the premises occupied by or under the control of a licensed person and used in any manner in relation to any licence (hereinafter referred to as the premises) to: (i) Inspect and search the premises and also search any licensed person thereon. (ii) Examine any horse, take possession thereof and cause such horse to be- (a) removed from the premises and detained; or (b) confined to, or otherwise detained at, or within, the premises for such period and on such terms and for such purposes as they consider necessary. [paragraph replaced 20.11.02] (iii) Examine the premises and any article or thing situated thereon and take possession of any article or thing found as the result of such search and remove from the premises any article or thing of which possession has been taken and retain the same for such period as Stewards consider necessary under these rules. Provided that the onus of proof that the premises are not being used in any manner relating to any licence shall be upon the licensed person who has the occupation or control of the premises and the use thereof.

AR.8C. Stewards entering on the premises under the provisions of Rule 8B shall have the right to take thereto such persons, articles and things as they consider necessary to exercise the powers laid down by that Rule and to carry out their duties as stewards.

AR.8D. Any licensed person who, whilst the stewards are exercising the powers vested in them by Rule 8B or carrying out their duties, refuses to obey any reasonable direction of stewards or obstructs, hinders or delays stewards in exercising such powers or carrying out their duties, or incites any other person or persons to obstruct, hinder or delay stewards from exercising such powers, or carrying out their duties, or does not act to prevent any other person or persons on the premises from so doing, may be punished. LR. 8A. Any investigator or investigators appointed by the Principal Racing Authority shall have the powers mutatis mutandis as are given to the Stewards under AR. 8B, 8C, 8D, 8(j) and (jj), 8(k) (l) and (ii), LR. 9 and 12. L.R. 81D. Any person riding work under poor visibility conditions must wear a distinguishing light of approved design attached to his or her skull cap. This light must be switched on prior to proceeding on to the track.

L.R.81G. Any person other than a licensed jockey, apprentice, trainee apprentice, licensed trainer or permit to train holder who rides trackwork must have a permit to do so and be covered for personal accident either through their employer's workers compensation insurance policy or a personal accident insurance policy.

AR.81A. (1) Any rider commits an offence and may be penalised if - (a) a sample taken from him is found upon analysis to contain the presence of a substance banned by AR.81B; or (Amended 1/08/04) (b) he refuses or fails to deliver a sample as directed by the Stewards to do so. (2) Any rider may be prevented by the Stewards from mounting or riding a horse in a race, trial, trackwork, or anywhere on a racecourse property or training facility if in their opinion, based on their own observations or on medical or other competent advice, his faculties appear to be impaired by any substance banned by AR.81B. or by any other cause. (3) In the event of an analysis or a preliminary analysis of a sample taken from a rider pursuant to AR.8(jj) indicating the presence of a substance banned by AR.81B, or if a rider refuses or fails to deliver a sample when directed to do so, the Stewards may forthwith, pending the determination of any inquiry or other proceeding, stand down such person from riding.(amended 1/08/04) (4) In the event of a rider incurring a penalty under this rule he shall not resume riding until he delivers a sample as directed by the Stewards that is free of any substance banned by AR.81B. [rule deleted & replaced 1.5.02] LR.81I. For the purpose of AR.81A(1)(a) a finding on a breath analyser of a concentration of alcohol shall be deemed to be the finding of an analyst. LR81J. (1) The Stewards may at any time require any licensed jockey, or any person granted permission to ride in races or track work, to undergo a physical and/or medical assessment by a qualified medical practitioner at the satisfaction of the Stewards. (2) If in the opinion of the Stewards any such assessment is unsatisfactory, insofar as race riding or track riding is concerned, they may suspend the licence or permit of such jockey or permit holder. (3) Any rider who fails or refuses to submit to any such fitness or medical assessment procedure when required to do so may be punished by the Stewards.[inserted 21.09.04]

AR.81AA. (1) No rider shall present himself to ride in any race, trial or trackwork unless he is physically fit to fulfill the requirements of such activity. (2) Any rider may be required by the Stewards to present medical evidence or to undergo a medical or physical examination to prove his fitness to the satisfaction of the Stewards. (3) A pregnant rider shall not ride in races, trials or trackwork after the first trimester of her pregnancy. (4) A pregnant rider may ride during the first trimester of her pregnancy provided that, as soon as practicable after becoming aware that she is pregnant, she provides to the Stewards a certificate from a relevantly qualified medical practitioner that it is safe for her and the foetus for her to ride in races, trials and trackwork, and that her pregnancy creates no impairment to her capacity to control a racehorse. [rule deleted, rule added 1.7.02]

AR 81B. For the purposes of AR. 81A the following substances and/or their metabolites, artifacts and isomers are banned:
(a) Lysergic acid diethylamide (LSD) (b) Diuretics (c) Alcohol at a concentration in excess of 0.02% on a breath analyser.
(d) Anorectics at a concentration in excess of 500 micrograms per litre in urine. (e) anesthetics and narcotics. (f) All other substances listed in the Australian and New Zealand standard 4308, at the relevant concentrations set out therein. [rule added 1.5.02: para. (e) added 30.6.03]

AR.81C. The Stewards may stay in whole or in part, and for such period and under such terms and conditions as they think fit, the operation of any suspension from riding imposed for a breach of AR.81A. Provided that, in the event of any failure to comply with any of the terms and conditions of the stay, the Stewards may order that the penalty takes effect. [added 1.5.02]

AR.86A. No rider shall in any race, trial or in trackwork wear any apparel or use any equipment which has not been approved by the stewards.

AR.86B. Every rider when riding a horse shall wear footwear approved by the Stewards. [rule added 1.11.99]

AR.87. Every licensed or registered person or permit holder shall when mounted on a horse wear a properly affixed skull cap which conforms to one of the standards that have been approved by the Australian Racing Board. Note: Pursuant to AR87 the Australian Racing Board has ordered that: (a) The following standards are approved by the Australian Racing Board: (i) AS/NZ5.3838 2003; (ii) United States (US) ASTM F11 63-01; (iii) British Standards (BS) EN 1384/1997 onwards (b) All skull caps must be fitted with a nylon interlocking chinstrap clip attachment (c) All skull caps must be clearly marked with a date of manufacture. (d) The use of skull caps is subject to the conditions of Australian Rule Of Racing 87AA. [amended 1.10.00] [amended 1.1.04]

AR.87A. (1) While being ridden every horse shall be properly bridled and saddled and every saddle used in trials, tests or trackwork shall be equipped with safety irons of a design approved by the Stewards. Provided that in trials if a rider wears race boots the saddle shall be equipped with race irons. (Amended 1/08/04) (2) While being led outside the confines of any stable premises every horse shall have a bit in its mouth, which bit shall be attached to a lead. [rule deleted & replaced 1.11.99]

AR.87AA. (1) Every rider shall be responsible for the care and condition of his skull cap. (2) A skull cap is not regarded as serviceable and must be immediately replaced by the rider when – (a) a period of 5 years has expired since its date of manufacture, or (b) it sustains a severe impact, or (c) the wearer suffers from concussion following a fall. (3) The stewards may at any time take possession of a skull cap for inspection and may at their absolute discretion make an order that the skull cap must not be further used. [rule added 1.8.99]

AR87AAA. Every licensed or registered person or permit holder shall when mounted on a horse during darkness have affixed to his skull cap a safety warning light of a type approved by the Stewards. Provided that this Rule does not apply to any location where Stewards have ruled that sufficient artificial lighting exists. (*Amended 1/08/04*)

AR.87B. Every licensed or registered person or permit holder shall when mounted on a horse wear a body protector the standard of which has been prescribed by Order of the Board. Provided that no body protector shall be worn if it does not have attached to it a manufacturer's label that states that it complies with the relevant standard prescribed by the Board. [replaced 1.3.2000]

AR.87C. Any rider who has been found guilty of a breach of AR.87 or AR.87B may be punished. Provided that the master and/or any other person who was in charge of an apprentice jockey at any relevant time may also be punished unless he satisfies the Stewards that he took all proper care to ensure that the apprentice complied with the rule/s. [rule deleted & replaced 1.8.99]

AR.87D. Any rider required by these rules to wear a body protector may be punished if he wears or has in his possession any body protector that has been modified in any way. [rule added 1.8.99]

AR.88. Riders may use spurs provided they are blunt and of a type approved by the Stewards. [amended1.8.01] L.R.88 (a) In accordance with AR.88 a rider may only use ball type spurs with a minimum ball diameter of 10mm. (b) Any rider using spurs of a type other than specified in part (a) of this rule commits an offence and may be punished.

AR.137A. (1) The Stewards may punish any rider who in a race or trial, or in trackwork, or elsewhere uses his whip in an excessive, unnecessary or improper manner. [amended 1.10.00] (2) Without affecting the generality of subrule (1) of this Rule, the Stewards may punish any rider who in a race or trial uses his whip - (a) forward of his horse's shoulder or in the vicinity of its head; or (b) when his horse is out of contention; or (c) when his horse is showing no response; or (d) when his horse is clearly winning; or (e) when his horse has passed the winning post. (3) The Stewards may punish a trainer and/or any other person who by instructions or otherwise is a party to a breach of any part of this Rule.

AR.137AA: All whips carried in races, trials or trackwork shall be of a design approved by the stewards, and shall comply with the following specifications: Shaft length not exceeding 560mm: Flap length 75-105mm: Flap width not less than 30mm, provided that the flap shall be made of soft leather and be open for at least 40mm.

AR.137B. The Stewards may punish any rider who in a race or trial, or in trackwork, or elsewhere uses his spurs in an unnecessary, excessive or improper manner. [amended 1.10.00]



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